A General Approach to Under-approximate **Reasoning about Concurrent Programs**

Azalea Raad 🖂 🏠 💿 Imperial College London, UK

Julien Vanegue \square Bloomberg, US

Josh Berdine \square Skiplabs, UK

Peter O'Hearn ⊠ University College London and Lacework, UK

Abstract

There is a large body of work on concurrent reasoning including Rely-Guarantee (RG) and Concurrent Separation Logics. These theories are *over-approximate*: a proof identifies a *superset* of program behaviours and thus implies the absence of certain bugs. However, failure to find a proof does not imply their presence (leading to *false positives* in over-approximate tools). We describe a general theory of *under-approximate* reasoning for concurrency. Our theory incorporates ideas from Concurrent Incorrectness Separation Logic and RG based on a subset rather than a superset of interleavings. A strong motivation of our work is detecting *software exploits*; we do this by developing concurrent adversarial separation logic (CASL), and use CASL to detect information disclosure attacks that uncover sensitive data (e.g. passwords) and out-of-bounds attacks that corrupt data. We also illustrate our approach with classic concurrency idioms that go beyond prior under-approximate theories which we believe can inform the design of future concurrent bug detection tools.

2012 ACM Subject Classification Theory of computation \rightarrow Separation logic; Theory of computation \rightarrow Programming logic; Theory of computation \rightarrow Program analysis; Security and privacy \rightarrow Logic and verification

Keywords and phrases Under-approximate reasoning, incorrectness logic, bug detection, software exploits, separation logic

Digital Object Identifier 10.4230/LIPIcs.CONCUR.2023.25

1 Introduction

Incorrectness Logic (IL) [17] presents a formal foundation for proving the *presence* of bugs using under-approximation, i.e. focusing on a subset of behaviours to ensure one detects only true positives (real bugs) rather than false positives (spurious bug reports). This is in contrast to verification frameworks proving the absence of bugs using over-approximation, where a *superset* of behaviours is considered. The key advantage of under-approximation is that tools underpinned by it are accompanied by a no-false-positives (NFP) theorem for free, ensuring all bugs reported are real bugs. This has culminated in a successful trend in automated static analysis tools that use under-approximation for bug detection, e.g. RacerD [3] for data race detection in Java programs, the work of Brotherston et al. [4] for deadlock detection, and Pulse-X [14] which uses the under-approximate theory of ISL (incorrectness separation logic, an IL extension) [18] for detecting memory safety bugs such as use-after-free errors. All three tools are currently industrially deployed and are state-of-the art techniques: RacerD significantly outperforms other race detectors in terms of bugs found and fixed, while Pulse-X has a higher fix-rate than the industrial Infer tool [8] used widely at Meta, Amazon and Microsoft. IL and ISL, though, only support bug detection in sequential programs.



licensed under Creative Commons License CC-BY 4.0

34th International Conference on Concurrency Theory (CONCUR 2023). Editors: Guillermo A. Pérez and Jean-François Raskin; Article No. 25; pp. 25:1-25:58

Leibniz International Proceedings in Informatics

Schloss Dagstuhl – Leibniz-Zentrum für Informatik, Dagstuhl Publishing, Germany

25:2 A General Approach to Under-approximate Reasoning about Concurrent Programs

We present concurrent adversarial separation logic (CASL, pronounced 'castle'), a general, under-approximate framework for detecting concurrency bugs and exploits, including a hitherto unsupported class of bugs. Inspired by adversarial logic [22], we model a vulnerable program C_v and its attacker (adversarial) C_a as the concurrent program $C_a || C_v$, and use the compositional principles of CASL to detect vulnerabilities in C_v . CASL is a parametric framework that can be instantiated for a range of bugs/exploits. CASL combines underapproximation with ideas from RGSep [20] and concurrent separation logic (CSL) [16] – we chose RGSep rather than rely-guarantee [12] for compositionality (see p. 7). However, CASL does not merely replace over- with under-approximation in RGSep/CSL: CASL includes an additional component witnessing (under-approximating) the interleavings leading to bugs.

CASL builds on *concurrent incorrectness separation logic* (CISL) [19]. However, while CISL was designed to capture the reasoning in cutting-edge tools such as RacerD, CASL explicitly goes beyond these tools. Put differently, CISL aspired to be a specialised theory of concurrent under-approximation, oriented to existing tools (and inheriting their limitations), whereas CASL aspires to be more general. In particular, in our private communication with CISL authors they have confirmed two key limitations of CISL. First, CISL can detect certain bugs compositionally only by encoding buggy executions as normal ones. While this is sufficient for bugs where encountering a bug does not force the program to terminate (e.g. data races), it cannot handle bugs with short-circuiting semantics, e.g. null pointer exceptions, where the execution is halted on encountering the bug (see \$2 for details). Second and more significantly, CISL cannot compositionally detect a large class of bugs, data-dependent bugs, where a bug occurs only under certain interleavings and concurrent threads affect the control flow of one another. To see this, consider the program $P \triangleq x := 1 || a := x$; if (a) error, where the left thread, τ_1 , writes 1 to x, the right thread, τ_2 , reads the value of x in a and subsequently errors if $a \neq 0$. That is, the error occurs only in interleavings where τ_1 is executed before τ_2 , and the two threads synchronise on the value of x; i.e. τ_1 affects the control flow of τ_2 and the error occurrence is *dependent* on the *data* exchange between the threads.

Such data-dependency is rather prevalent as threads often synchronise via *data exchange*. Moreover, a large number of security-breaking *software exploits* are data-dependent bugs. An exploit (or *attack*) is code that takes advantage of a bug in a vulnerable program to cause unintended or erroneous behaviours. *Vulnerabilities* are bugs that lead to critical security compromises (e.g. leaking secrets or elevating privileges). Distinguishing vulnerabilities from benign bugs is a growing problem; understanding the exploitability of bugs is a time-consuming process requiring expert involvement, and large software vendors rely on automated exploitability analysis to prioritise vulnerability fixing among a sheer number of bugs. Rectifying vulnerabilities in the field requires expensive software mitigations (e.g. addressing Meltdown [15]) and/or large-scale recalls. It is thus increasingly important to detect vulnerabilities pre-emptively during development to avoid costly patches and breaches.

To our knowledge, CASL is the *first* under-approximate theory that can detect *all* categories of concurrency bugs (including data-dependent ones) *compositionally* (by reasoning about each thread in isolation). CASL is strictly stronger than CISL and supports all CISL reasoning principles. Moreover, CASL is the *first* under-approximate and compositional theory for exploit detection. We instantiate CASL to detect *information disclosure attacks* that uncover sensitive data (e.g. Heartbleed [9]) and *out-of-bounds attacks* that corrupt data (e.g. zero allocation [21]). Thanks to CASL soundness, each CASL instance is automatically accompanied by an NFP theorem: all bugs/exploits identified by it are true positives.

Contributions and Outline. Our contributions (detailed in §2) are as follows. We present CASL (§3) and prove it sound, with the full proof given in the accompanying technical

appendix [?]. We instantiate CASL to detect information disclosure attacks on stacks (§4) and heaps (§C in [?]) and memory safety attacks (§D in [?]). We also develop an underapproximate analogue of RG that is simpler but less expressive than CASL (§E and §F in [?]). We discuss related work in §5.

2 Overview

CISL and Its Limitations. CISL [19] is an under-approximate logic for detecting bugs in concurrent programs with a built-in no-false-positives theorem ensuring all bugs detected are true bugs. Specifically, CISL allows one to prove triples of the form $[p] C[\epsilon;q]$, stating that every state in q is reachable by executing C starting in some state in p, under the (exit) condition ϵ that may be either ok for normal (non-erroneous) executions, or $\epsilon \in \text{EREXIT}$ for erroneous executions, where EREXIT contains erroneous conditions. The CISL authors identify global bugs as those that are due to the interaction between two or more concurrent threads and arise only under certain interleavings. To see this, consider the examples below [19], where we write τ_1 and τ_2 for the left and right threads in each example, respectively:

L: free
$$(x) \parallel L'$$
: free (x) (DATAAGN)
free $(x); \parallel a := 0; a := [z]; [z] := 1; \parallel if (a=1) L: [x] := 1$ (DATADEP)

In an interleaving of DATAAGN in which τ_1 is executed after (resp. before) τ_2 , a double-free bug is reached at L (resp. L'). Analogously, in a DATADEP interleaving where τ_2 is executed after τ_1 , value 1 is read from z in a, the condition of if is met and thus we reach a use-after-free bug at L. Raad et al. [19] categorise global bugs as either data-agnostic or data-dependent, denoting whether concurrent threads contributing to a global bug may affect the *control* flow of one another. For instance, the bug at L in DATADEP is data-dependent as τ_1 may affect the control flow of τ_2 : the value read in a := [z], and subsequently the condition of if and whether L: [x] := 1 is executed depend on whether τ_2 executes a := [z] before or after τ_1 executes [z] := 1. By contrast, the threads in DATAAGN cannot affect the control flow of one another; hence the bugs at L and L' are data-agnostic.

In certain cases, CISL can detect data-agnostic bugs compositionally (i.e. by analysing each thread in isolation) by encoding buggy executions as normal (ok) ones

$$\begin{array}{c} \text{CISL-PAR} \\ [P_1]\mathsf{C}_1[ok:Q_1] & [P_2]\mathsf{C}_2[ok:Q_2] \\ \hline \\ \hline P_1 * P_2 \mathsf{C}_1 || \mathsf{C}_2[ok:Q_1 * Q_2] \end{array}$$

r 7

and then using the CISL-PAR rule shown across. In particular, when the targeted bugs do not manifest *short-circuiting* (where bug encounter halts execution, e.g. a null-pointer exception), then buggy executions can be encoded as normal ones and subsequently detected compositionally using CISL-PAR. For instance, when a data-agnostic data race is encountered, execution is not halted (though program behaviour may be undefined), and thus data races can be encoded as normal executions and detected by CISL-PAR. By contrast, in the case of data-agnostic errors such as null-pointer exceptions, the execution is halted (i.e. shortcircuited) and thus can no longer be encoded as normal executions that terminate. As such, CISL cannot detect data-agnostic bugs with short-circuiting semantics compositionally.

More significantly, however, CISL is altogether unable to detect data-dependent bugs compositionally. Consider the data-dependent use-after-free bug at L in DATADEP. As discussed, this bug occurs when τ_2 is executed after τ_1 is fully executed (i.e. 1 is written to z and x is deallocated). That is, for τ_2 to read 1 for z it must somehow infer that τ_1 writes 1 to z; this is not possible without having knowledge of the environment. This is reminiscent of rely-guarantee (RG) reasoning [12], where the environment behaviour is abstracted as a relation describing how it may manipulate the state. As RG only supports global and not compositional reasoning about states, RGSep [20] was developed by combining RG with

25:4 A General Approach to Under-approximate Reasoning about Concurrent Programs

$$dom(\mathcal{G}_1) = \{\alpha_1, \alpha_2\} \quad dom(\mathcal{G}_2) = \{\alpha'_1, \alpha'_2\} \quad \mathcal{R}_1 \triangleq \mathcal{G}_2 \quad \mathcal{R}_2 \triangleq \mathcal{G}_1 \quad \theta \triangleq [\alpha_1, \alpha_2, \alpha'_1, \alpha'_2] \\ \mathcal{G}_1(\alpha_1) \triangleq (x \vDash l_x \ast l_x \mapsto v_x, ok, x \vDash l_x \ast l_x \not\leftrightarrow) \quad \mathcal{G}_2(\alpha'_1) \triangleq (z \vDash l_z \ast l_z \mapsto 1, ok, z \vDash l_z \ast l_z \mapsto 1) \\ \mathcal{G}_1(\alpha_2) \triangleq (z \vDash l_z \ast l_z \mapsto v_z, ok, z \vDash l_z \ast l_z \mapsto 1) \quad \mathcal{G}_2(\alpha'_2) \triangleq (x \vDash l_x \ast l_x \not\leftrightarrow, er, x \vDash l_x \ast l_x \not\leftrightarrow)$$

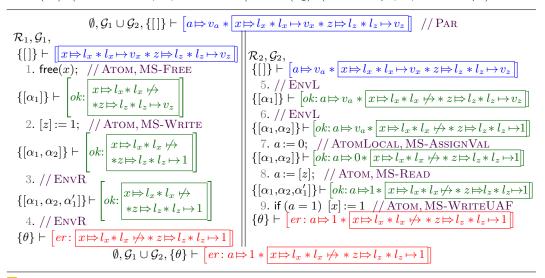


Figure 1 CASL proof of DATADEP; the // denote CASL rules applied at each step. The $\mathcal{R}_1, \mathcal{G}_1$ and $\mathcal{R}_2, \mathcal{G}_2$ are not repeated at each step as they are unchanged.

separation logic to support state compositionality. We thus develop CASL as an underapproximate analogue of RGSep for bug catching (see p. 7 for a discussion on RGSep/RG).

2.1 CASL for Compositional Bug Detection

In CASL we prove under-approximate triples of the form $\mathcal{R}, \mathcal{G}, \Theta \vdash [P] \subset [\epsilon : Q]$, stating that every post-world $w_q \in Q$ is reached by running C on some pre-world $w_p \in P$, with \mathcal{R}, \mathcal{G} and Θ described shortly. Each CASL world w is a pair (l, g), where $l \in \mathsf{STATE}$ is the *local* state not accessible by the environment, while $g \in \mathsf{STATE}$ is the *shared* (global) state accessible by all threads. We define CASL in a general, parametric way that can be instantiated for different use cases. As such, the choice of the underlying states, STATE, is a parameter to be instantiated accordingly. For instance, in what follows we instantiate CASL to detect the use-after-free bug in DATADEP, where we define states as STATE \triangleq STACK × HEAP (see §3), i.e. each state comprises a variable store and a heap.

For better readability, we use P, Q, R as meta-variable for sets of worlds and p, q, r for sets of states. We write $p * [\overline{q}]$ for sets of worlds (l, g) where the local state is given by p $(l \in p)$ and the shared state is given by q $(g \in q)$. Given P and Q describing e.g. the worlds of two different threads, the composition P * Q is defined component-wise on the local and shared states. More concretely, as local states are thread-private, they are combined via the composition operator * on states in STATE (also supplied as a CASL parameter). On the other hand, as shared states are globally visible to all threads, the views of different threads of the shared state must agree and thus shared states are combined via conjunction (\wedge) . That is, given $P \triangleq p * [p']$ and $Q \triangleq q * [q']$, then $P * Q \triangleq p * q * [p' \land q']$.

The *rely* relation, \mathcal{R} , describes how the environment threads may access/update the shared state, while the *guarantee* relation, \mathcal{G} , describes how the threads in C may do so.

Specifically, both \mathcal{R} and \mathcal{G} are maps of *actions*: given $\mathcal{G}(\alpha) \triangleq (p, \epsilon, q)$, the α denotes an *action identifier* and (p, ϵ, q) denotes its effect, where p, q are sets of shared states and ϵ is an exit condition. Lastly, Θ denotes a set of *traces* (interleavings), such that each trace $\theta \in \Theta$ is a sequence of actions taken by the threads in \mathbb{C} or the environment, i.e. the actions in $dom(\mathcal{G})$ and $dom(\mathcal{R})$. In particular, $\mathcal{R}, \mathcal{G}, \Theta \vdash [P] \mathbb{C} [\epsilon : Q]$ states that for all traces $\theta \in \Theta$, each world in Q is reachable by executing \mathbb{C} on some world in P culminating in θ , where the effects of the threads in \mathbb{C} (resp. in the environment of \mathbb{C}) on the shared state are given by \mathcal{G} and \mathcal{R} , respectively. We shortly elaborate on this through an example.

CASL for Detecting Data-Dependent Bugs. Although CASL can detect all bugs identified by Raad et al. [19], we focus on using CASL for data-dependent bugs as they cannot be handled by the state-of-the-art CISL framework. In Fig. 1 we present a CASL proof sketch of the bug in DATADEP. Let us write τ_1 and τ_2 for the left and right threads in Fig. 1, respectively. Variables x and z are accessed by both threads and are thus *shared*, whereas a is accessed by τ_2 only and is *local*. Similarly, heap locations l_x and l_z (recorded in x and z) are shared as they are accessed by both threads. This is denoted by $P_2 \triangleq a \Rightarrow v_a * \boxed{x \Rightarrow l_x * l_x \Rightarrow v_x * z \Rightarrow l_z * l_z \Rightarrow v_z}$ in the pre-condition of τ_2 in Fig. 1, describing worlds in which the local state is $a \Rightarrow v_a$ (stating that stack variable a records value v_a), and the global state is $x \Rightarrow l_x * l_x \mapsto v_x * z \Rightarrow l_z * l_z \mapsto v_z$ – note that we use the \Rightarrow and \mapsto arrows for stack and heap resources, respectively. By contrast, the τ_1 precondition is $P_1 \triangleq \boxed{x \Rightarrow l_x \Rightarrow v_x * z \Rightarrow l_z \Rightarrow l_z \Rightarrow v_z}$, comprising only shared resources and no local resources.

The actions in \mathcal{G}_1 (resp. \mathcal{G}_2), defined at the top of Fig. 1, describe the effect of τ_1 (resp. τ_2) on the shared state. For instance, $\mathcal{G}_1(\alpha_1)$ describes executing free(x) by τ_1 : when the shared state contains $x \mapsto l_x * l_x \mapsto v_x$, i.e. a *sub-part* of the shared state satisfies $x \mapsto l_x * l_x \mapsto v_x$, then free(x) terminates normally (ok) and deallocates x, updating this sub-part to $x \mapsto l_x * l_x \not\mapsto v_x$, then denoting that l_x is deallocated. Dually, the actions in \mathcal{R}_1 (resp. \mathcal{R}_2) describe the effect of the threads in the environment of τ_1 (resp. τ_2); e.g. as the environment of τ_1 comprises τ_2 only and \mathcal{G}_2 describes the effect of τ_2 on the shared state, we have $\mathcal{R}_1 \triangleq \mathcal{G}_2$.

Let us first consider analysing τ_2 in isolation, ignoring the // annotations for now (these become clear once we present the CASL proof rules in §3). Recall that in order to detect the use-after-free bug at L, thread τ_2 must account for an interleaving in which τ_1 executes both its instructions before τ_2 proceeds with its execution. That is, τ_2 may assume that τ_1 executes the actions associated with α_1 and α_2 , as defined in \mathcal{R}_2 . Note that after each environment action (in \mathcal{R}_2) we extend the trace to record the associated action (we elaborate on why this is needed below): starting from the empty trace [], we subsequently update it to $[\alpha_1]$ and $[\alpha_1, \alpha_2]$ to record the environment actions assumed to have executed. Thread τ_2 then executes the (local) assignment instruction a := 0 (line 7) which accesses its local state $(a \Rightarrow v_a)$ only. Subsequently, it proceeds to execute its instructions by accessing/updating the shared state as prescribed in \mathcal{G}_2 : it 1) takes action α'_1 associated with executing a := [z], whereby it reads from the heap location pointed to by z (i.e. l_z) and stores it in a; and then 2) takes action α'_2 associated with executing [x] := 1, where it attempts to write to location l_x pointed to by x and arrives at a use-after-free error as l_x is deallocated, yielding $Q_2 \triangleq a \mapsto 1 * |x \mapsto l_x * l_x \not\mapsto x \Rightarrow l_z * l_z \mapsto 1|$. Note that after each \mathcal{G}_2 action α the trace is extended with α , culminating in trace θ (defined at the top of Fig. 1). That is, each time a thread accesses the *shared* state it must do so through an action in its guarantee and record it in its trace. By contrast, when the instruction effect is limited to its *local* state (e.g. line 7 of τ_2), it may be executed freely, without consulting the guarantee or recording an action.

We next analyse τ_1 in isolation: τ_1 executes its two instructions as given by α_1 and α_2 in \mathcal{G}_1 , updating the trace to $[\alpha_1, \alpha_2]$. It then assumes that τ_2 in its environment executes its

25:6 A General Approach to Under-approximate Reasoning about Concurrent Programs

actions (in \mathcal{R}_1), resulting in θ and yielding $Q_1 \triangleq \boxed{x \mapsto l_x * l_x \not\mapsto x \Rightarrow l_z * l_z \mapsto 1}$. Note that τ_1 may assume that the environment action α'_2 executes *erroneously*, as described in $\mathcal{R}_1(\alpha'_2)$.

Finally, we reason about the full program using the CASL parallel composition rule, PAR (in Fig. 3), stating that if we prove $\mathcal{R}_1, \mathcal{G}_1, \Theta_1 \vdash [P_1] \mathsf{C}_1 \ [\epsilon : Q_1]$ and separately $\mathcal{R}_2, \mathcal{G}_2, \Theta_2 \vdash [P_2] \mathsf{C}_2 \ [\epsilon : Q_2]$, then we can prove $\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \cup \mathcal{G}_2, \Theta_1 \cap \Theta_2 \vdash [P_1 * P_2] \mathsf{C}_1 || \mathsf{C}_2 \ [\epsilon : Q_1 * Q_2]$ for the concurrent program $\mathsf{C}_1 || \mathsf{C}_2$. In other words, (1) the pre-condition (resp. post-conditions) of $\mathsf{C}_1 || \mathsf{C}_2$ is given by composing the pre-conditions (resp. post-conditions) of its constituent threads, namely $P_1 * P_2$ (resp. $Q_1 * Q_2$); (2) the effect of $\mathsf{C}_1 || \mathsf{C}_2$ environment on the shared state is the effect of the threads in the environment of both C_1 and C_2 (i.e. $\mathcal{R}_1 \cap \mathcal{R}_2$); and (4) the traces generated by $\mathsf{C}_1 || \mathsf{C}_2$ are those generated by both C_1 and C_2 (i.e. $\Theta_1 \cap \Theta_2$).

Returning to Fig. 1, we use PAR to reason about the full program. Let C_1 and C_2 denote the programs in the left and right threads, respectively. (1) Starting from $P \triangleq a \mapsto v_a * [x \mapsto l_x * l_x \mapsto v_x * z \mapsto l_z * l_z \mapsto v_z]$, we split P as $P_1 * P_2$ (i.e. $P = P_1 * P_2$) and pass P_1 (resp. P_2) to τ_1 (resp. τ_2). (2) We analyse C_1 and C_2 in isolation and derive $\mathcal{R}_1, \mathcal{G}_1, \{\theta\} \vdash [P_1] C_1$ $[er:Q_1]$ and $\mathcal{R}_2, \mathcal{G}_2, \{\theta\} \vdash [P_2] C_2$ $[er:Q_2]$. (3) We use PAR to combine the two triples and derive $\emptyset, \mathcal{G}_1 \cup \mathcal{G}_2, \{\theta\} \vdash [P] C_1 || C_2 [er:Q]$ with $Q \triangleq a \mapsto 1 * [x \mapsto l_x * l_x \not \to x = l_z * l_z \mapsto 1]$.

CISL versus CASL. In contrast to CISL-PAR where we can only derive normal (ok) triples (and thus inevitably must encode erroneous behaviours as normal ones if possible), the CASL PAR rule makes no such stipulation ($\epsilon = ok$ or $\epsilon \in \text{EREXIT}$) and allows deriving both normal and erroneous triples. More significantly, a CISL triple $[P] C [\epsilon : Q]$ executed by a thread τ only allows τ to take actions (updating the state) by executing C, i.e. only allows actions executed by τ itself and not those of other threads in the environment (executing another program C'). This is also the case for all correctness triples in over-approximate settings, e.g. RGSep and RG. By contrast, CASL triples additionally allow τ to take a particular action by an environment thread, as specified by rely, thereby allowing one to consider a specific interleaving (see the ENVL, ENVR and ENVER rules in Fig. 3). This ability to assume a specific execution by the environment is missing from CISL. This is a crucial insight for data-dependent bugs that depend on certain data exchange/synchronisation between threads.

Recording Traces. Note that when taking a thread action (e.g. at line 1 in Fig. 1), the executing thread τ must adhere to the behaviour in its guarantee and additionally witness the action taken by executing corresponding instructions; this is captured by the CASL ATOM rule. That is, the guarantee denotes what τ can do, and provides no assurance that τ does carry out those actions. This assurance is witnessed by executing corresponding instructions, e.g. τ_1 in Fig. 1 must execute free(x) on line 1 when taking α_1 . By contrast, when τ takes an environment action (e.g. at line 3 in Fig. 1), it simply assumes the environment will take this action without witnessing it. That is, when reasoning about τ in isolation we assume a particular interleaving and show a given world is reachable under that interleaving. Therefore, the correctness of the compositional reasoning is contingent on the environment fulfilling this assumption by adhering to the same interleaving. This is indeed why we record θ , i.e. to ensure all threads assume the same sequence of actions on the shared state. As mentioned above, \mathcal{R}, \mathcal{G} specify how the shared state is manipulated, and have no bearing on thread-local states. As such, we record no trace actions for instructions that only manipulate the local state (e.g. line 7 in Fig. 1); this is captured by the CASL ATOMLOCAL rule.

Note that the Θ component of CASL is absent in its over-approximate counterpart RGSep. This is because in the *correctness* setting of RGSep one must prove a program is correct for *all interleavings* and it is not needed to record the interleavings considered. By contrast, in the *incorrectness* setting of CASL our aim is to show the occurrence of a bug under *certain*

interleavings and thus we record them to ensure their feasibility: if a thread assumes a given interleaving θ , we must ensure that θ is a feasible interleaving for all concurrent threads.

RGSep versus RG. We develop CASL as an under-approximate analogue of RGSep [20] rather than RG [12]. We initially developed CASL as an under-approximate analogue of RG; however, the lack of support for local reasoning led to rather verbose proofs. Specifically, as discussed above and as we show in §4, the CASL ATOMLOCAL rule allows local reasoning on thread-local resources without accounting for them in the recorded traces. By contrast, in RG there is no thread-local state and the entire state is shared (accessible by all threads). Hence, were we to base CASL on RG, we could only support the ATOM rule and not the local ATOMLOCAL variant, and thus every single action by each thread would have to be recorded in the trace. This not only leads to verbose proofs (with long traces), but it is also somewhat counter-intuitive. Specifically, thread-local computations (e.g. on thread-local registers) have no bearing on the behaviour of other threads and need not be reflected in the global trace. We present our original RG-based development in §E for the interested reader.

2.2 CASL for Compositional Exploit Detection

In practice, software attacks attempt to escalate privileges (e.g. Log4j) or steal credentials (e.g. Heartbleed [9]) using an *adversarial* program written by a security expert. That is, attackers typically use an adversarial program to interact with a codebase and exploit its vulnerabilities. Therefore, we can model a vulnerable program C_v and its adversary (attacker) C_a as the *concurrent* program $C_a \parallel C_v$, and use CASL to detect vulnerabilities in C_v . Vulnerabilities often fall into the *data-dependent* category, where the vulnerable program C_v receives an input from the adversary C_a , and that input determines the next steps in the execution of C_v , i.e. C_a affects the control flow of C_v . Hence, existing under-approximate techniques such as CISL cannot detect such exploits, while the compositional techniques of CASL for detecting data-dependent bugs is ideally-suited for them. Indeed, to our knowledge CASL is the *first* formal, under-approximate theory that enables exploit detection. Thanks to the compositional nature of CASL, the approaches described here can be used to build *scalable* tools for exploit detection, as we discuss below. Moreover, by virtue of its under-approximate nature and built-in *no-false-positives* theorem, exploits detected by CASL are *certified* in that they are guaranteed to reveal true vulnerabilities.

In what follows we present an example of an information disclosure attack. Later we show how we use CASL to detect several classes of exploits, including: 1) *information disclosure attacks* on stacks (§4) and 2) heaps (§C in the technical appendix [?]) to uncover sensitive data, e.g. Heartbleed [9]; and 3) *memory safety attacks* (§D in [?]), e.g. zero allocation [21].

Hereafter, we write C_a and C_v for the adversarial and vulnerable programs, respectively; and write τ_a and τ_v for the threads running C_a and C_v , respectively. We represent exploits as $C_a \mid\mid C_v$, positioning C_a and C_v as the left and right threads, respectively. As we discuss below, we model communication between τ_a and τ_v over a *shared channel c*, where each party can transmit (send/receive) information over *c* using the **send** and **recv** instructions.

Information Disclosure Attacks. Consider the INFDIS example on the right, where τ_v (the vulnerable thread) allocates two variables on the stack: *sec*, denoting a secret initialised with a non-deterministic value (*), and array w of size 8 initialised to 0. As per stack allocation, *sec* and w are allocated *contiguously* from the top of the stack. That is, when the top of the stack is denoted by top, then

$$\begin{array}{c} \mathsf{send}(c,8);\\ \mathsf{recv}(c,y);\\ \end{array} \begin{vmatrix} \mathsf{local} \ sec := *;\\ \mathsf{local} \ w[8] := \{0\};\\ \mathsf{recv}(c,x);\\ \mathsf{if} \ (x \leq 8)\\ z := w[x];\\ \mathsf{send}(c,z);\\ (\mathrm{INFDIS}) \end{vmatrix}$$

....

25:8 A General Approach to Under-approximate Reasoning about Concurrent Programs

sec occupies the first unit of the stack (at top) and w occupies the next 8 units (between top-1 and top-8). In other words, w starts at top-8 and thus w[i] resides at top-8+i.

The τ_{v} then receives x from τ_{a} , retrieves the x^{th} entry in w and sends it to τ_{a} over c. Specifically, τ_{v} first checks that x is valid (within bounds) via $x \leq 8$. However, as arrays are indexed from 0, for x to be valid we must have x < 8 instead, and thus this check is insufficient. That is, when τ_{a} sends 8 over c (send(c, 8)), then τ_{v} receives 8 on c and stores it in x (recv(c, x)), i.e. x=8, resulting in an out-of-bounds access (z:=w[x]). As such, since w[i] resides at top-8+i, x=8 and sec is at top, accessing w[x] inadvertently retrieves the secret value sec, stores it in z, which is subsequently sent to τ_{a} over c, disclosing sec to τ_{a} !

CASL for Scalable Exploit Detection. In the over-approximate setting proving *correctness* (absence of bugs), a key challenge of developing *scalable* analysis tools lies in the need to consider *all* possible interleavings and establish bug freedom for all interleavings. In the under-approximate setting proving *incorrectness* (presence of bugs), this task is somewhat easier: it suffices to find *some* buggy interleaving. Nonetheless, in the absence of heuristics guiding the search for buggy interleavings, one must examine each interleaving to find buggy ones. Therefore, in the worst case one may have to consider all interleavings.

When using CASL to detect data-dependent bugs, the problem of identifying buggy interleavings amounts to determining *when* to account for environment actions. For instance, detecting the bug in Fig. 1 relied on accounting for the actions of the left thread at lines 5 and 6 prior to reading from z. Therefore, the scalability of a CASL-based bug detection tool hinges on developing heuristics that determine when to apply environment actions.

In the general case, where all threads may access any and all shared data (e.g. in DATADEP), developing such heuristics may require sophisticated analysis of the synchronisation patterns used. However, in the case of exploits (e.g. in INFDIS), the adversary and the vulnerable programs operate on mostly separate states, with the shared state comprising a shared channel (c) only, accessed through send and recv. In other words, the program syntax (send and recv instructions) provides a simple heuristic prescribing when the environment takes an action. Specifically, the computation carried out by τ_v is mostly *local* and does not affect the shared state c (i.e. by instructions other than send/recv); as discussed, such local steps need not be reflected in the trace and τ_a need not account for them. Moreover, when τ_v encounters a recv(c, -) instruction, it must first assume the environment (τ_a) takes an action and sends a message over c to be subsequently received by τ_v . This leads to a simple heuristic: take an environment action prior to executing recv. We believe this observation can pave the way towards scalable exploit detection, underpinned by CASL and benefiting from its no-false-positives guarantee, certifying that the exploits detected are true positives.

3 CASL: A General Framework for Bug Detection

We present the general theory of the CASL framework for detecting concurrency bugs. We develop CASL in a *parametric* fashion, in that CASL may be instantiated for detecting bugs and exploits in a multitude of contexts. CASL is instantiated by supplying it with the specified parameters; the soundness of the instantiated CASL reasoning is then guaranteed *for free* from the soundness of the framework (see Theorem 2). We present the CASL ingredients as well as the parameters it is to be supplied with upon instantiation.

CASL Programming Language. The CASL language is parametrised by a set of *atoms*, ATOM, ranged over by **a**. For instance, our CASL instance for detecting memory safety bugs (§D) includes atoms for accessing the heap. This allows us to instantiate CASL for different scenarios without changing its underlying meta-theory. Our language is given

$$\begin{split} \alpha \in \operatorname{AID} \quad \mathcal{R}, \mathcal{G} \in \operatorname{AMAP} &\triangleq \operatorname{AID} \to \mathcal{P}(\operatorname{STATE}) \times \operatorname{EXIT} \times \mathcal{P}(\operatorname{STATE}) \quad \Theta \in \mathcal{P}(\operatorname{TRACE}) \\ \theta \in \operatorname{TRACE} &\triangleq \operatorname{LIST}\langle \operatorname{AID} \rangle \qquad \Theta_0 \triangleq \{[]\} \qquad \Theta_1 + \Theta_2 \triangleq \left\{ \theta_1 + \theta_2 \mid \theta_1 \in \Theta_1 \land \theta_2 \in \Theta_2 \right\} \\ \alpha :: \Theta \triangleq \left\{ \alpha :: \theta \mid \theta \in \Theta \right\} \qquad \operatorname{dsj}(\mathcal{R}, \mathcal{G}) \stackrel{\text{def}}{\Longleftrightarrow} \operatorname{dom}(\mathcal{R}) \cap \operatorname{dom}(\mathcal{G}) = \emptyset \\ \mathcal{R}_1 \subseteq \mathcal{R}_2 \stackrel{\text{def}}{\Longleftrightarrow} \operatorname{dom}(\mathcal{R}_1) \subseteq \operatorname{dom}(\mathcal{R}_2) \land \forall \alpha \in \operatorname{dom}(\mathcal{R}_1). \mathcal{R}_1(\alpha) = \mathcal{R}_2(\alpha) \\ \mathcal{R}' \preccurlyeq_{\theta} \mathcal{R} \stackrel{\text{def}}{\Longrightarrow} \forall \alpha \in \theta \cap \operatorname{dom}(\mathcal{R}'). \mathcal{R}'(\alpha) = \mathcal{R}(\alpha) \quad \mathcal{R}' \preccurlyeq_{\Theta} \mathcal{R} \stackrel{\text{def}}{\Longrightarrow} \forall \theta \in \Theta. \mathcal{R}' \preccurlyeq_{\theta} \mathcal{R} \\ \operatorname{wf}(\mathcal{R}, \mathcal{G}) \stackrel{\text{def}}{\longleftrightarrow} \operatorname{dsj}(\mathcal{R}, \mathcal{G}) \land \forall \alpha \in \operatorname{dom}(\mathcal{R}), p, q, l. \mathcal{R}(\alpha) = (p, -, q) \land q * \{l\} \neq \emptyset \Rightarrow p * \{l\} \neq \emptyset \end{split}$$

Figure 2 The CASL model definitions

by the C grammar below, and includes atoms (a), skip, sequential composition $(C_1; C_2)$, non-deterministic choice $(C_1 + C_2)$, loops (C^*) and parallel composition $(C_1 || C_2)$.

$$COMM \ni C ::= \mathbf{a} \mid \mathsf{skip} \mid \mathsf{C}_1; \mathsf{C}_2 \mid \mathsf{C}_1 + \mathsf{C}_2 \mid \mathsf{C}^{\star} \mid \mathsf{C}_1 \mid \mid \mathsf{C}_2$$

CASL States and Worlds. Reasoning frameworks [13, 19] typically reason at the level of high-level states, equipped with additional instrumentation to support diverse reasoning principles. In the frameworks based on separation logic, high-level states are modelled by a *partial commutative monoid* (PCM) of the form (STATE, \circ , STATE₀), where STATE denotes the set of *states*; \circ : STATE × STATE → STATE denotes the partial, commutative and associative *state composition function*; and STATE₀ \subseteq STATE denotes the set of unit states. Two states $l_1, l_2 \in$ STATE are *compatible*, written $l_1 \# l_2$, if their composition is defined: $l_1 \# l_2 \stackrel{\text{def}}{\Longrightarrow} \exists l. \ l = l_1 \circ l_2$. Once CASL is instantiated with the desired state PCM, we define the notion of *worlds*, WORLD, comprising pairs of states of the form (l, g), where $l \in$ STATE is the *local state* accessible only by the current thread(s), and $g \in$ STATE is the *shared* (global) state accessible by all threads (including those in the environment), provided that (l, g) is *well-formed*. A pair (l, g) is well-formed if the local and shared states are compatible (l # g).

▶ **Definition 1** (Worlds). Assume a PCM for states, (STATE, \circ , STATE₀). The set of worlds is WORLD $\triangleq \{(l,g) \in \text{STATE} \times \text{STATE} | l \# g\}$. World composition, \bullet : WORLD × WORLD → WORLD, is defined component-wise, $\bullet \triangleq (\circ, \circ_{=})$, where $g \circ_{=} g' \triangleq g$ when g = g', and is otherwise undefined. The world unit set is WORLD₀ $\triangleq \{(l_0, g) \in \text{WORLD} | l_0 \in \text{STATE}_0 \land g \in \text{STATE}\}$.

Notation. We use p, q, r as metavariables for state sets (in $\mathcal{P}(\text{STATE})$), and P, Q, R as metavariables for world sets (in $\mathcal{P}(\text{WORLD})$). We write P * Q for $\{w \bullet w' \mid w \in P \land w' \in Q\}$; $P \land Q$ for $P \cap Q$; $P \lor Q$ for $P \cup Q$; false for \emptyset ; and true for $\mathcal{P}(\text{WORLD})$. We write p * [q] for $\{(l, g) \in \text{WORLD} \mid l \in p \land g \in q\}$. When clear from the context, we lift p, q, r to sets of worlds with arbitrary shared states; e.g. p denotes a set of worlds (l, g), where $l \in p$ and $g \in \text{STATE}$.

Error Conditions and Atomic Axioms. CASL uses under-approximate triples [17, 18, 19] of the form $\mathcal{R}, \mathcal{G}, \Theta \vdash [p] \subset [\epsilon : q]$, where $\epsilon \in \text{EXIT} \triangleq \{ok\} \uplus \text{EREXIT}$ denotes an *exit condition*, indicating normal (ok) or erroneous execution ($\epsilon \in \text{EREXIT}$). Erroneous conditions in EREXIT are reasoning-specific and are supplied as a parameter, e.g. *npe* for a null pointer exception.

We shortly define the under-approximate proof system of CASL. As atoms are a CASL parameter, the CASL proof system is accordingly parametrised by their set of underapproximate *axioms*, AXIOM $\subseteq \mathcal{P}(\text{STATE}) \times \text{ATOM} \times \text{EXIT} \times \mathcal{P}(\text{STATE})$, describing how they may update states. Concretely, an atomic axiom is a tuple $(p, \mathbf{a}, \epsilon, q)$, where $p, q \in \mathcal{P}(\text{STATE})$, $\mathbf{a} \in \text{ATOM}$ and $\epsilon \in \text{EXIT}$. As we describe shortly, atomic axioms are then lifted to CASL proof rules (see ATOM and ATOMLOCAL), describing how atomic commands may modify worlds.

25:10 A General Approach to Under-approximate Reasoning about Concurrent Programs

CASL Triples. A CASL triple $\mathcal{R}, \mathcal{G}, \Theta \vdash [P] \subset [\epsilon : Q]$ states that every world in Q can be reached under ϵ for every witness trace $\theta \in \Theta$ by executing C on some world in P. Moreover, at each step the actions of the current thread (executing C) and its environment adhere to \mathcal{G} and \mathcal{R} , respectively. The \mathcal{R}, \mathcal{G} are defined as action maps in Fig. 2, mapping each action $\alpha \in \mathsf{AID}$ to a triple describing its behaviour. Compared to original rely/guarantee relations [20, 12], in CASL we record two additional components: 1) the exit condition (ϵ) indicating a normal or erroneous step; and 2) the action id (α) to identify actions uniquely. The latter allows us to construct a witness interleaving $\theta \in \mathsf{TRACE}$ as a list of actions (see Fig. 2). As discussed in §2, to avoid false positives, if we detect a bug assuming the environment takes action α , we must indeed witness the environment taking α . That is, if we detect a bug assuming the environment takes α but the environment cannot do so, then the bug is a false positive. Recording traces ensures each thread fulfils its assumptions, as we describe shortly.

Intuitively, each α corresponds to executing an atom that updates a *sub-part* of the shared state. Specifically, $\mathcal{G}(\alpha) = (p, \epsilon, q)$ (resp. $\mathcal{R}(\alpha) = (p, \epsilon, q)$) denotes that the current thread (resp. an environment thread) may take α and update a shared sub-state in p to one in q under ϵ , and in doing so it extends each trace in Θ with α . Moreover, the current thread may take α with $\mathcal{G}(\alpha) = (p, \epsilon, q)$ only if it executes an atom **a** with behaviour (p, ϵ, q) , i.e. $(p, \mathbf{a}, \epsilon, q) \in \text{AXIOM}$, thereby *witnessing* α . By contrast, this is not required for an environment action. As we describe below, this is because each thread witnesses the \mathcal{G} actions it takes, and thus when combining threads (using the CASL PAR rule described below), so long as they agree on the interleavings (traces) taken, then the actions recorded have been witnessed.

Lastly, we require \mathcal{R} , \mathcal{G} to be *well-formed* (wf(\mathcal{R} , \mathcal{G}) in Fig. 2), stipulating that: 1) \mathcal{R} and \mathcal{G} be *disjoint*, dsj(\mathcal{R} , \mathcal{G}); and 2) the actions in \mathcal{R} be *frame-preserving*: for all α with $\mathcal{R}(\alpha) = (p, -, q)$ and all states l, if l is compatible with q (i.e. $q * \{l\} \neq \emptyset$), then l is also compatible with p (i.e. $p * \{l\} \neq \emptyset$). Condition (1) allows us to attribute actions uniquely to threads (i.e. distinguish between \mathcal{R} and \mathcal{G} actions). Condition (2) is necessary for the CASL FRAME rule (see below), ensuring that applying an environment action does not inadvertently update the state in such a way that invalidates the resources in the frame. Note that we require no such condition on \mathcal{G} actions. This is because as discussed, each \mathcal{G} action taken is witnessed by executing an atom axiomatised in AXIOM; axioms in AXIOM must in turn be frame-preserving to ensure the soundness of CASL. That is, a \mathcal{G} action is taken only if it is witnessed by an atom which is frame-preserving by definition (see SOUNDATOMS in §A).

CASL Proof Rules. We present the CASL proof rules in Fig. 3, where we assume the rely/guarantee relations in triple contexts are well-formed. SKIP states that executing skip leaves the worlds (P) unchanged and takes no actions, yielding a single empty trace $\Theta_0 \triangleq \{[]\}$. SEQ, SEQER, CHOICE, LOOP1, LOOP2 and BACKWARDSVARIANT are analogous to those of IL [17] with $S : \mathbb{N} \to \mathcal{P}(WORLD)$. Note that in SEQ, the set of traces resulting from executing $C_1; C_2$ is given by $\Theta_1 +\!\!+ \Theta_2$ (defined in Fig. 2) by point-wise combining the traces of C_1 and C_2 .

ATOM describes how executing an atom **a** affects the shared state: when the local state is in p' and the shared state is in p * f, i.e. a sub-part of the shared state is in p, then executing **a** with $(p'*p, \mathbf{a}, \epsilon, q'*q) \in A$ XIOM updates the local state from p' to q' and the shared sub-part from p to q, provided that the effect on the shared state is given by a guarantee action α $(\mathcal{G}(\alpha)=(p, \epsilon, q))$. That is, the \mathcal{G} action only captures the shared state, and the thread may update its local state freely. In doing so, we *witness* α and record it in the set of traces $(\{[\alpha]\})$. By contrast, ATOMLOCAL states that so long as executing **a** does not touch the shared state, it may update the local state arbitrarily, without recording an action.

ENVL, ENVR and ENVER are the ATOM counterparts in that they describe how the *environment* may update the shared state. Specifically, ENVL and ENVR state that the

$\begin{array}{l} \text{SKIP} \\ \mathcal{R}, \mathcal{G}, \Theta_0 \vdash \begin{bmatrix} P \end{bmatrix} \text{skip} \begin{bmatrix} ok \colon P \end{bmatrix} \end{array} \qquad \begin{array}{l} \begin{array}{l} \text{SEQ} \\ \mathcal{R}, \mathcal{G}, \Theta_1 \end{array}$	$ \vdash \begin{bmatrix} P \end{bmatrix} C_1 \begin{bmatrix} ok \colon R \end{bmatrix} \mathcal{R}, \mathcal{G}, \Theta_2 \vdash \begin{bmatrix} R \end{bmatrix} C_2 \begin{bmatrix} \epsilon : Q \end{bmatrix} \\ \mathcal{R}, \mathcal{G}, \Theta_1 +\!$	
$\frac{\operatorname{SeqER}}{\mathcal{R}, \mathcal{G}, \Theta \vdash [P] \operatorname{C}_1[er:Q]} er \in \operatorname{EREXIT}}{\mathcal{R}, \mathcal{G}, \Theta \vdash [P] \operatorname{C}_1; \operatorname{C}_2[er:Q]}$	$ \begin{array}{l} \text{ATOM} \\ \mathcal{G}(\alpha) = (p, \epsilon, q) (p' * p, \mathbf{a}, \epsilon, q' * q) \in \text{AXIOM} \\ \hline \mathcal{R}, \mathcal{G}, \{[\alpha]\} \vdash \begin{bmatrix} p' * \boxed{p * f} \end{bmatrix} \mathbf{a} \begin{bmatrix} \epsilon : q' * \boxed{q * f} \end{bmatrix} \end{array} $	
	$\begin{array}{l} C^{\star}; C \ [\epsilon:Q] \\ P \ C^{\star} \ [\epsilon:Q] \end{array} \qquad \qquad \begin{array}{l} \operatorname{AtomLocal} \\ (p, \mathbf{a}, ok, q) \in \operatorname{Axiom} \\ \overline{\mathcal{R}, \mathcal{G}, \{[]\} \vdash [p] \mathbf{a} \ [ok:q]} \end{array}$	
$\frac{\text{BACKWARDSVARIANT}}{\forall k. \mathcal{R}, \mathcal{G}, \Theta \vdash [S(k)] C[ok: S(k+1)]} \forall n > 0. \ \Theta_n = \Theta + \Theta_{n-1}}{\mathcal{R}, \mathcal{G}, \Theta_n \vdash [S(0)] C[ok: S(n)]}$		
$\frac{\mathcal{C}_{\text{HOICE}}}{\mathcal{R}, \mathcal{G}, \Theta \vdash [P] C_{i} [\epsilon : Q] \text{ for some } i \in \{1, 2\}}}{\mathcal{R}, \mathcal{G}, \Theta \vdash [P] C_{1} + C_{2} [\epsilon : Q]}$	$\frac{\underset{\mathcal{R},\mathcal{G},\Theta_{1}}{P} \vdash [P] C[\epsilon:Q] \mathcal{R},\mathcal{G},\Theta_{2} \vdash [P] C[\epsilon:Q]}{\mathcal{R},\mathcal{G},\Theta_{1} \cup \Theta_{2} \vdash [P] C[\epsilon:Q]}$	
$\frac{\operatorname{EnvL}}{\mathcal{R}(\alpha) = (p, ok, r)} \frac{\mathcal{R}, \mathcal{G}, \Theta \vdash [p' * r * f] C[\epsilon : Q]}{\mathcal{R}, \mathcal{G}, \alpha :: \Theta \vdash [p' * p * f]} C[\epsilon : Q]}$	$\frac{\mathcal{E}_{\text{NVR}}}{\mathcal{R},\mathcal{G},\Theta \vdash [P] C [ok:r'*[r*f]] \mathcal{R}(\alpha) = (r,\epsilon,q)}{\mathcal{R},\mathcal{G},\Theta + \{[\alpha]\} \vdash [P] C [\epsilon:r'*[q*f]]}$	
$\frac{\mathcal{E}_{\text{NVER}}}{\mathcal{R}(\alpha) = (p, er, q)} er \in \text{EREXIT}} \\ \frac{\mathcal{R}(\beta, \mathcal{G}, \{[\alpha]\} \vdash [p * f]] C er : q * f]}{\mathcal{R}, \mathcal{G}, \{[\alpha]\} \vdash [p * f]] C er : q * f]}$	$\frac{\underset{\mathcal{R},\mathcal{G},\Theta}{\operatorname{Frame}}{\operatorname{Frame}}}{\mathcal{R},\mathcal{G},\Theta\vdash[P]\operatorname{C}[\epsilon:Q] \operatorname{stable}(R,\mathcal{R}\cup\mathcal{G})}{\mathcal{R},\mathcal{G},\Theta\vdash[P\ast R] \operatorname{C}[\epsilon:Q\ast R]}$	
$\frac{\underset{\mathcal{R},\mathcal{G},\Theta \vdash [P]}{\mathcal{R},\mathcal{G},\Theta \vdash [P]} C_{i} [er:Q] \text{ for some } i \in \{1,2\}}{er \in \operatorname{EREXIT} \ \Theta \sqsubseteq \mathcal{G}}$ $\frac{\mathcal{R},\mathcal{G},\Theta \vdash [P]}{\mathcal{R},\mathcal{G},\Theta \vdash [P]} C_{1} C_{2} [er:Q]$	$\frac{\underset{P'\subseteq P}{\overset{\mathcal{R}',\mathcal{G}',\Theta'\vdash [P']}{\overset{\mathcal{R},\preccurlyeq_{\Theta}\mathcal{R}'}\mathcal{G}\preccurlyeq_{\Theta}\mathcal{G}'} \underbrace{C\left[\epsilon:Q'\right]}_{\mathcal{R},\varsigma,\Theta\vdash [P]} Q\subseteq Q'}{\mathcal{R},\mathcal{G},\Theta\vdash [P]} C\left[\epsilon:Q\right]}$	
$\frac{\mathcal{P}_{AR}}{\mathcal{R}_{1},\mathcal{G}_{1},\Theta_{1}\vdash[P_{1}] C_{1}[\epsilon:Q_{1}]} \\ \frac{\mathcal{R}_{1}\subseteq\mathcal{G}_{2}\cup\mathcal{R}_{2}}{\mathcal{R}_{2}\subseteq\mathcal{G}_{1}\cup\mathcal{R}_{1}} \\ \frac{\mathcal{R}_{1}\cap\mathcal{R}_{2},\mathcal{G}_{1}\cup\mathcal{G}_{2},\Theta_{1}\cap\Theta_{2}\vdash[P_{1}]}{\mathcal{R}_{1}\cap\mathcal{R}_{2},\mathcal{G}_{1}\cup\mathcal{G}_{2},\Theta_{1}\cap\Theta_{2}\vdash[P_{1}]} \\ \frac{\mathcal{R}_{1}\subseteq\mathcal{G}_{2}\cup\mathcal{R}_{2}}{\mathcal{R}_{2}\subseteq\mathcal{G}_{1}\cup\mathcal{R}_{2}} \\ \frac{\mathcal{R}_{1}\subseteq\mathcal{G}_{2}\cup\mathcal{R}_{2}}{\mathcal{R}_{2}\subseteq\mathcal{G}_{1}\cup\mathcal{G}_{2},\Theta_{1}\cap\Theta_{2}\vdash[P_{1}]} \\ \frac{\mathcal{R}_{1}\subseteq\mathcal{G}_{2}\cup\mathcal{R}_{2}}{\mathcal{R}_{2}\subseteq\mathcal{G}_{1}\cup\mathcal{G}_{2},\Theta_{1}\cap\Theta_{2}\vdash[P_{1}]} \\ \frac{\mathcal{R}_{1}\subseteq\mathcal{G}_{2}\cup\mathcal{R}_{2}}{\mathcal{R}_{2}\subseteq\mathcal{G}_{1}\cup\mathcal{R}_{2}} \\ \frac{\mathcal{R}_{1}\subseteq\mathcal{R}_{2}\cup\mathcal{R}_{2}}{\mathcal{R}_{2}\subseteq\mathcal{R}_{2}\cup\mathcal{R}_{2}} \\ \frac{\mathcal{R}_{1}\subseteq\mathcal{R}_{2}\cup\mathcal{R}_{2}}{\mathcal{R}_{2}\subseteq\mathcal{R}_{2}\cup\mathcal{R}_{2}} \\ \frac{\mathcal{R}_{2}\subseteq\mathcal{R}_{2}\cup\mathcal{R}_{2}}{\mathcal{R}_{2}\subseteq\mathcal{R}_{2}\cup\mathcal{R}_{2}} \\ \frac{\mathcal{R}_{2}\subseteq\mathcal{R}_{2}\cup\mathcal{R}_{2}}{\mathcal{R}_{2}\subseteq\mathcal{R}_{2}\cup\mathcal{R}_{2}} \\ \frac{\mathcal{R}_{2}\subseteq\mathcal{R}_{2}\cup$	$dsj(\mathcal{G}_1,\mathcal{G}_2) \qquad \Theta_1 \cap \Theta_2 \neq \emptyset$	
with $\Theta \sqsubseteq \mathcal{G} \stackrel{\text{def}}{\longleftrightarrow} \forall \theta \in \Theta. \ \theta \subseteq dom(\mathcal{G})$ and $stable(R, \mathcal{R}) \stackrel{\text{def}}{\longleftrightarrow} \forall (l,g) \in R, \alpha. \ \forall (p, -, q)$	$\in \mathcal{R}(\alpha), g_q \in q, g_p \in p, g'. g = g_q \circ g' \Rightarrow (l, g_p \circ g') \in R$	

Figure 3 The CASL proof rules, where \mathcal{R}/\mathcal{G} relations in contexts are well-formed.

current thread may be interleaved by the environment. Given $\alpha \in dom(\mathcal{R})$, the current thread may execute C either *after* or *before* the environment takes action α , as captured by ENVL and ENVR, respectively. In the case of ENVL we further require that α (in $dom(\mathcal{R})$) denote a normal (ok) execution step, as otherwise the execution would short-circuit and the current thread could not execute C. Note that unlike in ATOM, the environment action α in ENVL and ENVR only updates the shared state; e.g. in ENVL the *p* sub-part of the shared state is updated to *r* and the local state p' is left unchanged. Analogously, ENVER states that executing C may terminate erroneously under *er* if it is interleaved by an *erroneous* step of the environment under *er*. That is, if the environment takes an erroneous step, the

25:12 A General Approach to Under-approximate Reasoning about Concurrent Programs

execution of the current thread is terminated, as per the short-circuiting semantics of errors.

Note that ATOM ensures action α is taken by the current thread (in \mathcal{G}) only when the thread witnesses it by executing a matching atom. By contrast, in ENVL, ENVR and ENVER we merely *assume* the environment takes action α in \mathcal{R} . As such, each thread locally ensures that it takes the guarantee actions in its traces. As shown in PAR, when joining the threads via parallel composition $C_1 || C_2$, we ensure their sets of traces agree: $\Theta_1 \cap \Theta_2 \neq \emptyset$. Moreover, to ensure we can attribute each action in traces to a unique thread, we require that \mathcal{G}_1 and \mathcal{G}_2 be disjoint ($dsj(\mathcal{G}_1, \mathcal{G}_2)$, see Fig. 2). Finally, when τ_1 and τ_2 respectively denote the threads running C_1 and C_2 , the $\mathcal{R}_1 \subseteq \mathcal{G}_2 \cup \mathcal{R}_2$ premise ensures when τ_1 attributes an action α to \mathcal{R}_1 (i.e. α is in \mathcal{R}_1), then α is an action of either τ_2 (i.e. α is in \mathcal{G}_2) or its environment (i.e. of a thread running concurrently with both τ_1 and τ_2); similarly for $\mathcal{R}_2 \subseteq \mathcal{G}_1 \cup \mathcal{R}_1$.

Observe that PAR can be used for both normal and erroneous triples (i.e. for any ϵ) compositionally. This is in contrast to CISL, where only ok triples can be proved using CISL-PAR, and thus bugs can be detected only if they can be encoded as ok (see §2). In other words, CISL cannot compositionally detect either data-agnostic bugs with short-circuiting semantics or data-dependent bugs altogether, while CASL can detect both data-agnostic and data-dependent bugs compositionally using PAR, without the need to encode them as ok. This is because CASL captures the environment in \mathcal{R} , enabling compositional reasoning. In particular, even when we do not know the program in parallel, so long as its behaviour adheres to \mathcal{R} , we can detect an error: $\mathcal{R}, \mathcal{G}, \Theta \vdash [P] \mathsf{C}[er:Q]$ ensures the error is reachable as long as the environment adheres to \mathcal{R} , without knowing the program run in parallel to C .

PARER is the concurrent analogue of SEQER, describing the short-circuiting semantics of concurrent executions: given $i \in \{1, 2\}$, if running C_i in isolation results in an error, then running $C_1 || C_2$ also yields an error. The $\Theta \sqsubseteq \mathcal{G}$ premise (defined in Fig. 3) ensures the actions in Θ are from \mathcal{G} , i.e. taken by the current thread and not assumed to have been taken by the environment. COMB allows us to extend the traces: if the traces in both Θ_1 and Θ_2 witness the execution of C, then the traces in $\Theta_1 \cup \Theta_2$ also witness the execution of C.

Cons is the CASL rule of consequence. As with under-approximate logics [17, 18, 19], the post-worlds Q may shrink $(Q \subseteq Q')$ and the pre-worlds P may grow $(P' \subseteq P)$. The traces may shrink $(\Theta \subseteq \Theta')$: if traces in Θ' witness executing C, then so do the traces in the smaller set Θ . Lastly, $\mathcal{R} \preccurlyeq_{\Theta} \mathcal{R}'$ (resp. $\mathcal{G} \preccurlyeq_{\Theta} \mathcal{G}'$) defined in Fig. 2 states that the rely (resp. guarantee) may grow or shrink so long as it preserves the behaviour of actions in Θ . This is in contrast to RG/RGSep where the rely may only shrink and the guarantee may only grow. This is because in RG/RGSep one must defensively prove correctness against *all* environment actions at *all program points*, i.e. for *all interleavings*. Therefore, if a program is correct under a larger environment (with more actions) \mathcal{R}' , then it is also correct under a smaller environment \mathcal{R} . In CASL, however, we show an outcome is reachable under a set of witness interleavings Θ . Hence, for traces in Θ to remain valid witnesses, the rely/guarantee may grow or shrink, so long as they faithfully reflect the behaviours of the actions in Θ .

Lastly, FRAME states that if we show $\mathcal{R}, \mathcal{G}, \Theta \vdash [P] \mathsf{C}[\epsilon:Q]$, we can also show $\mathcal{R}, \mathcal{G}, \Theta \vdash [P * R] \mathsf{C}[\epsilon:Q * R]$, so long as the worlds in R are *stable* under \mathcal{R}, \mathcal{G} (stable($R, \mathcal{R} \cup \mathcal{G}$), defined in Fig. 3), in that R accounts for possible updates. That is, given $(l, g) \in R$ and α with $(p, -, q) \in \mathcal{R}(\alpha) \cup \mathcal{G}(\alpha)$, if a sub-part g_q of the shared g is in q ($g = g_q \circ g'$ for some $g_q \in q$ and g'), then replacing g_q with an arbitrary $g_p \in p$ results in a world (i.e. $(l, g_p \circ g')$) also in R.

CASL Soundness. We define the formal interpretation of CASL triples via *semantic triples* of the form $\mathcal{R}, \mathcal{G}, \Theta \models [P] \subset [\epsilon : Q]$ (see §A). We show CASL is sound by showing its triples in Fig. 3 induce valid semantics triples. We do this in the theorem below, with its proof in §B.

▶ Theorem 2 (Soundness). For all $\mathcal{R}, \mathcal{G}, \Theta, p, \mathsf{C}, \epsilon, q$, if $\mathcal{R}, \mathcal{G}, \Theta \vdash [p] \mathsf{C} [\epsilon : q]$ is derivable

$$\begin{split} \text{ID-VARSECRET} & \left[\mathbf{s}_{\tau} \vdash \rightarrow n\right] \text{L:} \operatorname{local} x :=_{\tau} * \left[ok: \mathbf{s}_{\tau} \vdash \rightarrow (n+1) * x = \operatorname{top} - n * x \mapsto (v, \tau, 1)\right] \\ \text{ID-VARARRAY} \\ \left[\mathbf{s}_{\tau} \vdash \rightarrow n * k \geq 0\right] \text{L:} \operatorname{local} x[k] :=_{\tau} \left\{v\right\} \begin{bmatrix} ok: \mathbf{s}_{\tau} \vdash \rightarrow (n+k) * x = \operatorname{top} - (n+k-1) * \bigstar_{j=0}^{k-1} (x+j \mapsto (v,\tau,0)) * k \geq 0 \end{bmatrix} \\ \text{ID-READARRAY} \\ \left[k \mapsto (v, \tau_v, b) * y + v \mapsto V_y * x \mapsto -\right] \text{L:} x :=_{\tau} y[k] \begin{bmatrix} ok: k \mapsto (v, \tau_v, b) * y + v \mapsto V_y * x \mapsto V_y \end{bmatrix} \\ \text{ID-SENDVAL} & \text{ID-SEND} \\ \left[c \mapsto L\right] \text{L:} \operatorname{send}(c, v)_{\tau} \begin{bmatrix} ok: c \mapsto L + \left[(v, \tau, 0)\right] \right] & \left[c \mapsto L * x \mapsto V\right] \text{L:} \operatorname{send}(c, x)_{\tau} \begin{bmatrix} ok: c \mapsto L + \left[V\right] \end{bmatrix} \\ \text{ID-RECV} \\ \left[c \mapsto \left[(v, \tau_t, \iota)\right] + L * x \mapsto - * (\iota = 0 \lor \tau \in \operatorname{Trust}) \end{bmatrix} \text{L:} \operatorname{recv}(c, x)_{\tau} \begin{bmatrix} ok: c \mapsto L * x \mapsto (v, \tau_t, \iota) * (\iota = 0 \lor \tau \in \operatorname{Trust}) \end{bmatrix} \\ \text{ID-RECVER} \\ \left[c \mapsto \left[(v, \tau_t, 1)\right] + L * \tau \notin \operatorname{Trust} \end{bmatrix} \text{L:} \operatorname{recv}(c, x)_{\tau} \begin{bmatrix} er: c \mapsto \left[(v, \tau_t, 1)\right] + L * \tau \notin \operatorname{Trust} \end{bmatrix} \end{split}$$

Figure 4 The $CASL_{ID}$ axioms

using the rules in Fig. 3, then $\mathcal{R}, \mathcal{G}, \Theta \models [p] \subset [\epsilon : q]$ holds.

4 CASL for Exploit Detection

We present $CASL_{ID}$, a CASL instance for detecting *stack-based information disclosure* exploits. In the technical appendix [?] we present $CASL_{HID}$ for detecting *heap-based information disclosure* exploits [?, C] and $CASL_{MS}$ for detecting *memory safety attacks* [?, D].

The CASL_{ID} atomics, ATOM_{ID}, are below, where $L \in \mathbb{N}$ is a label, x, y are (local) variables, c is a shared channel and v is a value. They include assume statements and primitives for generating a random value * (local $x :=_{\tau} *$) used to model a secret value (e.g. a private key), declaring an array x of size n initialised with v (local $x[n] :=_{\tau} \{v\}$), array assignment L: $x[k] :=_{\tau} y$, sending (send(c, x) and send(c, v)) and receiving (recv(c, x)) over channel c. As is standard, we encode if (b) then C₁ else C₂ as (assume(b); C₁) + (assume $(\neg b)$; C₂).

 $\begin{array}{l} \operatorname{ATOM}_{\mathsf{ID}} \ni \mathbf{a} ::= \operatorname{L:} \operatorname{assume}(b) \mid \operatorname{L:} \operatorname{local} x :=_{\tau} * \mid \operatorname{L:} \operatorname{local} x[k] :=_{\tau} \{v\} \mid \operatorname{L:} x :=_{\tau} y[k] \\ \mid \operatorname{L:} \operatorname{send}(c, x)_{\tau} \mid \operatorname{L:} \operatorname{send}(c, v)_{\tau} \mid \operatorname{L:} \operatorname{recv}(c, x)_{\tau} \end{array}$

CASL_{ID} **States.** A CASL_{ID} state, (s, h, \mathbf{h}) , comprises a variable stack $s \in \text{STACK} \triangleq \text{VAR} \rightarrow \widetilde{\text{VAL}}$, mapping variables to *instrumented values*; a heap $h \in \text{HEAP} \triangleq \text{Loc} \rightarrow (\widetilde{\text{VAL}} \cup \text{LIST} \langle \widetilde{\text{VAL}} \rangle)$, mapping shared locations (e.g. channel c) to (lists of) instrumented values; and a ghost heap $\mathbf{h} \in \text{GHEAP} \triangleq (\{\mathbf{s}\} \times \text{TID}) \rightarrow \text{VAL}$, tracking the stack size (\mathbf{s}). An instrumented value, $(v, \tau, \iota) \in \widetilde{\text{VAL}} \triangleq \text{VAL} \times \text{TID} \times \{0, 1\}$, comprises a value (v), its provenance $(\tau, \text{ the thread} from which v originated)$, and its secret attribute $(\iota \in \{0, 1\})$ denoting whether the value is secret (1) or not (0). We use x, y as metavariables for local variables, c for shared channels, v for values, L for value lists and V for instrumented values. State composition is defined as (\uplus, \uplus, \uplus) , where \uplus denotes disjoint function union. The state unit set is $\{(\emptyset, \emptyset, \emptyset)\}$. We write $x \vDash V$ for states in which the stack comprises a single variable x mapped on to V and the heap and ghost heaps are empty, i.e. $\{([x \mapsto V], \emptyset, \emptyset)\}$. Similarly, we write $c \mapsto L$ for $\{(\emptyset, [c \mapsto L], \emptyset)\}$, and $\mathbf{s}_{\tau} \mapsto v$ for $\{(\emptyset, \emptyset, [(\mathbf{s}, \tau) \mapsto v])\}$.

CASL_{ID} **Axioms.** We present the CASL_{ID} atomic axioms in Fig. 4. We assume that each variable declaration (via local $x :=_{\tau} *$ and local $x[n] :=_{\tau} \{v\}$) defines a *fresh* name, and thus

25:14 A General Approach to Under-approximate Reasoning about Concurrent Programs

avoid the need for variable renaming at declaration time. We assume the stack top is given by the constant top; thus when the stack of thread τ is of size n (i.e. $\mathbf{s}_{\tau} \vdash \rightarrow n$), the next empty stack spot is at top-n. Executing L: local $x :=_{\tau} *$ in ID-VARSECRET increments the stack size $(\mathbf{s}_{\tau} \vdash \rightarrow n+1)$, reserves the next empty spot for x and initialises x with a value (v) marked secret (1) with its provenance (thread τ). Analogously, ID-VARARRAY describes declaring an array of size k, where the next k spots are reserved for x (the \bigstar denotes *-iteration: $\bigstar_{j=1}^{n}(x+j \mapsto V) \triangleq x+1 \mapsto V * \cdots * x+n \mapsto V$). When k holds value v, ID-READARRAY reads the v^{th} entry of y (at y+v) in x. ID-SENDVAL extends the content of c with $(v, \tau, 0)$. ID-RECV describes safe data receipt (not leading to *information disclosure*), i.e. the value received is not secret $(\iota=0)$ or the recipient is *trusted* $(\tau \in \text{Trust} \triangleq \text{TID} \setminus \{\tau_a\})$. By contrast, ID-RECVER describes when receiving data leads to information disclosure, i.e. the value received is secret and the recipient is untrusted $(\tau \notin \text{Trust})$, in which case the state is unchanged.

Example: InfDis. In Fig. 5 we present a CASL_{ID} proof sketch of the information disclosure exploit in INFDIS. The proof of the full program is given in Fig. 5a. Starting from $P_a * P_v$ with a singleton empty trace (Θ_0 , defined in Fig. 2), we use PAR to pass P_a and P_v respectively to τ_a and τ_v , analyse each thread in isolation, and combine their results (Q_a and Q_v) into $Q_a * Q_v$, with the two agreeing on the trace set Θ generated. Figures 5b and 5c show the proofs of τ_a and τ_v , respectively, where we have also defined their pre- and post-conditions.

All stack variables are local and channel c is the only shared resource. As such, rely/guarantee relations describe how τ_a and τ_v transmit data over c: α_1 and α_2 capture the recv and send in τ_v , while α'_1 and α'_2 capture the send and recv in τ_a . Using ATOMLOCAL and CASL_{ID} axioms, τ_v executes its first two instructions. It then uses FRAME to frame off unneeded resources and applies ENVL to account for τ_a sending $(8, \tau_a, 0)$ over c. Using ATOM with ID-RECV it receives this value in x. After using CONS to rewrite sec = top *w = top-8equivalently to sec = w+8 * w = top-8, it applies ATOMLOCAL with ID-READARRAY to read from w[x] (i.e. the secret value at sec = w+8) in z. It then sends this value over c, arriving at an error using ENVER as the value received by the adversary τ_a is secret. The last line then adds on the resources previously framed off. The proof of τ_a in Fig. 5b is analogous.

5 Related Work

Under-Approximate Reasoning. CASL builds on and generalises CISL [19]. As with IL [17] and ISL [18], CASL is an instance of under-approximate reasoning. However, IL and ISL support only sequential programs and not concurrent ones. Vanegue [22] recently developed adversarial logic (AL) as an under-approximate technique for detecting exploits. While we model C_v and C_a as $C_a \parallel C_v$ as with AL, there are several differences between AL and CASL. CASL is a general, under-approximate framework that can be 1) used to detect both exploits and bugs in concurrent programs, while AL is tailored towards exploits only; 2) *instantiated* for different classes of bugs/exploits, while the model of AL is hard-coded. Moreover, CASL borrows ideas from CISL to enable 3) *state-local* reasoning (only over parts of the state accessed), while AL supports global reasoning only (over the entire state); and 4) *thread-local* reasoning (analysing each thread in isolation), while AL accounts for all threads.

Automated Exploit Generation. Determining the exploitability of bugs is central to prioritising fixes at large scale. Automated exploit generation (AEG) tools craft an exploit based on predetermined heuristics and preconditioned symbolic execution of unsafe binary programs [2, 5]. Additional improvements use random walk techniques to exploit heap buffer overflow vulnerabilities reachable from known bugs [10, 1, 11]. Exploits for use-after-free vulnerabilities [23] and unsafe memory write primitives [6] have also been partially automated.

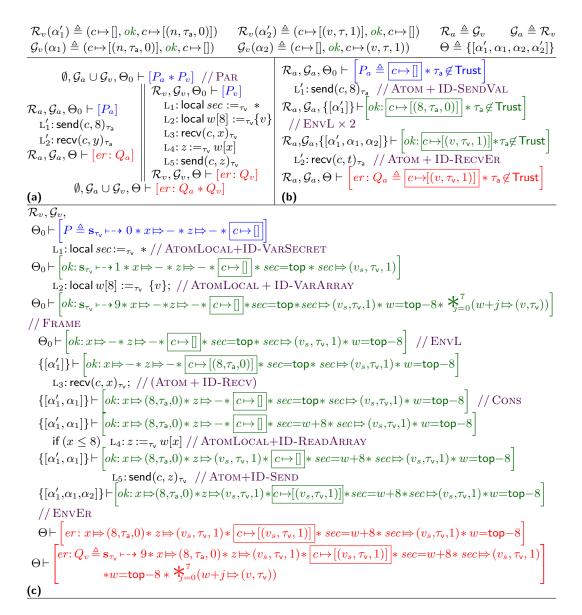


Figure 5 Proofs of INFDIS (a), its adversary (b) and vulnerable (c) programs

As with CASL, AEG tools are fundamentally under-approximate and may not find all attacks. Assumptions made by AEG tools are hard-coded in their implementation, in contrast to CASL which can be instantiated for new classes of vulnerabilities without redesigning the core logic from scratch. Finally, traditional AEG tools have no notion of locality and require global reasoning, making existing tools unable to cope with the path explosion problem and large targets without compromising coverage. By contrast, CASL mostly acts on local states, making it more suitable for large-scale exploit detection than current tools.

— References

1 Abeer Alhuzali, Birhanu Eshete, Rigel Gjomemo, and VN Venkatakrishnan. Chainsaw: Chained automated workflow-based exploit generation. In *Proceedings of the 2016 ACM*

25:16 A General Approach to Under-approximate Reasoning about Concurrent Programs

SIGSAC Conference on Computer and Communications Security, pages 641–652, 2016.

- 2 Thanassis Avgerinos, Sang Kil Cha, Alexandre Rebert, Edward J Schwartz, Maverick Woo, and David Brumley. Automatic exploit generation. *Communications of the ACM*, 57(2):74–84, 2014.
- 3 Sam Blackshear, Nikos Gorogiannis, Peter W. O'Hearn, and Ilya Sergey. Racerd: Compositional static race detection. Proc. ACM Program. Lang., 2(OOPSLA), October 2018. doi:10.1145/ 3276514.
- 4 James Brotherston, Paul Brunet, Nikos Gorogiannis, and Max Kanovich. A compositional deadlock detector for android java. In *Proceedings of ASE-36*. ACM, 2021. URL: http://www0.cs.ucl.ac.uk/staff/J.Brotherston/ASE21/deadlocks.pdf.
- 5 Sang Kil Cha, Thanassis Avgerinos, Alexandre Rebert, and David Brumley. Unleashing mayhem on binary code. In 2012 IEEE Symposium on Security and Privacy, pages 380–394. IEEE, 2012.
- 6 Weiteng Chen, Xiaochen Zou, Guoren Li, and Zhiyun Qian. {KOOBE}: Towards facilitating exploit generation of kernel {Out-Of-Bounds} write vulnerabilities. In 29th USENIX Security Symposium (USENIX Security 20), pages 1093–1110, 2020.
- 7 Duqu. Duqu FAQ, 2011. URL: https://securelist.com/duqu-faq-33/32463/.
- 8 Facebook, 2021. URL: https://fbinfer.com/.
- 9 Heartbleed. The heartbleed bug, 2014. URL: https://heartbleed.com/.
- 10 Sean Heelan, Tom Melham, and Daniel Kroening. Automatic heap layout manipulation for exploitation. In 27th USENIX Security Symposium (USENIX Security 18), pages 763–779, 2018.
- 11 Sean Heelan, Tom Melham, and Daniel Kroening. Gollum: Modular and greybox exploit generation for heap overflows in interpreters. In *Proceedings of the 2019 ACM SIGSAC Conference on Computer and Communications Security*, pages 1689–1706, 2019.
- 12 C. B. Jones. Tentative steps toward a development method for interfering programs. ACM Trans. Program. Lang. Syst., 5(4):596-619, October 1983. URL: http://doi.acm.org/10. 1145/69575.69577, doi:10.1145/69575.69577.
- 13 Ralf Jung, David Swasey, Filip Sieczkowski, Kasper Svendsen, Aaron Turon, Lars Birkedal, and Derek Dreyer. Iris: Monoids and invariants as an orthogonal basis for concurrent reasoning. In Proceedings of the 42nd Annual ACM SIGPLAN-SIGACT Symposium on Principles of Programming Languages, POPL '15, page 637–650, New York, NY, USA, 2015. Association for Computing Machinery. doi:10.1145/2676726.2676980.
- 14 Quang Loc Le, Azalea Raad, Jules Villard, Josh Berdine, Derek Dreyer, and Peter W. O'Hearn. Finding real bugs in big programs with incorrectness logic. Proc. ACM Program. Lang., 6(OOPSLA1), apr 2022. doi:10.1145/3527325.
- 15 Lars Müller. KPTI: A mitigation method against meltdown, 2018. URL: https://www.cs. hs-rm.de/~kaiser/events/wamos2018/Slides/mueller.pdf.
- 16 Peter W. O'Hearn. Resources, concurrency and local reasoning. In Philippa Gardner and Nobuko Yoshida, editors, CONCUR 2004 - Concurrency Theory, pages 49–67, Berlin, Heidelberg, 2004. Springer Berlin Heidelberg.
- 17 Peter W. O'Hearn. Incorrectness logic. Proc. ACM Program. Lang., 4(POPL):10:1-10:32, December 2019. URL: http://doi.acm.org/10.1145/3371078.
- 18 Azalea Raad, Josh Berdine, Hoang-Hai Dang, Derek Dreyer, Peter O'Hearn, and Jules Villard. Local reasoning about the presence of bugs: Incorrectness separation logic. In Shuvendu K. Lahiri and Chao Wang, editors, *Computer Aided Verification*, pages 225–252, Cham, 2020. Springer International Publishing.
- 19 Azalea Raad, Josh Berdine, Derek Dreyer, and Peter W. O'Hearn. Concurrent incorrectness separation logic. Proc. ACM Program. Lang., 6(POPL), jan 2022. doi:10.1145/3498695.
- 20 Viktor Vafeiadis and Matthew Parkinson. A marriage of rely/guarantee and separation logic. In Luís Caires and Vasco T. Vasconcelos, editors, CONCUR 2007 – Concurrency Theory, pages 256–271, Berlin, Heidelberg, 2007. Springer Berlin Heidelberg.

- 21 Julien Vanegue. Zero-sized heap allocations vulnerability analysis. In *Proceedings of the 4th USENIX Conference on Offensive Technologies*, WOOT'10, page 1–8, USA, 2010. USENIX Association.
- 22 Julien Vanegue. Adversarial logic. Proc. ACM Program. Lang., (SAS), December 2022.
- 23 Wei Wu, Yueqi Chen, Jun Xu, Xinyu Xing, Xiaorui Gong, and Wei Zou. {FUZE}: Towards facilitating exploit generation for kernel {Use-After-Free} vulnerabilities. In 27th USENIX Security Symposium (USENIX Security 18), pages 781–797, 2018.

25:18 A General Approach to Under-approximate Reasoning about Concurrent Programs

$$\frac{1}{\mathbf{a} \stackrel{\mathbf{a}}{\rightarrow} \mathsf{skip}} \frac{C_1 \stackrel{l}{\rightarrow} C_1'}{C_1; C_2 \stackrel{l}{\rightarrow} C_1'; C_2} \frac{1}{\mathsf{skip}; \mathsf{C} \stackrel{\mathsf{id}}{\rightarrow} \mathsf{C}} \frac{1}{\mathsf{skip}; \mathsf{C} \stackrel{\mathsf{id}}{\rightarrow} \mathsf{C}} \frac{1}{\mathsf{C}_1 + \mathsf{C}_2 \stackrel{\mathsf{id}}{\rightarrow} \mathsf{C}_i} \frac{1}{\mathsf$$

Figure 6 The CASL control flow transitions (above); the CASL operational semantics (below)

A The CASL Operational Semantics and Semantic Triples

CASL Machine States and Operational Semantics. The states in STATE (Def. 1) denote a high-level representation of the program state, while the low-level representation of the memory is given by *machine states*, MSTATE, also supplied as a CASL parameter. As atomic commands (ATOM) are a CASL parameter, we also parametrise their semantics given as machine state transformers: we assume an *atomic semantics function* $[\![.]]_A$: ATOM \rightarrow EXIT $\rightarrow \mathcal{P}(MSTATE \times MSTATE)$.

As in CISL, we formulate the CASL operational semantics by separating its *control* flow transitions (describing the sequential execution steps in each thread) from its statetransforming transitions (describing how the underlying machine states determine the overall execution of a (concurrent) program). The CASL control flow transitions at the top of Fig. 6 are of the form $C \xrightarrow{l} C'$, where $l \in LAB \triangleq ATOM \uplus \{id\}$ denotes the transition label, which may be either id for silent transitions (no-ops), or $\mathbf{a} \in ATOM$ for executing an atomic command \mathbf{a} . The state-transforming function, $[\![.]\!] : LAB \to EXIT \to \mathcal{P}(MSTATE \times MSTATE)$, is an extension of $[\![.]\!]_A$, where given a transition label l, the $[\![l]\!]\epsilon$ is defined as 1) $[\![l]\!]_A\epsilon$ when $l \in ATOM$; 2) $\{(m, m) \mid m \in MSTATE\}$ when l=id and $\epsilon=ok$; and 3) \emptyset when l=id and $\epsilon \in EREXIT$. That is, atomic transitions transform the state as per their semantics, while no-op transitions (id) always execute normally and leave the state unchanged.

The CASL state-transforming transitions are given at the bottom of Fig. 6 and are of the form $\mathsf{C}, m \xrightarrow{n} \epsilon, m'$, stating that starting from machine state m, program C terminates after n steps in machine state m' under ϵ . The first transition states that skip trivially terminates (after zero steps) successfully (under ok) and leaves the underlying state unchanged. The second transition states that starting from m, program C terminates erroneously (with $er \in \mathsf{EREXIT}$) after one step in m' if it takes an erroneous step. The last transition states that if C takes one normal (ok) step transforming m to m'', and the resulting program C'' subsequently terminates after n steps with ϵ transforming m to m'.

We define the notion of *world erasure*, $\lfloor . \rfloor$: WORLD $\rightarrow \mathcal{P}(\text{MSTATE})$, relating a CASL world (l,g) to a set of machine states, by first composing l and g together into the state $l \circ g$, and then erasing the resulting state via the state erasure function $|.|_{S}$.

▶ **Definition 3 (World erasure).** The world erasure function, $\lfloor . \rfloor$: WORLD $\rightarrow \mathcal{P}(\text{MSTATE})$, is defined as: $\lfloor w \rfloor \triangleq \lfloor \Vert w \Vert \rfloor_{\mathsf{S}}$ with $\Vert (l,g) \Vert \triangleq l \circ g$.

In order to account for local atomic executions in ATOMLOCAL, we introduce the notion of *instrumented traces*. An instrumented trace is a sequence of $AID \cup \{L\}$, where each entry

is either 1) an action $\alpha \in AID$, denoting the execution of an action (in rely or guarantee) that changes the underlying shared state; or 2) the token L, denoting a local execution that leaves the shared state unchanged.

▶ Definition 4 (Instrumented traces). The set of instrumented traces is $\delta \in \text{ITRACE} \triangleq \text{LIST}(\text{AID} \cup \{L\})$. The trace erasure, $|.|: \text{ITRACE} \rightarrow \text{TRACE}$, is defined as follows:

 $\lfloor [] \rfloor \triangleq [] \qquad \lfloor \alpha :: \delta \rfloor \triangleq \alpha :: \lfloor \delta \rfloor \qquad \lfloor L :: \delta \rfloor \triangleq \lfloor \delta \rfloor$

Notation. Given a world w = (l, g), we write w^{L} and w^{G} for l and g, respectively.

To show CASL is sound we must show that its (syntactic) triples in Fig. 3 induce valid semantics triples: if $\mathcal{R}, \mathcal{G}, \Theta \vdash [P] \subset [\epsilon : Q]$ is derivable using the rules in Fig. 3, then $\mathcal{R}, \mathcal{G}, \Theta \models [P] \subset [\epsilon : Q]$ holds, as defined below. Note that we must also show this for the atomic axioms (AXIOM) as they are lifted to CASL rules via ATOM and ATOMLOCAL. As atomic axioms are a CASL parameter, we thus require that they (1) induce valid semantic triples; and (2) preserve all *-compatible states. Condition (1) ensures that ATOM/ATOMLOCAL induce valid semantic triples; concretely, $(p, \mathbf{a}, \epsilon, q)$ induces a valid semantic triple iff every machine state $m_q \in \lfloor q \rfloor_S$ is reachable under ϵ by executing \mathbf{a} on some $m_p \in \lfloor p \rfloor_S$, i.e. $(m_p, m_q) \in \llbracket \mathbf{a} \rrbracket_A \epsilon$. Condition (2) ensures that atomic commands of one thread preserve the states of concurrent threads in the environment and is necessary for the soundness of FRAME. Putting the two together, we assume *atomic soundness* (a CASL parameter) as follows:

$$\forall (p, \mathbf{a}, \epsilon, q) \in \text{AXIOM}, l. \ \forall m_q \in \lfloor q * \{l\} \rfloor_{\mathsf{S}}. \ \exists m_p \in \lfloor p * \{l\} \rfloor_{\mathsf{S}}. \ (m_p, m_q) \in \llbracket \mathbf{a} \rrbracket_{\mathsf{A}} \epsilon$$
(SOUNDATOMS)

Semantic CASL Triples. We next present the formal interpretation of CASL triples. Recall that a semantic CASL triple $\mathcal{R}, \mathcal{G}, \Theta \models [P] \subset [\epsilon : Q]$ states that every world in q can be reached in n steps (for some n) under ϵ for every trace $\theta \in \Theta$ by executing C on some world in P, with the actions of the current thread (executing C) and its environment adhering to \mathcal{G} and \mathcal{R} , respectively. Put formally: $\mathcal{R}, \mathcal{G}, \Theta \models [P] \subset [\epsilon : Q] \iff \forall \theta \in \Theta. \mathcal{R}, \mathcal{G}, \theta \models [P] \subset [\epsilon : Q]$, where

$$\mathcal{R}, \mathcal{G}, \theta \models [P] \ \mathsf{C} \ [\epsilon : Q] \iff \exists \delta. \ \lfloor \delta \rfloor = \theta \land \forall w_q \in Q. \ \exists n. \ \mathsf{reach}_n(\mathcal{R}, \mathcal{G}, \theta, P, \mathsf{C}, \epsilon, w_q)$$

with:

 $\begin{aligned} \operatorname{reach}_{n}(\mathcal{R},\mathcal{G},\theta,P,\mathsf{C},\epsilon,w) & \stackrel{\operatorname{def}}{\Longrightarrow} \exists k,\delta',\alpha,p,q,r,R,\mathbf{a},\mathsf{C}'. \\ n=0 \wedge \delta=[] \wedge \epsilon = ok \wedge \mathsf{C} \xrightarrow{\operatorname{id}} *\operatorname{skip} \wedge w \in P \\ & \vee n=1 \wedge \epsilon \in \operatorname{EREXIT} \wedge \delta=[\alpha] \wedge \mathcal{R}(\alpha) = (p,\epsilon,q) \wedge \operatorname{rely}(p,q,P,\{w\}) \\ & \vee n=1 \wedge \epsilon \in \operatorname{EREXIT} \wedge \delta=[\alpha] \wedge \mathcal{G}(\alpha) = (p,\epsilon,q) \wedge \operatorname{guar}(p,q,P,\{w\},\mathsf{C},\mathsf{C}',\mathbf{a},\epsilon) \\ & \vee n=k+1 \wedge \delta=[\alpha] + \delta' \wedge \mathcal{R}(\alpha) = (p,ok,r) \wedge \operatorname{rely}(p,r,P,R) \wedge \operatorname{reach}_{k}(\mathcal{R},\mathcal{G},\delta',R,\mathsf{C},\epsilon,w) \\ & \vee n=k+1 \wedge \delta=[\alpha] + \delta' \wedge \mathcal{G}(\alpha) = (p,ok,r) \wedge \operatorname{guar}(p,r,P,R,\mathsf{C},\mathsf{C}',\mathbf{a},ok) \wedge \operatorname{reach}_{k}(\mathcal{R},\mathcal{G},\delta',R,\mathsf{C}',\epsilon,w) \\ & \vee n=k+1 \wedge \delta=[\mathsf{L}] + \delta' \wedge \mathsf{C}, P \xrightarrow{\mathsf{a}_{\mathsf{L}}} \mathsf{C}', R, ok \wedge \operatorname{reach}_{k}(\mathcal{R},\mathcal{G},\delta',R,\mathsf{C}',\epsilon,w) \end{aligned}$

and

$$\begin{split} \mathsf{rely}(p,q,P,Q) & \stackrel{\text{def}}{\longleftrightarrow} \forall w \in Q. \ \exists g_q \in q. \ w^\mathsf{G} = g_q \circ - \land \forall g_q \in q, (l,g_q \circ g) \in Q. \ \emptyset \subset \left\{ (l,g_p \circ g) \ \middle| \ g_p \in p \right\} \subseteq P \\ \mathsf{guar}(p,q,P,Q,\mathsf{C},\mathsf{C}',\mathbf{a},\epsilon) & \stackrel{\text{def}}{\longleftrightarrow} \forall w_q \in Q. \ \exists g_q \in q, g_p \in p, w_p \in P, g. \ w_p^\mathsf{G} = g_p \circ g \land w_q^\mathsf{G} = g_q \circ g \land \mathsf{C}, w_p \stackrel{\mathbf{a}}{\rightsquigarrow} \mathsf{C}', w_q, \epsilon \end{split}$$

 $\begin{array}{l} \mathsf{C}, w_p \stackrel{\mathbf{a}}{\rightsquigarrow} \mathsf{C}', w_q, \epsilon & \stackrel{\text{def}}{\longleftrightarrow} \mathsf{C} \stackrel{\text{id}}{\to} * \stackrel{\mathbf{a}}{\to} \mathsf{C}' \land \forall l. \ \forall m_q \in \lfloor [\![w_q]\!] \circ l \rfloor. \ \exists m_p \in \lfloor [\![w_p]\!] \circ l \rfloor. \ (m_p, m_q) \in [\![\mathbf{a}]\!] \epsilon \\ \mathsf{C}, w_p \stackrel{\mathbf{a}}{\leadsto}_{\mathsf{L}} \mathsf{C}', w_q, \epsilon & \stackrel{\text{def}}{\Longleftrightarrow} \mathsf{C}, w_p \stackrel{\mathbf{a}}{\rightsquigarrow} \mathsf{C}', w_q, \epsilon \land w_p^{\mathsf{G}} = w_q^{\mathsf{G}} \\ \mathsf{C}, P \stackrel{\mathbf{a}}{\leadsto}_{\mathsf{L}} \mathsf{C}', Q, \epsilon & \stackrel{\text{def}}{\longleftrightarrow} \forall w_q \in Q. \ \exists w_p \in P. \ \mathsf{C}, w_p \stackrel{\mathbf{a}}{\rightsquigarrow} \mathsf{C}', w_q, \epsilon \end{array}$

25:20 A General Approach to Under-approximate Reasoning about Concurrent Programs

The first disjunct in reach simply states that any world $(l, q) \in P$ can be simply reached under ok in zero steps with an empty trace [], provided that C simply reduces to skip silently, i.e. without executing any atomic steps ($C \xrightarrow{id} * skip$). The next two disjuncts capture the short-circuit semantics of errors ($\epsilon \in \text{EREXIT}$). Specifically, the second disjunct states that m_q can be reached in one step under error ϵ when the *environment* executes a corresponding action α , i.e. when $\mathcal{R}(\alpha) = (p, \epsilon, q), m_q \in \lfloor q \rfloor$ and $\lfloor p \rfloor \subseteq P$; the trace of such execution is then given by $[\alpha]$. Similarly, the third disjunct states that m_q can be reached in one step under ϵ when the *current thread* executes a corresponding action α ($\mathcal{G}(\alpha) = (p, \epsilon, q)$). Moreover, the current thread must fulfil the specification (p, ϵ, q) of α by executing an atomic instruction a: C may take several silent steps reducing C to C' (C $\xrightarrow{id} *C'$) and subsequently execute **a**, reducing p to q under ϵ (C', $p \stackrel{\mathbf{a}}{\rightsquigarrow} -, q, \epsilon$). The latter ensures that C' can be reduced by executing **a** $(C' \xrightarrow{\mathbf{a}} -)$ and all states in q are reachable under ϵ from some state in p by executing **a**: $\forall m_q \in \lfloor q \rfloor$. $\exists m_p \in \lfloor p \rfloor$. $(m_p, m_q) \in \llbracket \mathbf{a} \rrbracket \epsilon$. Analogously, the last two disjuncts capture the inductive cases (n=k+1) where either the environment (penultimate disjunct) or the current thread (last disjunct) take an ok step, and m_q is subsequently reached in k steps under ϵ .

B CASL Soundness

We introduce the following additional rules and later in Theorem 23 show that they are sound:

SkipEnv		EndSkip
$\mathcal{R}(\alpha) = (p, \epsilon, q)$	$wf(\mathcal{R},\mathcal{G})$	$\mathcal{R}, \mathcal{G}, \Theta dash [P] \; C \; [\epsilon : Q]$
$\mathcal{R}, \mathcal{G}, \{[\alpha]\} \vdash \boxed{p * f}$	skip $\left[\epsilon: q*f\right]$	$\overline{\mathcal{R},\mathcal{G},\Thetadash[P]}$ C;skip [ϵ : Q]

In the following, whenever we write $\mathsf{reach}_{(.)}(\mathcal{R}, \mathcal{G}, ., ., ., .)$, we assume $\mathsf{wf}(\mathcal{R}, \mathcal{G})$ holds.

▶ Lemma 5. For all $\mathcal{R}, \mathcal{G}, w, P, \mathsf{C}$, if $w \in P$ and $\mathsf{C} \xrightarrow{\mathsf{id}} \mathsf{*skip}$, then $\mathsf{reach}_0(\mathcal{R}, \mathcal{G}, [], P, \mathsf{C}, ok, w)$ holds.

Proof. Follows immediately from the definition of $reach_0$.

▶ Corollary 6. For all $\mathcal{R}, \mathcal{G}, w, P$, if $w \in P$, then reach₀($\mathcal{R}, \mathcal{G}, [], P$, skip, ok, w) holds.

Proof. Follows immediately from Lemma 5 and since $skip \xrightarrow{id} skip$.

▶ Lemma 7. For all $n, \mathcal{R}, \mathcal{G}, \delta, P, w, \mathsf{C}, \epsilon$, if reach_n($\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w$) then $P \neq \emptyset$.

Proof. By induction on n.

Case n=0

Pick arbitrary $\mathcal{R}, \mathcal{G}, \delta, P, w, \mathsf{C}, \epsilon$ such that $\mathsf{reach}_0(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w)$. From the definition of reach_0 we then have $w \in P$ and thus $P \neq \emptyset$, as required.

Case $n=1, \epsilon \in \text{EREXIT}$

Pick arbitrary $\mathcal{R}, \mathcal{G}, \delta, P, w, \mathsf{C}, \epsilon$ such that $\operatorname{\mathsf{reach}}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w)$. We then know that there exists $\alpha, p, q, \mathbf{a}, \mathsf{C}'$ such that either:

1) $\delta = [\alpha], \mathcal{R}(\alpha) = (p, \epsilon, q), \operatorname{rely}(p, q, P, \{w\}); \text{ or }$

2) $\delta = [\alpha], \mathcal{G}(\alpha) = (p, \epsilon, q), \operatorname{guar}(p, q, P, \{w\}, \mathsf{C}, \mathsf{C}', \mathbf{a}, \epsilon).$

In case (1), from the definition of $\operatorname{rely}(p, q, P, \{w\})$ we know there exists $g_q \in q, l, g$ such that $w = (l, g_q \circ g)$ and $\emptyset \subset \{(l, g_p \circ g) \mid g_p \in p\} \subseteq P$, i.e. $P \neq \emptyset$, as required.

In case (2), from the definition of guar $(p, q, P, \{w\}, \mathsf{C}, \mathsf{C}', \mathbf{a}, \epsilon)$ we know there exists $g_q \in q$, $g_p \in p, g$ and $w_p \in P$ such that $w_p^{\mathsf{G}} = g_p \circ g$, $w^{\mathsf{G}} = g_q \circ g$ and $\mathsf{C}, w_p \stackrel{\mathsf{a}}{\rightsquigarrow} \mathsf{C}', w, ok$. That is, since $w_p \in P$, we have $P \neq \emptyset$, as required.

Case n=k+1

$$\forall \mathcal{R}, \mathcal{G}, \delta, P, w, \mathsf{C}, \epsilon. \ \mathsf{reach}_k(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w) \Rightarrow P \neq \emptyset$$
(I.H)

Pick arbitrary $\mathcal{R}, \mathcal{G}, \delta, P, w, \mathsf{C}, \epsilon$ such that $\operatorname{\mathsf{reach}}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w)$.

From $\operatorname{\mathsf{reach}}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w)$ we then know that there exist $\alpha, \delta', p, r, \mathsf{C}', \mathbf{a}, R$ such that either:

1) $\delta = [\alpha] + \delta', \mathcal{R}(\alpha) = (p, ok, r), \operatorname{rely}(p, r, P, R) \text{ and } \operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}, \epsilon, w); \text{ or }$

2) $\delta = [\alpha] + \delta', \mathcal{G}(\alpha) = (p, ok, r), \operatorname{guar}(p, r, P, R, \mathsf{C}, \mathsf{C}', \mathbf{a}, ok), \operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}', \epsilon, w); \operatorname{or}$

3) $\delta = [\mathsf{L}] + \delta'$, reach_k($\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}', \epsilon, w$) and $\mathsf{C}, P \overset{\mathbf{a}}{\leadsto}_{\mathsf{L}} \mathsf{C}', R, ok$.

In case (1), from $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}, \epsilon, w)$ and I.H we know $R \neq \emptyset$. Thus let us pick an arbitrary $w_r \in R$. From the definition of $\operatorname{rely}(p, r, P, R)$ we know there exists $g_r \in r, l, g$ such that $w_r = (l, g_r \circ g)$ and $\emptyset \subset \{(l, g_p \circ g) \mid g_p \in p\} \subseteq P$, i.e. $P \neq \emptyset$, as required.

25:22 A General Approach to Under-approximate Reasoning about Concurrent Programs

In case (2), from $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}', \epsilon, w)$ and I.H we know $R \neq \emptyset$. Thus let us pick an arbitrary $w_r \in R$. From the definition of $\operatorname{guar}(p, q, P, R, \mathsf{C}, \mathsf{C}', \mathbf{a}, ok)$ we know there exists $g_r \in r, g_p \in p, g$ and $w_p \in P$ such that $w_p^{\mathsf{G}} = g_p \circ g, w_r^{\mathsf{G}} = g_r \circ g$ and $\mathsf{C}, w_p \stackrel{\mathsf{a}}{\leadsto} \mathsf{C}', w_r, ok$. That is, since $w_p \in P$, we have $P \neq \emptyset$, as required.

In case (3), from $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}', \epsilon, w)$ and I.H we know $R \neq \emptyset$. Thus let us pick an arbitrary $w_r \in R$. From $\mathsf{C}, P \stackrel{\mathbf{a}}{\sim}_{\mathsf{L}} \mathsf{C}', R, ok$, we know there exists $w_p \in P$ such that $\mathsf{C}, w_p \stackrel{\mathbf{a}}{\sim}_{\mathsf{L}} \mathsf{C}', w_r, ok$. That is, since $w_p \in P$, we have $P \neq \emptyset$, as required.

▶ Lemma 8. For all $n, \mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1, \mathsf{C}_2, \epsilon, w_q$, if $\epsilon \in \text{EREXIT}$ and $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1, \epsilon, w_q)$, then $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q)$.

Proof. We proceed by induction on n.

Case $n = 1, \epsilon \in \text{EREXIT}$

We then know that there exists $\alpha, p, q, \mathbf{a}, \mathsf{C}'_1$ such that either:

1) $\delta = [\alpha], \mathcal{R}(\alpha) = (p, \epsilon, q), \operatorname{rely}(p, q, P, \{w_q\}); \text{ or }$

2) $\delta = [\alpha], \mathcal{G}(\alpha) = (p, \epsilon, q), \operatorname{guar}(p, q, P, \{w_q\}, \mathsf{C}_1, \mathsf{C}'_1, \mathbf{a}, \epsilon).$

In case (1), from the definition of reach we have $\operatorname{reach}_1(\mathcal{R}, \mathcal{G}, [\alpha], P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q)$, as required.

In case (2), from $guar(p,q,P, \{w_q\}, \mathsf{C}_1, \mathsf{C}'_1, \mathbf{a}, \epsilon)$ we know there exists $g_q \in q$, $g_p \in p, g$ and $w_p \in P$ such that $w_p^{\mathsf{G}} = g_p \circ g$, $w_q^{\mathsf{G}} = g_q \circ g$ and $\mathsf{C}_1, w_p \stackrel{\mathbf{a}}{\to} \mathsf{C}'_1, w_q, \epsilon$. As such, from $\mathsf{C}_1, w_p \stackrel{\mathbf{a}}{\to} \mathsf{C}'_1, w_q, \epsilon$, the definition of $\stackrel{\mathbf{a}}{\to}$ and control flow transitions we also have $\mathsf{C}_1; \mathsf{C}_2, w_p \stackrel{\mathbf{a}}{\to} \mathsf{C}'_1; \mathsf{C}_2, w_q, \epsilon$. Consequently, by definition we also have $\mathsf{guar}(p, q, P, \{w_q\}, \mathsf{C}_1; \mathsf{C}_2, \epsilon, c'_1; \mathsf{C}_2, \mathbf{a}, \epsilon)$, and thus from the definition of reach we also have $\mathsf{reach}_1(\mathcal{R}, \mathcal{G}, [\alpha], P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q)$, as required.

Case n = k+1

$$\forall \mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1, \mathsf{C}_2, \epsilon, w_q. \\ \epsilon \in \operatorname{EREXIT} \land \operatorname{\mathsf{reach}}_k(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1, \epsilon, w_q) \Rightarrow \operatorname{\mathsf{reach}}_k(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q)$$
(I.H)

We then know that there exist $\alpha, \delta', p, r, C'_1, \mathbf{a}, R$ such that either:

1) $\delta = [\alpha] + \delta', \mathcal{R}(\alpha) = (p, ok, r), \operatorname{rely}(p, r, P, R) \text{ and } \operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}_1, \epsilon, w_q); \text{ or }$

2) $\delta = [\alpha] + \delta', \ \mathcal{G}(\alpha) = (p, ok, r), \ \operatorname{guar}(p, r, P, R, \mathsf{C}_1, \mathsf{C}'_1, \mathbf{a}, ok), \ \operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_1, \epsilon, w_q); \ \operatorname{or} 3) \ \delta = [\mathsf{L}] + \delta', \ \operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_1, \epsilon, w_q) \ \operatorname{and} \ \mathsf{C}_1, P \stackrel{\mathbf{a}}{\leadsto}_{\mathsf{L}} \mathsf{C}'_1, R, ok.$

In case (1), from $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}_1, \epsilon, w_q)$ and (I.H) we have $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q)$. Consequently, as $\delta = [\alpha] + \delta', \mathcal{R}(\alpha) = (p, ok, r)$ and $\operatorname{rely}(p, r, P, R)$, by definition of reach we also have $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q)$, as required.

In case (2), from $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_1, \epsilon, w_q)$ and (I.H) we have $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_1; \mathsf{C}_2, \epsilon, w_q)$. Pick an arbitrary $w_r \in R$. From $\operatorname{guar}(p, r, P, R, \mathsf{C}_1, \mathsf{C}'_1, \mathbf{a}, ok)$ we know there exists $g_r \in r, g_p \in p, g$ and $w_p \in P$ such that $w_p^{\mathsf{G}} = g_p \circ g, w_r^{\mathsf{G}} = g_r \circ g$ and $\mathsf{C}_1, w_p \stackrel{\mathsf{a}}{\to} \mathsf{C}'_1, w_r, ok$. As such, from the definition of $\stackrel{\mathsf{a}}{\to}$ and the control flow transitions we also have $\mathsf{C}_1; \mathsf{C}_2, w_p \stackrel{\mathsf{a}}{\to} \mathsf{C}'_1; \mathsf{C}_2, w_r, ok$, and thus from the definition of guar we also have $\operatorname{guar}(p, r, P, R, \mathsf{C}_1; \mathsf{C}_2, \mathsf{C}'_1; \mathsf{C}_2, \mathsf{a}, ok)$. Consequently, as $\delta = [\alpha] + \delta', \ \mathcal{G}(\alpha) = (p, ok, r)$ and $\operatorname{guar}(p, r, P, R, \mathsf{C}_1; \mathsf{C}_2, \mathsf{C}'_1; \mathsf{C}_2, \mathsf{a}, ok)$, from the definition of reach we also have $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q)$, as required.

In case (3), from $\operatorname{\mathsf{reach}}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_1, \epsilon, w_q)$ and (I.H) we have $\operatorname{\mathsf{reach}}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_1; \mathsf{C}_2, \epsilon, w_q)$. Moreover, from $\operatorname{\mathsf{reach}}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_1, \epsilon, w_q)$ and Lemma 7 we know $R \neq \emptyset$. As such, from $\mathsf{C}_1, P \overset{\mathbf{a}}{\to}_{\mathsf{L}} \mathsf{C}'_1, R, ok$, we know $\mathsf{C}_1 \overset{\mathrm{id}}{\to} \overset{*}{\to} \mathsf{C}'_1$ and thus from the control flow transitions (Fig. 6) we know $\mathsf{C}_1; \mathsf{C}_2, R, ok$. Consequently, from $\operatorname{\mathsf{reach}}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_1; \mathsf{C}_2, \epsilon, w_q)$,

 $C_1; C_2, P \stackrel{a}{\leadsto}_L C'_1; C_2, R, ok, \delta = [L] + \delta'$ and the definition of reach we also have reach_n($\mathcal{R}, \mathcal{G}, \delta, P, C_1; C_2, \epsilon, w_q$), as required.

▶ Lemma 9. For all $n, \mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1, \mathsf{C}_2, \epsilon, w_q$, if $\epsilon \in \operatorname{EREXIT}$, $\lfloor \delta \rfloor \subseteq dom(\mathcal{G})$ and $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1, \epsilon, w_q)$, then $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1 || \mathsf{C}_2, \epsilon, w_q)$.

Proof. We proceed by induction on n.

Case n = 1

As $\epsilon \in \text{EREXIT}$ and $\lfloor \delta \rfloor \subseteq dom(\mathcal{G})$, we then know that there exists $\alpha, p, q, \mathbf{a}, \mathsf{C}'_1$ such that $\delta = [\alpha], \mathcal{G}(\alpha) = (p, \epsilon, q)$ and $\text{guar}(p, q, P, \{w_q\}, \mathsf{C}_1, \mathsf{C}'_1, \mathbf{a}, \epsilon)$. From $\text{guar}(p, q, P, \{w_q\}, \mathsf{C}_1, \mathsf{C}'_1, \mathbf{a}, \epsilon)$ we know there exists $g_q \in q, g_p \in p, g$ and $w_p \in P$ such that $w_p^{\mathsf{G}} = g_p \circ g, w_q^{\mathsf{G}} = g_q \circ g$ and $\mathsf{C}_1, w_p \stackrel{\mathsf{a}}{\to} \mathsf{C}'_1, w_q, \epsilon$. As such, from $\mathsf{C}_1, w_p \stackrel{\mathsf{a}}{\to} \mathsf{C}'_1, w_q, \epsilon$, the definition of $\stackrel{\mathsf{a}}{\to}$ and control flow transitions we also have $\mathsf{C}_1 || \mathsf{C}_2, w_p \stackrel{\mathsf{a}}{\to} \mathsf{C}'_1 || \mathsf{C}_2, w_q, \epsilon$. Consequently, by definition we also have $\mathsf{guar}(p, q, P, \{w_q\}, \mathsf{C}_1 || \mathsf{C}_2, \mathsf{C}'_1 || \mathsf{C}_2, \mathbf{a}, \epsilon)$, and thus from the definition of reach we also have reach $(\mathcal{R}, \mathcal{G}, [\alpha], P, \mathsf{C}_1 || \mathsf{C}_2, \epsilon, w_q)$, as required.

Case n = k+1

$$\begin{aligned} &\forall \mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1, \mathsf{C}_2, \epsilon, w_q. \\ &\epsilon \in \mathrm{EREXIT} \land \mathsf{reach}_k(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1, \epsilon, w_q) \Rightarrow \mathsf{reach}_k(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q) \end{aligned}$$
(I.H)

As $\lfloor \delta \rfloor \subseteq dom(\mathcal{G})$, we then know that there exist $\alpha, \delta', p, r, \mathsf{C}'_1, \mathbf{a}, R$ such that either: 1) $\delta = [\alpha] + \delta', \ \mathcal{G}(\alpha) = (p, ok, r), \ \mathsf{guar}(p, r, P, R, \mathsf{C}_1, \mathsf{C}'_1, \mathbf{a}, ok), \ \mathsf{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_1, \epsilon, w_q); \ \mathsf{or}$ 2) $\delta = [\mathsf{L}] + \delta', \ \mathsf{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_1, \epsilon, w_q) \ \mathsf{and} \ \mathsf{C}_1, P \overset{\mathbf{a}}{\leadsto} \mathsf{C}'_1, R, ok.$

In case (1), from $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_1, \epsilon, w_q)$ and (I.H) we have $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_1 || \mathsf{C}_2, \epsilon, w_q)$. Pick an arbitrary $w_r \in R$. From $\operatorname{guar}(p, r, P, R, \mathsf{C}_1, \mathsf{C}'_1, \mathbf{a}, ok)$ we know there exists $g_r \in r, g_p \in p, g$ and $w_p \in P$ such that $w_p^{\mathsf{G}} = g_p \circ g, w_r^{\mathsf{G}} = g_r \circ g$ and $\mathsf{C}_1, w_p \stackrel{\mathsf{a}}{\to} \mathsf{C}'_1, w_r, ok$. As such, from the definition of $\stackrel{\mathsf{a}}{\to}$ and the control flow transitions we also have $\mathsf{C}_1 || \mathsf{C}_2, w_p \stackrel{\mathsf{a}}{\to} \mathsf{C}'_1 || \mathsf{C}_2, w_r, ok$, and thus from the definition of guar we also have $\operatorname{guar}(p, r, P, R, \mathsf{C}_1 || \mathsf{C}_2, \mathsf{C}_1' || \mathsf{C}_2, \mathbf{a}, ok)$. Consequently, as $\delta = [\alpha] + \delta', \ \mathcal{G}(\alpha) = (p, ok, r), \ \operatorname{guar}(p, r, P, R, \mathsf{C}_1 || \mathsf{C}_2, \mathsf{C}'_1; \mathsf{C}_2, \mathbf{a}, ok)$ and $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_1 || \mathsf{C}_2, \epsilon, w_q)$, from the definition of reach we also have $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1 || \mathsf{C}_2, \epsilon, w_q)$, as required.

In case (2), from $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_1, \epsilon, w_q)$ and (I.H) we have $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_1 || \mathsf{C}_2, \epsilon, w_q)$. Moreover, from $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_1, \epsilon, w_q)$ and Lemma 7 we know $R \neq \emptyset$. As such, from $\mathsf{C}_1, P \xrightarrow{\mathbf{a}}_{\to \mathsf{L}} \mathsf{C}'_1, R, ok$, we know $\mathsf{C}_1 \xrightarrow{\operatorname{id}} * \xrightarrow{\mathbf{a}} \mathsf{C}'_1$ and thus from the control flow transitions (Fig. 6) we know $\mathsf{C}_1 || \mathsf{C}_2 \xrightarrow{\operatorname{id}} * \xrightarrow{\mathbf{a}} \mathsf{C}'_1 || \mathsf{C}_2$. Therefore, from $\mathsf{C}_1, P \xrightarrow{\mathbf{a}}_{\to \mathsf{L}} \mathsf{C}'_1, R, ok$ we also have $\mathsf{C}_1 || \mathsf{C}_2, P \xrightarrow{\mathbf{a}}_{\to \mathsf{L}} \mathsf{C}'_1 || \mathsf{C}_2, R, ok$. Consequently, from $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_1 || \mathsf{C}_2, \epsilon, w_q)$, $\mathsf{C}_1 || \mathsf{C}_2, P \xrightarrow{\mathbf{a}}_{\to \mathsf{L}} \mathsf{C}'_1 || \mathsf{C}_2, R, ok, \delta = [\mathsf{L}] + \delta'$ and the definition of reach we have $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1 || \mathsf{C}_2, \epsilon, w_q)$, as required.

▶ Lemma 10. For all $n, \mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1, \mathsf{C}_2, \epsilon, w_q$, if $\epsilon \in \operatorname{EREXIT}, \lfloor \delta \rfloor \subseteq dom(\mathcal{G})$ and reach_n($\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_2, \epsilon, w_q$), then reach_n($\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1 || \mathsf{C}_2, \epsilon, w_q$).

Proof. The proof is analogous to the proof of Lemma 9 and is omitted.

▶ Lemma 11. For all $n, \mathcal{R}, \mathcal{G}, \delta, P, w_q, \mathsf{C}_1, \mathsf{C}_2, \epsilon$, if $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_2, \epsilon, w_q)$ and $\mathsf{C}_1 \xrightarrow{\operatorname{id}} \mathsf{C}_2$, then $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1, \epsilon, w_q)$.

Proof. By induction on n.

Case n=0

Pick arbitrary $\mathcal{R}, \mathcal{G}, \delta, P, w_q, \mathsf{C}_1, \mathsf{C}_2, \epsilon$ such that $\mathsf{reach}_0(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_2, \epsilon, w_q)$ and $\mathsf{C}_1 \xrightarrow{\mathsf{id}} *\mathsf{C}_2$. From the definition of reach_0 we then know $\delta = [], \epsilon = ok, \mathsf{C}_2 \xrightarrow{\mathsf{id}} *\mathsf{skip}$ and $w_q \in P$. We thus have $\mathsf{C}_1 \xrightarrow{\mathsf{id}} *\mathsf{C}_2 \xrightarrow{\mathsf{id}} *\mathsf{skip}$, i.e. $\mathsf{C}_1 \xrightarrow{\mathsf{id}} *\mathsf{skip}$. Consequently, as $\delta = [], \epsilon = ok$ and $w_q \in P$, we also have $\mathsf{reach}_0(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1, \epsilon, w_q)$, as required.

Case $n=1, \epsilon \in \text{EREXIT}$

Pick arbitrary $\mathcal{R}, \mathcal{G}, \delta, P, w_q, \mathsf{C}_1, \mathsf{C}_2, \epsilon$ such that $\mathsf{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_2, \epsilon, w_q)$ and $\mathsf{C}_1 \xrightarrow{\mathsf{id}} {}^*\mathsf{C}_2$. We then know that there exists $\alpha, p, q, \mathbf{a}, \mathsf{C}'_2$ such that either:

1) $\delta = [\alpha], \mathcal{R}(\alpha) = (p, \epsilon, q), \operatorname{rely}(p, q, P, \{w_q\}); \text{ or }$

2) $\delta = [\alpha], \mathcal{G}(\alpha) = (p, \epsilon, q), \operatorname{guar}(p, q, P, \{w_q\}, \mathsf{C}_2, \mathsf{C}'_2, \mathbf{a}, \epsilon).$

In case (1), from the definition of reach we also have $\operatorname{reach}_1(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1, \epsilon, w_q)$, as required.

In case (2), from $guar(p, q, P, \{w_q\}, C_2, C'_2, \mathbf{a}, \epsilon)$ we know there exists $g_q \in q, g_p \in p, g$ and $w_p \in P$ such that $w_p^{\mathsf{G}} = g_p \circ g, w_q^{\mathsf{G}} = g_q \circ g$ and $\mathsf{C}_2, w_p \stackrel{\mathsf{a}}{\longrightarrow} \mathsf{C}'_2, w_q, ok$. As such, from the definition of $\stackrel{\mathsf{a}}{\longrightarrow}$, the control flow transitions and $\mathsf{C}_1 \stackrel{\text{id}}{\longrightarrow} *\mathsf{C}_2$ we have $\mathsf{C}_1, w_p \stackrel{\mathsf{a}}{\longrightarrow} \mathsf{C}'_2, w_q, ok$, and thus from the definition of guar we have $guar(p, q, P, \{w_q\}, \mathsf{C}_1, \mathsf{C}'_2, \mathbf{a}, \epsilon)$. Consequently, as $\delta = [\alpha]$, $\mathcal{G}(\alpha) = (p, ok, q)$ and $guar(p, r, P, \{w_q\}, \mathsf{C}_1, \mathsf{C}'_2, \mathbf{a}, \epsilon)$, from the definition of reach we also have reach₁($\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1, \epsilon, w_q$), as required.

Case n=k+1

$$\forall \mathcal{R}, \mathcal{G}, \delta, P, w_q, \mathsf{C}_1, \mathsf{C}_2, \epsilon. \operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_2, \epsilon, w_q) \land \mathsf{C}_1 \xrightarrow{\operatorname{id}} \mathsf{C}_2 \Rightarrow \operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1, \epsilon, w_q)$$
(I.H)

Pick arbitrary $\mathcal{R}, \mathcal{G}, \delta, P, w_q, \mathsf{C}_1, \mathsf{C}_2, \epsilon$ such that $\mathsf{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_2, \epsilon, w_q)$ and $\mathsf{C}_1 \xrightarrow{\mathsf{id}} \mathsf{C}_2$.

From $\operatorname{\mathsf{reach}}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_2, \epsilon, w_q)$ we then know that there exist $\alpha, \delta', p, r, \mathsf{C}'_2, \mathbf{a}, R$ such that either:

1) $\delta = [\alpha] + \delta', \mathcal{R}(\alpha) = (p, ok, r), \operatorname{rely}(p, r, P, R) \text{ and } \operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}_2, \epsilon, w_q); \text{ or }$

2) $\delta = [\alpha] + \delta', \ \mathcal{G}(\alpha) = (p, ok, r), \ \mathsf{guar}(p, r, P, R, \mathsf{C}_2, \mathsf{C}'_2, \mathbf{a}, ok), \ \mathsf{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_2, \epsilon, w_q); \ \mathrm{or}$ 3) $\delta = [\mathsf{L}] + \delta', \ \mathsf{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_2, \epsilon, w_q) \ \mathrm{and} \ \mathsf{C}_2, P \stackrel{\mathbf{a}}{\leadsto}_{\mathsf{L}} \mathsf{C}'_2, R, ok.$

In case (1), from $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}_2, \epsilon, w_q)$ and (I.H) we have $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}_1, \epsilon, w_q)$. Consequently, as $\delta = [\alpha] + \delta', \mathcal{R}(\alpha) = (p, ok, r)$ and $\operatorname{rely}(p, r, P, R)$, by definition of reach we have $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1, \epsilon, w_q)$, as required.

In case (2), pick an arbitrary $w_r \in R$. From $guar(p, r, P, R, C_2, C'_2, \mathbf{a}, ok)$ we know there exists $g_r \in r$, $g_p \in p$, g and $w_p \in P$ such that $w_p^{\mathsf{G}} = g_p \circ g$, $w_r^{\mathsf{G}} = g_r \circ g$ and $C_2, w_p \stackrel{\mathsf{a}}{\longrightarrow} C'_2, w_r, ok$. As such, from the definition of $\stackrel{\mathsf{a}}{\longrightarrow}$, the control flow transitions and since $\mathsf{C}_1 \stackrel{\mathsf{id}}{\longrightarrow} \mathsf{C}_2$, we also have $\mathsf{C}_1, w_p \stackrel{\mathsf{a}}{\longrightarrow} \mathsf{C}'_2, w_r, ok$, and thus from the definition of guar we also have $\mathsf{guar}(p, r, P, R, \mathsf{C}_1, C'_2, \mathbf{a}, ok)$. Consequently, as $\delta = [\alpha] + \delta'$, $\mathcal{G}(\alpha) = (p, ok, r)$, $\mathsf{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_2, \epsilon, w_q)$ and $\mathsf{guar}(p, r, P, R, \mathsf{C}_1, \mathsf{C}'_2, \mathbf{a}, ok)$, from the definition of reach we also have $\mathsf{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1, \epsilon, w_q)$, as required.

In case (3), from $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_2, \epsilon, w_q)$ we know $R \neq \emptyset$ and thus from $\mathsf{C}_2, P \overset{\mathbf{a}}{\rightsquigarrow_{\mathsf{L}}} \mathsf{C}'_2, R, ok$, we know $\mathsf{C}_2 \overset{\mathrm{id}}{\to}^* \overset{\mathbf{a}}{\to} \mathsf{C}'_2$ and thus from the control flow transitions (Fig. 6) and since $\mathsf{C}_1 \overset{\mathrm{id}}{\to}^* \mathsf{C}_2$, we know $\mathsf{C}_1 \overset{\mathrm{id}}{\to}^* \overset{\mathbf{a}}{\to} \mathsf{C}'_2$. As such, from $\mathsf{C}_2, P \overset{\mathbf{a}}{\to} \mathsf{C}'_2, R, ok$ we also have $\mathsf{C}_1, P \overset{\mathbf{a}}{\to} \mathsf{C}'_2$. As such, from $\mathsf{C}_2, P \overset{\mathbf{a}}{\to} \mathsf{C}'_2, R, ok$ we also have $\mathsf{C}_1, P \overset{\mathbf{a}}{\to} \mathsf{C}'_2, R, ok$. Consequently, from $\delta = [\mathsf{L}] + \delta'$, $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_2, \epsilon, w_q)$, $\mathsf{C}_1, P \overset{\mathbf{a}}{\to} \mathsf{C}'_2, R, ok$ and the definition of reach we also have $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1, \epsilon, w_q)$, as required.

▶ Lemma 12. for all $n, \mathcal{R}, \mathcal{G}, P, \delta, \epsilon, \mathsf{C}_1$, if $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1, \epsilon, w)$ and $\mathsf{C}_2 \xrightarrow{\operatorname{id}} * \operatorname{skip}$, then $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w)$.

Proof. By induction on *n*.

Case n=0

Pick arbitrary $\mathcal{R}, \mathcal{G}, \delta, P, w_q, \mathsf{C}_1, \mathsf{C}_2, \epsilon$ such that $\mathsf{reach}_0(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1, \epsilon, w_q)$ and $\mathsf{C}_2 \xrightarrow{\mathsf{id}} \mathsf{*skip}$. From the definition of reach_0 we then know $\delta = [], \epsilon = ok, \mathsf{C}_1 \xrightarrow{\mathsf{id}} \mathsf{*skip}$ and $w_q \in P$. We thus have $\mathsf{C}_1; \mathsf{C}_2 \xrightarrow{\mathsf{id}} \mathsf{*skip}; \mathsf{C}_2 \xrightarrow{\mathsf{id}} \mathsf{*c}_2 \xrightarrow{\mathsf{id}} \mathsf{*skip}$, i.e. $\mathsf{C}_1; \mathsf{C}_2 \xrightarrow{\mathsf{id}} \mathsf{*skip}$. Consequently, as $\delta = [], \epsilon = ok$ and $w_q \in P$, we also have $\mathsf{reach}_0(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q)$, as required.

Case $n=1, \epsilon \in \text{EREXIT}$

Pick arbitrary $\mathcal{R}, \mathcal{G}, \delta, P, w_q, \mathsf{C}_1, \mathsf{C}_2, \mathsf{C}'_1, \epsilon$ such that $\mathsf{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1, \epsilon, w_q)$ and $\mathsf{C}_2 \xrightarrow{\mathsf{id}} \mathsf{C}_2$. We then know that there exists $\alpha, p, q, \mathbf{a}, \mathsf{C}'_2$ such that either:

- 1) $\delta = [\alpha], \mathcal{R}(\alpha) = (p, \epsilon, q), \operatorname{rely}(p, q, P, \{w_q\}); \text{ or }$
- $2) \ \delta = [\alpha], \ \mathcal{G}(\alpha) {=} (p, \epsilon, q), \ \mathsf{guar}(p, q, P, \{w_q\}, \mathsf{C}_1, \mathsf{C}_1', \mathbf{a}, \epsilon).$

In case (1), from the definition of reach we also have $\operatorname{reach}_1(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q)$, as required.

In case (2), from $guar(p, q, P, \{w_q\}, C_1, C'_1, \mathbf{a}, \epsilon)$ we know there exists $g_q \in q$, $g_p \in p, g$ and $w_p \in P$ such that $w_p^{\mathsf{G}} = g_p \circ g$, $w_q^{\mathsf{G}} = g_q \circ g$ and $\mathsf{C}_1, w_p \stackrel{\mathtt{a}}{\rightsquigarrow} \mathsf{C}'_1, w_q, ok$. As such, from the definition of $\stackrel{\mathtt{a}}{\longrightarrow}$ and the control flow transitions we also have $\mathsf{C}_1; \mathsf{C}_2, w_p \stackrel{\mathtt{a}}{\longrightarrow} \mathsf{C}'_1; \mathsf{C}_2, w_q, ok$, and thus from the definition of guar we also $guar(p, q, P, \{w_q\}, \mathsf{C}_1; \mathsf{C}_2, \mathsf{C}'_1; \mathsf{C}_2, \mathbf{a}, \epsilon)$. Consequently, as $\delta = [\alpha]$, $\mathcal{G}(\alpha) = (p, ok, q)$ and $guar(p, r, P, \{w_q\}, \mathsf{C}_1; \mathsf{C}_2, \mathsf{C}'_1; \mathsf{C}_2, \mathbf{a}, \epsilon)$, from the definition of reach we also have reach $(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q)$, as required.

Case n=k+1

$$\forall \mathcal{R}, \mathcal{G}, \delta, P, w_q, \mathsf{C}_1, \mathsf{C}_2, \epsilon. \operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1, \epsilon, w_q) \land \mathsf{C}_2 \xrightarrow{\operatorname{id}} \operatorname{skip} \Rightarrow \operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q)$$
(I.H)

Pick arbitrary $\mathcal{R}, \mathcal{G}, \delta, P, w_q, \mathsf{C}_1, \mathsf{C}_2, \epsilon$ such that $\mathsf{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1, \epsilon, w_q)$ and $\mathsf{C}_2 \xrightarrow{\mathsf{id}} \mathsf{skip}$.

From $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1, \epsilon, w_q)$ we then know that there exist $\alpha, \delta', p, r, \mathsf{C}'_1, \mathbf{a}, R$ such that either:

1) $\delta = [\alpha] + \delta', \mathcal{R}(\alpha) = (p, ok, r), \operatorname{rely}(p, r, P, R) \text{ and } \operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}_1, \epsilon, w_q); \text{ or }$

2) $\delta = [\alpha] + \delta', \ \mathcal{G}(\alpha) = (p, ok, r), \ \operatorname{guar}(p, r, P, R, \mathsf{C}_1, \mathsf{C}'_1, \mathbf{a}, ok), \ \operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_1, \epsilon, w_q); \ \operatorname{or} 3) \ \delta = [\mathsf{L}] + \delta', \ \operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_1, \epsilon, w_q) \ \operatorname{and} \ \mathsf{C}_1, P \stackrel{\mathbf{a}}{\longrightarrow}_{\mathsf{L}} \mathsf{C}'_1, R, ok.$

In case (1), from $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}_1, \epsilon, w_q)$ and (I.H) we have $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q)$. Consequently, as $\delta = [\alpha] + \delta', \ \mathcal{R}(\alpha) = (p, ok, r)$ and $\operatorname{rely}(p, r, P, R)$, by definition of reach we have $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q)$, as required.

In case (2), from $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_1, \epsilon, w_q)$ and (I.H) we have $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_1; \mathsf{C}_2, \epsilon, w_q)$. Pick an arbitrary $w_r \in R$. From $\operatorname{guar}(p, r, P, R, \mathsf{C}_1, \mathsf{C}'_1, \mathbf{a}, ok)$ we know there exists $g_r \in r, g_p \in p, g$ and $w_p \in P$ such that $w_p^{\mathsf{G}} = g_p \circ g, w_r^{\mathsf{G}} = g_r \circ g$ and $\mathsf{C}_1, w_p \stackrel{\mathsf{a}}{\to} \mathsf{C}'_1, w_r, ok$. As such, from the definition of $\stackrel{\mathsf{a}}{\to}$ and the control flow transitions we also have $\mathsf{C}_1; \mathsf{C}_2, w_p \stackrel{\mathsf{a}}{\to} \mathsf{C}'_1; \mathsf{C}_2, w_p$, ok, and thus from the definition of guar we also have $\operatorname{guar}(p, r, P, R, \mathsf{C}_1; \mathsf{C}_2, \mathsf{C}'_1; \mathsf{C}_2, \mathsf{a}, ok)$. Consequently, as $\delta = [\alpha] + \delta', \ \mathcal{G}(\alpha) = (p, ok, r)$, $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_1; \mathsf{C}_2, \epsilon, w_q)$ and $\operatorname{guar}(p, r, P, R, \mathsf{C}_1; \mathsf{C}_2, \mathsf{C}'_1; \mathsf{C}_2, \mathbf{a}, ok)$, from the definition of reach we also have $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q)$ and $\operatorname{guar}(p, r, P, R, \mathsf{C}_1; \mathsf{C}_2, \mathsf{C}'_1; \mathsf{C}_2, \mathbf{a}, ok)$, from the definition of reach we also have $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q)$, as required.

In case (3), from $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_1, \epsilon, w_q)$ and I.H we have $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_1; \mathsf{C}_2, \epsilon, w_q)$. As such, from $\mathsf{C}_1, P \overset{\mathbf{a}}{\to} \mathsf{C}'_1, R, ok$, the definition of $\overset{\mathbf{a}}{\to}_{\mathsf{L}}$ and control flow transitions we have $\mathsf{C}_1; \mathsf{C}_2, P \overset{\mathbf{a}}{\to}_{\mathsf{L}} \mathsf{C}'_1; \mathsf{C}_2, R, ok$. Consequently, from $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_1; \mathsf{C}_2, \epsilon, w_q)$, $\mathsf{C}_1; \mathsf{C}_2, P \overset{\mathbf{a}}{\to}_{\mathsf{L}} \mathsf{C}'_1; \mathsf{C}_2, R, ok$. Consequently, from $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}'_1; \mathsf{C}_2, \epsilon, w_q)$, $\mathsf{C}_1; \mathsf{C}_2, P \overset{\mathbf{a}}{\to}_{\mathsf{L}} \mathsf{C}'_1; \mathsf{C}_2, R, ok, \, \delta = [\mathsf{L}] + \delta'$ and the definition of reach we have $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q)$, as required.

25:26 A General Approach to Under-approximate Reasoning about Concurrent Programs

▶ **Definition 13.** *The* weak reachability predicate, wreach, *is defined as follows:*

$$\begin{split} & \mathsf{wreach}_n(\mathcal{R},\mathcal{G},\delta,P,\mathsf{C},\epsilon,w) & \Longleftrightarrow^{def} \exists k,\delta',\alpha,p,q,r,R,\mathbf{a},\mathsf{C}'. \\ & n \geq 0 \land \delta = [] \land \epsilon = ok \land \mathsf{C} \xrightarrow{\mathsf{id}} \mathsf{*skip} \land w \in P \\ & \lor n \geq 1 \land \epsilon \in \mathsf{EREXIT} \land \delta = [\alpha] \land \mathcal{R}(\alpha) = (p,\epsilon,q) \land \mathsf{rely}(p,q,P,\{w\}) \\ & \lor n \geq 1 \land \epsilon \in \mathsf{EREXIT} \land \delta = [\alpha] \land \mathcal{G}(\alpha) = (p,\epsilon,q) \land \mathsf{guar}(p,q,P,\{w\},\mathsf{C},\mathsf{C}',\mathbf{a},\epsilon) \\ & \lor n = k + 1 \land \delta = [\alpha] + + \delta' \land \mathcal{R}(\alpha) = (p, ok, r) \land \mathsf{rely}(p,r,P,R) \land \mathsf{wreach}_k(\mathcal{R},\mathcal{G},\delta',R,\mathsf{C},\epsilon,w) \\ & \lor n = k + 1 \land \delta = [\alpha] + + \delta' \land \mathcal{G}(\alpha) = (p, ok, r) \land \mathsf{guar}(p,r,P,R,\mathsf{C},\mathsf{C}',\mathbf{a},ok) \land \mathsf{wreach}_k(\mathcal{R},\mathcal{G},\delta',R,\mathsf{C}',\epsilon,w) \\ & \lor n = k + 1 \land \delta = [\alpha] + + \delta' \land \mathcal{G}(\alpha) = (p, ok, r) \land \mathsf{guar}(p,r,P,R,\mathsf{C},\mathsf{C}',\mathbf{a},ok) \land \mathsf{wreach}_k(\mathcal{R},\mathcal{G},\delta',R,\mathsf{C}',\epsilon,w) \\ & \lor n = k + 1 \land \delta = [\mathsf{L}] + + \delta' \land \mathsf{C}, P \xrightarrow{\mathsf{a}}_{\mathsf{L}} \mathsf{C}', R, ok \land \mathsf{wreach}_k(\mathcal{R},\mathcal{G},\delta,R,\mathsf{C}',\epsilon,w) \end{split}$$

▶ **Proposition 14.** For all $n, \mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w, k$, if $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w)$ and $k \ge n$, then wreach_k($\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w$).

▶ **Proposition 15.** For all $n, \mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w$, if wreach_n($\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w$), then there exists $k \leq n$ such that reach_k($\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w$).

▶ Lemma 16. For all $n, k, \mathcal{R}, \mathcal{G}, \delta_1, \delta_2, P, R, w_q, w_r, \mathsf{C}_1, \mathsf{C}_2, \epsilon$, if wreach_k($\mathcal{R}, \mathcal{G}, \delta_2, R, \mathsf{C}_2, \epsilon, w_q$) and $\forall w_r \in R$. wreach_n($\mathcal{R}, \mathcal{G}, \delta_1, P, \mathsf{C}_1, ok, w_r$), then wreach_{n+k}($\mathcal{R}, \mathcal{G}, \delta_1 + \delta_2, P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q$).

Proof. By induction on n.

Case n=0

Pick arbitrary $k, \mathcal{R}, \mathcal{G}, \delta_1, \delta_2, P, R, w_q, w_r, \mathsf{C}_1, \mathsf{C}_2, \epsilon$ such that $\mathsf{wreach}_k(\mathcal{R}, \mathcal{G}, \delta_2, R, \mathsf{C}_2, \epsilon, w_q)$ and $\forall w_r \in R$. $\mathsf{wreach}_0(\mathcal{R}, \mathcal{G}, \delta_1, P, \mathsf{C}_1, ok, w_r)$.

From wreach_k($\mathcal{R}, \mathcal{G}, \delta_2, R, \mathsf{C}_2, \epsilon, w_q$) and Lemma 7 we know $R \neq \emptyset$. Pick an arbitrary $w_r \in R$; we then have wreach₀($\mathcal{R}, \mathcal{G}, \delta_1, P, \mathsf{C}_1, ok, w_r$). Consequently, from the definition of wreach₀ we know that $\delta_1 = [], \mathsf{C}_1 \xrightarrow{\mathsf{id}} \mathsf{*skip}$ and $w_r \in P$. Moreover, since for an arbitrary $w_r \in R$ we also have $w_r \in P$ we can conclude that $R \subseteq P$. On the other hand, as $\mathsf{C}_1 \xrightarrow{\mathsf{id}} \mathsf{*skip}$, from the control flow transitions we have $\mathsf{C}_1; \mathsf{C}_2 \xrightarrow{\mathsf{id}} \mathsf{*skip}; \mathsf{C}_2 \xrightarrow{\mathsf{id}} \mathsf{*}\mathsf{C}_2$. As such, from Lemma 11 and wreach_k($\mathcal{R}, \mathcal{G}, \delta_2, R, \mathsf{C}_2, \epsilon, w_q$) we have wreach_k($\mathcal{R}, \mathcal{G}, \delta_2, R, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q$). That is, as $\delta_1 + \delta_2 = [] + \delta_2 = \delta_2$, we also have wreach_k($\mathcal{R}, \mathcal{G}, \delta_1 + \delta_2, R, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q$). Consequently, as $R \subseteq P$, from Lemma 22 we have wreach_k($\mathcal{R}, \mathcal{G}, \delta_1 + \delta_2, P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q$), as required.

Case n=j+1

$$\forall k, \mathcal{R}, \mathcal{G}, \delta_1, \delta_2, P, R, w_q, w_r, \mathsf{C}_1, \mathsf{C}_2, \epsilon. \text{wreach}_k(\mathcal{R}, \mathcal{G}, \delta_2, R, \mathsf{C}_2, \epsilon, w_q) \land \forall w_r \in R. \text{ wreach}_j(\mathcal{R}, \mathcal{G}, \delta_1, P, \mathsf{C}_1, ok, w_r) \Rightarrow \text{wreach}_{j+k}(\mathcal{R}, \mathcal{G}, \delta_1 + \delta_2, P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q)$$
(I.H)

Pick arbitrary $k, \mathcal{R}, \mathcal{G}, \delta_1, \delta_2, P, R, w_q, w_r, \mathsf{C}_1, \mathsf{C}_2, \epsilon$ such that $\mathsf{wreach}_k(\mathcal{R}, \mathcal{G}, \delta_2, R, \mathsf{C}_2, \epsilon, w_q)$ and $\forall w_r \in R$. $\mathsf{wreach}_n(\mathcal{R}, \mathcal{G}, \delta_1, P, \mathsf{C}_1, ok, w_r)$.

As $\forall w_r \in R$. wreach_n($\mathcal{R}, \mathcal{G}, \delta_1, P, \mathsf{C}_1, ok, w_r$) and dsj(\mathcal{R}, \mathcal{G}) holds (i.e. $dom(\mathcal{R}) \cap dom(\mathcal{G}) = \emptyset$), from the definition of wreach_n we then know that for all $w_r \in R$, there exist $\alpha, \delta'_1, p, r, S, \mathsf{C}'_1$, a such that either:

1) $\delta_1 = [], C_1 \xrightarrow{id} skip and w_r \in P; or$

2) $\delta_1 = [\alpha] + \delta'_1, \mathcal{R}(\alpha) = (p, ok, r), \operatorname{rely}(p, r, P, S)$ and wreach_i($\mathcal{R}, \mathcal{G}, \delta'_1, S, \mathsf{C}_1, ok, w_r$); or

3) $\delta_1 = [\alpha] + \delta'_1, \mathcal{G}(\alpha) = (p, ok, r), \operatorname{guar}(p, r, P, S, \mathsf{C}_1, \mathsf{C}'_1, \mathbf{a}, ok)$ and wreach_j($\mathcal{R}, \mathcal{G}, \delta'_1, S, \mathsf{C}'_1, ok, w_r$); or

4) $\delta_1 = [\mathsf{L}] + \delta'_1$, wreach_j($\mathcal{R}, \mathcal{G}, \delta'_1, S, \mathsf{C}'_1, ok, w_r$) and $\mathsf{C}_1, P \stackrel{\mathbf{a}}{\leadsto}_{\mathsf{L}} \mathsf{C}'_1, S, ok$.

The proof of case (1) is analogous to that of the base case (n=0) and is thus omitted here.

In case (2), from I.H, wreach_j($\mathcal{R}, \mathcal{G}, \delta'_1, S, \mathsf{C}_1, ok, w_r$) and wreach_k($\mathcal{R}, \mathcal{G}, \delta_2, R, \mathsf{C}_2, \epsilon, w_q$) we have wreach_{j+k}($\mathcal{R}, \mathcal{G}, \delta'_1 + \delta_2, S, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q$). Consequently, as $\delta_1 + \delta_2 = [\alpha] + \delta'_1 + \delta_2$, rely(p, r, P, S) and $\mathcal{R}(\alpha) = (p, ok, r)$, from the definition of wreach we have wreach_{n+k}($\mathcal{R}, \mathcal{G}, \delta_1 + \delta_2, P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q$), as required.

In case (3), from I.H, wreach_j($\mathcal{R}, \mathcal{G}, \delta'_1, S, \mathsf{C}'_1, ok, w_r$) and wreach_k($\mathcal{R}, \mathcal{G}, \delta_2, R, \mathsf{C}_2, \epsilon, w_q$) we have wreach_{j+k}($\mathcal{R}, \mathcal{G}, \delta'_1 + + \delta_2, S, \mathsf{C}'_1; \mathsf{C}_2, \epsilon, w_q$). Pick an arbitrary $w_s \in S$; from guar($p, r, P, S, \mathsf{C}_1, \mathsf{C}'_1, \mathsf{a}, ok$) we then know there exists $g_r \in r, g_p \in p, w_p \in P$ and g such that $w_p^{\mathsf{G}} = g_p \circ g$, $w_s^{\mathsf{G}} = g_r \circ g$ and $\mathsf{C}_1, w_p \stackrel{\mathsf{a}}{\to} \mathsf{C}'_1, w_s, ok$. From $\mathsf{C}_1, w_p \stackrel{\mathsf{a}}{\to} \mathsf{C}'_1, w_s, ok$ we know $\mathsf{C}_1 \stackrel{\mathrm{id}}{\to} * \stackrel{\mathsf{a}}{\to} \mathsf{C}'_1$; c. As such, from $\mathsf{C}_1, w_p \stackrel{\mathsf{a}}{\to} \mathsf{C}'_1, w_s, ok$ we also have $\mathsf{C}_1; \mathsf{C}_2, w_p \stackrel{\mathsf{a}}{\to} \mathsf{C}'_1; \mathsf{C}_2, w_s, ok$. That is, for an arbitrary $w_s \in S$ we found $g_r \in r, g_p \in p, w_p \in P$ and g such that $w_p^{\mathsf{G}} = g_p \circ g, w_s^{\mathsf{G}} = g_r \circ g$ and $\mathsf{C}_1; \mathsf{C}_2, w_p \stackrel{\mathsf{a}}{\to} \mathsf{C}'_1; \mathsf{C}_2, w_s, ok$. Therefore, from the definition of guar we have guar($p, r, P, S, \mathsf{C}_1; \mathsf{C}_2, \mathsf{C}'_1; \mathsf{C}_2, \mathsf{a}, ok$). Consequently, as $\delta_1 + + \delta_2 = [\alpha] + \delta'_1 + \delta_2, \mathcal{G}(\alpha) = (p, ok, r), \text{ guar}(p, r, P, S, \mathsf{C}_1; \mathsf{C}_2, \mathsf{C}'_1; \mathsf{C}_2, \mathsf{a}, ok)$ and wreach_{j+k}($\mathcal{R}, \mathcal{G}, \delta'_1 + + \delta_2, P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q$), as required.

In case (4), from I.H, wreach_j($\mathcal{R}, \mathcal{G}, \delta'_1, S, C'_1, ok, w_r$) and wreach_k($\mathcal{R}, \mathcal{G}, \delta_2, R, C_2, \epsilon, w_q$) we have wreach_{j+k}($\mathcal{R}, \mathcal{G}, \delta'_1 + \delta_2, S, C'_1; C_2, \epsilon, w_q$). On the other hand, from wreach_j($\mathcal{R}, \mathcal{G}, \delta'_1, S, C'_1, ok, w_r$) we know $S \neq \emptyset$ and thus from $C_1, P \stackrel{\mathbf{a}}{\rightarrow} C'_1, S, ok$, we know $C_1 \stackrel{\mathrm{id}}{\rightarrow} \stackrel{\mathbf{a}}{\rightarrow} C'_1$ and thus from the control flow transitions (Fig. 6) we know $C_1; C_2 \stackrel{\mathrm{id}}{\rightarrow} \stackrel{\mathbf{a}}{\rightarrow} C'_1; C_2$. As such, from $C_1, P \stackrel{\mathbf{a}}{\rightarrow} C'_1, S, ok$ we also have $C_1; C_2, P \stackrel{\mathbf{a}}{\rightarrow} C'_1; C_2, S, ok$. Consequently, as $\delta_1 = [L] + \delta'_1, C_1; C_2, P \stackrel{\mathbf{a}}{\rightarrow} C'_1; C_2, S, ok$ and wreach_{j+k}($\mathcal{R}, \mathcal{G}, \delta'_1 + \delta_2, S, C'_1; C_2, \epsilon, w_q$), from the definition of wreach we have wreach_{n+k}($\mathcal{R}, \mathcal{G}, \delta_1 + \delta_2, P, C_1; C_2, \epsilon, w_q$), as required.

▶ Lemma 17. For all $k, \mathcal{R}, \mathcal{G}, \delta_1, \delta_2, P, R, w_q, w_r, \mathsf{C}_1, \mathsf{C}_2, \epsilon, if \operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta_2, R, \mathsf{C}_2, \epsilon, w_q)$ and $\forall w_r \in R. \exists n. \operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta_1, P, \mathsf{C}_1, ok, w_r), then \exists m. \operatorname{reach}_m(\mathcal{R}, \mathcal{G}, \delta_1 + \delta_2, P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q).$

Proof. Pick arbitrary $k, \mathcal{R}, \mathcal{G}, \delta_1, \delta_2, P, R, w_q, w_r, \mathsf{C}_1, \mathsf{C}_2, \epsilon$ such that $\mathsf{reach}_k(\mathcal{R}, \mathcal{G}, \delta_2, R, \mathsf{C}_2, \epsilon, w_q)$ and $\forall w_r \in R. \exists n. \mathsf{reach}_n(\mathcal{R}, \mathcal{G}, \delta_1, P, \mathsf{C}_1, ok, w_r)$. From $\mathsf{reach}_k(\mathcal{R}, \mathcal{G}, \delta_2, R, \mathsf{C}_2, \epsilon, w_q)$ and Prop. 14 we have $\mathsf{wreach}_k(\mathcal{R}, \mathcal{G}, \delta_2, R, \mathsf{C}_2, \epsilon, w_q)$. As such, from Lemma 7 we know $R \neq \emptyset$.

Let us then enumerate the worlds in R as follows: $R = w_1 \cdots w_j$. From $\forall w_r \in R$. $\exists n. \operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta_1, P, \mathsf{C}_1, ok, w_r)$ we know there exists $n_1 \cdots n_j$ such that $\operatorname{reach}_{n_1}(\mathcal{R}, \mathcal{G}, \delta_1, P, \mathsf{C}_1, ok, w_1) \land \cdots \land \operatorname{reach}_{n_j}(\mathcal{R}, \mathcal{G}, \delta_1, P, \mathsf{C}_1, ok, w_j)$. Let $n = \max(n_1, \cdots, n_j)$, i.e. $n \geq n_1 \land \cdots \land n \geq n_j$ Consequently, since $R = w_1 \cdots w_j$, $\operatorname{reach}_{n_1}(\mathcal{R}, \mathcal{G}, \delta_1, P, \mathsf{C}_1, ok, w_j)$ and $n \geq n_1 \land \cdots \land n \geq n_j$, from Prop. 14 we have $\forall w_r \in R$. wreach $_n(\mathcal{R}, \mathcal{G}, \delta_1, P, \mathsf{C}_1, ok, w_r)$. As such, since wreach $_k(\mathcal{R}, \mathcal{G}, \delta_2, R, \mathsf{C}_2, \epsilon, w_q)$ and $\forall w_r \in R$. wreach $_n(\mathcal{R}, \mathcal{G}, \delta_1, P, \mathsf{C}_1, ok, w_r)$, from Lemma 16 we have wreach $_{n+k}(\mathcal{R}, \mathcal{G}, \delta_1 + \delta_2, P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q)$. Therefore, from Prop. 15 we know there exists $m \leq n+k$ such that $\operatorname{reach}_m(\mathcal{R}, \mathcal{G}, \delta_1 + \delta_2, P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q)$, as required.

▶ **Definition 18.** For all traces, δ_1, δ_2 , if $\lfloor \delta_1 \rfloor = \lfloor \delta_2 \rfloor$, then their parallel composition, $\delta_1 \parallel \delta_2$, is defined as follows:

$$\delta_1 \mid\mid \delta_2 \triangleq \begin{cases} \alpha :: (\delta'_1 \mid\mid \delta'_2) & \text{if } \delta_1 = \alpha :: \delta'_1 \land \delta'_2 = \alpha :: \delta'_2 \\ \mathsf{L} :: (\delta'_1 \mid\mid \delta_2) & \text{if } \delta_1 = \mathsf{L} :: \delta'_1 \\ \mathsf{L} :: (\delta_1 \mid\mid \delta'_2) & \text{if } \delta_2 = \mathsf{L} :: \delta'_2 \\ [] & \text{if } \delta_1 = \delta_2 = [] \end{cases}$$

▶ **Proposition 19.** For all traces, δ_1, δ_2 , if $\lfloor \delta_1 \rfloor = \lfloor \delta_2 \rfloor$, then $\lfloor \delta_1 \parallel \delta_2 \rfloor = \lfloor \delta_1 \rfloor = \lfloor \delta_2 \rfloor$.

CONCUR 2023

25:28 A General Approach to Under-approximate Reasoning about Concurrent Programs

▶ Lemma 20. For all $n, k, \mathcal{R}_1, \mathcal{R}_2, \mathcal{G}_1, \mathcal{G}_2, \delta_1, \delta_2, P_1, P_2, w_1, w_2, \mathsf{C}_1, \mathsf{C}_2, \epsilon, \text{ if } \mathcal{R}_1 \subseteq \mathcal{G}_2 \cup \mathcal{R}_2, \mathcal{R}_2 \subseteq \mathcal{G}_1 \cup \mathcal{R}_1, \lfloor \delta_1 \rfloor = \lfloor \delta_2 \rfloor, w_1 \bullet w_2 \text{ is defined, } \mathsf{reach}_n(\mathcal{R}_1, \mathcal{G}_1, \delta_1, P_1, \mathsf{C}_1, \epsilon, w_1), \mathsf{reach}_k(\mathcal{R}_2, \mathcal{G}_2, \delta_2, P_2, \mathsf{C}_2, \epsilon, w_2), \mathsf{wf}(\mathcal{R}_1, \mathcal{G}_1), \mathsf{wf}(\mathcal{R}_2, \mathcal{G}_2) \text{ and } \mathsf{wf}(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2), \text{ then there exists } i \text{ such } that \mathsf{reach}_i(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \delta_1 \parallel \delta_2, P_1 * P_2, \mathsf{C}_1 \parallel \mathsf{C}_2, \epsilon, w_1 \bullet w_2).$

Proof. By double induction on n and k.

Case n=0, k=0

As we have $\operatorname{\mathsf{reach}}_0(\mathcal{R}_1, \mathcal{G}_1, \delta_1, P_1, \mathsf{C}_1, \epsilon, w_1)$ and $\operatorname{\mathsf{reach}}_k(\mathcal{R}_2, \mathcal{G}_2, \delta_2, P_2, \mathsf{C}_2, \epsilon, w_2)$, we then know that $\delta_1 = \delta_2 = [], \mathsf{C}_1 \xrightarrow{\operatorname{\mathsf{id}}} \operatorname{\mathsf{*skip}}, \mathsf{C}_2 \xrightarrow{\operatorname{\mathsf{id}}} \operatorname{\mathsf{*skip}}, \epsilon = ok, w_1 \in P_1 \text{ and } w_2 \in P_2$, and thus by definition we have $w_1 \bullet w_2 \in P_1 * P_2$. On the other hand, as $\mathsf{C}_1 \xrightarrow{\operatorname{\mathsf{id}}} \operatorname{\mathsf{*skip}}$ and $\mathsf{C}_2 \xrightarrow{\operatorname{\mathsf{id}}} \operatorname{\mathsf{*skip}}$, from the control flow transitions we have $\mathsf{C}_1 || \mathsf{C}_2 \xrightarrow{\operatorname{\mathsf{id}}} \operatorname{\mathsf{*skip}}$. As such, since $\epsilon = ok, w_1 \bullet w_2 \in P_1 * P_2$ and $\delta_1 || \delta_2 = []$, from the definition of reach we have $\operatorname{\mathsf{reach}}_0(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \delta_1 || \delta_2, P_1 * P_2, \mathsf{C}_1 || \mathsf{C}_2, \epsilon, w_1 \bullet w_2)$, as required.

Case n=0, k=j+1

From $\operatorname{reach}_0(\mathcal{R}_1, \mathcal{G}_1, \delta_1, P_1, \mathsf{C}_1, \epsilon, w_1)$ we know $\delta_1 = [], \mathsf{C}_1 \xrightarrow{\operatorname{id}} *\operatorname{skip}, \epsilon = ok$ and $w_1 \in P_1$. As such, since $k \neq 0$ and $\epsilon = ok$ and $\lfloor \delta_1 \rfloor = \lfloor \delta_2 \rfloor = []$, from $\operatorname{reach}_k(\mathcal{R}_2, \mathcal{G}_2, \delta_2, P_2, \mathsf{C}_2, \epsilon, w_2)$ we know there exist $\mathbf{a}, \mathsf{C}', \mathsf{R}, \delta'$ such that $\delta_2 = [\mathsf{L}] + \delta', \lfloor \delta' \rfloor = \lfloor \delta_1 \rfloor = [], \mathsf{C}_2, P_2 \xrightarrow{\mathbf{a}}_{\mathsf{L}} \mathsf{C}', \mathsf{R}, ok$ and $\operatorname{reach}_j(\mathcal{R}_2, \mathcal{G}_2, \delta', \mathsf{R}, \mathsf{C}', \epsilon, w_2)$. From $\operatorname{reach}_0(\mathcal{R}_1, \mathcal{G}_1, \delta_1, P_1, \mathsf{C}_1, \epsilon, w_1)$, $\operatorname{reach}_j(\mathcal{R}_2, \mathcal{G}_2, \delta', \mathsf{R}, \mathsf{C}', \epsilon, w_2)$, and the inductive hypothesis we then know there exists i such that $\operatorname{reach}_i(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \oplus \mathcal{G}_2, \delta_1 \parallel \delta', P_1 * \mathsf{R}, \mathsf{C}_1 \parallel \mathsf{C}', \epsilon, w_1 \bullet w_2)$. On the other hand, from $\operatorname{reach}_j(\mathcal{R}, \mathcal{G}, \delta', \mathsf{R}, \mathsf{C}', \epsilon, w_2)$ and Lemma 7 we know $\mathfrak{R} \neq \emptyset$ and thus from $\mathsf{C}_2, P_2 \xrightarrow{\mathbf{a}}_{\mathsf{L}} \mathsf{C}', \mathsf{R}, ok$ we know that $\mathsf{C}_2 \xrightarrow{\operatorname{id}} * \xrightarrow{\mathbf{a}} \mathsf{C}'$. As such, from control flow transitions we have $\mathsf{C}_1 \parallel \mathsf{C}_2 \xrightarrow{\operatorname{id}} * \xrightarrow{\mathbf{a}} \mathsf{C}_1 \parallel \mathsf{C}'$.

Pick an arbitrary $w \in P_1 * R$, $l, m \in \lfloor \|w\| \circ l \rfloor$. We then know there exists $w_p^1 = (l_p, g') \in P_1$ and $w_r = (l_r, g') \in R$ such that $w = (l_p \circ l_r, g')$ and $m \in \lfloor l_p \circ l_r \circ g' \circ l \rfloor = \lfloor (l_r \circ g') \circ l_p \circ l \rfloor = \lfloor \|w_r\| \circ l_p \circ l \rfloor$. As such, from the definition of $C_2, P_2 \xrightarrow{a}_L C', R, ok$ we know there exists $w_p^2 \in P_2, m' \in \lfloor \|w_p^2\| \circ l_p \circ l \rfloor$ such that $(m', m) \in \llbracket a \rrbracket ok$ and $(w_p^2)^{\mathsf{G}} = w_r^{\mathsf{G}} = g'$. Let $w' = w_p^1 \bullet w_p^2$; since $w_p^1 = (l_p, g')$, we then have $\lfloor \|w_p^2\| \circ l_p \circ l \rfloor = \lfloor \|w_p^1 \bullet w_p^2\| \circ l \rfloor = \lfloor \|w'\| \circ l \rfloor$. As such, we know $m' \in \lfloor \|w'\| \circ l \rfloor$. Moreover, we have $(w')^{\mathsf{G}} = w^{\mathsf{G}} = g'$. On the other hand, as $w_p^1 \in P_1$, $w_p^2 \in P_2$ and $w' = w_p^1 \bullet w_p^2$, we know $w' \in P_1 * P_2$. Consequently, from $\mathsf{C}_1 \mid \mathsf{C}_2 \xrightarrow{\mathsf{id}} * \stackrel{\mathsf{a}}{\to} \mathsf{C}_1 \mid \mathsf{C}'$ and the definition of $\stackrel{\mathsf{a}}{\to}_{\mathsf{L}}$ we have $\mathsf{C}_1 \mid |\mathsf{C}_2, P_1 * P_2 \xrightarrow{\mathsf{a}}_{\mathsf{L}} \mathsf{C}_1 \mid |\mathsf{C}', P_1 * R, ok$. Moreover, as $\delta_2 = [\mathsf{L}] + \delta'$, by definition we have $\delta_1 \mid |\delta_2 = [\mathsf{L}] + (\delta_1 \mid \delta')$. As such, since we have reach_i($\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \delta_1 \mid \delta', P_1 * R, \mathsf{C}_1 \mid |\mathsf{C}', \epsilon, w_1 \bullet w_2), \mathsf{C}_1 \mid \mathsf{C}_2, P_1 * P_2 \xrightarrow{\mathsf{a}}_{\mathsf{L}} \mathsf{C}_1 \mid |\mathsf{C}', P_1 * R, ok$ and $\delta_1 \mid |\delta_2 = [\mathsf{L}] + (\delta_1 \mid |\delta')$, from the definition of reach we have reach_{i+1}($\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \delta_1 \mid \forall \mathcal{G}_2, P_1 * P_2, \mathsf{C}_1 \mid |\mathsf{C}_2, \epsilon, w_1 \bullet w_2)$, as required.

Case $n=1, \epsilon \in \text{EREXIT}, k=0$

This case does not arise as it simultaneously implies that $\epsilon \in \text{EREXIT}$ and $\epsilon = ok$ which is not possible.

Case $n=1, \epsilon \in \text{EREXIT}, k \neq 0$

As n=1, $dom(\mathcal{G}_1) \cap dom(\mathcal{G}_2) = \emptyset$ (as otherwise $\mathcal{G}_1 \uplus \mathcal{G}_2$ would not be defined), $\mathcal{R}_1 \subseteq \mathcal{G}_2 \cup \mathcal{R}_2$ and $\mathcal{R}_2 \subseteq \mathcal{G}_1 \cup \mathcal{R}_1$, we then know that there exist $\alpha, p, q, R, \mathbf{a}, \mathsf{C}', j, \delta'$ such that either:

i) $k=1, \ \delta_1=\delta_2=[\alpha], \ \mathcal{R}_1(\alpha)=\mathcal{R}_2(\alpha)=(p,\epsilon,q), \ \mathsf{rely}(p,r,P_1,\{w_1\}) \ \text{and} \ \mathsf{rely}(p,r,P_2,\{w_2\}).$ ii) $k=1, \ \delta_1=\delta_2=[\alpha], \ \mathcal{R}_1(\alpha)=\mathcal{G}_2(\alpha)=(p,\epsilon,q), \ \mathsf{rely}(p,r,P_1,\{w_1\}) \ \text{and} \ \mathsf{guar}(p,r,P_2,\{w_2\},\mathsf{C}_2,\mathsf{C}',\mathbf{a},\epsilon).$

iii) $k=1, \ \delta_1=\delta_2=[\alpha], \ \mathcal{G}_1(\alpha)=\mathcal{R}_2(\alpha)=(p,\epsilon,q), \ \operatorname{guar}(p,r,P_1,\{w_1\},\mathsf{C}_1,\mathsf{C}',\mathbf{a},\epsilon) \ \operatorname{and} \ \operatorname{rely}(p,r,P_2,\{w_2\}).$ iv) $\delta_2=[\mathsf{L}] + \delta', \ k=j+1 \ \mathsf{C}_2, P_2 \stackrel{\mathbf{a}}{\leadsto}_{\mathsf{L}} \mathsf{C}', R, ok, \ \operatorname{reach}_j(\mathcal{R}_2,\mathcal{G}_2,\delta',R,\mathsf{C}',\epsilon,w_2).$

In case (i) we have $(\mathcal{R}_1 \cap \mathcal{R}_2)(\alpha) = (p, \epsilon, q)$. As $w_1 \bullet w_2$ is defined we know there exist l_1, l_2, g' such that $w_1 = (l_1, g'), w_2 = (l_2, g')$ and $w_1 \bullet w_2 = (l_1 \circ l_2, g')$. From $\operatorname{rely}(p, r, P_1, \{w_1\})$ we then know there exists $g_q \in q$ such that $w_1^{\mathsf{G}} = g_q \circ -$ and thus since $w_1^{\mathsf{G}} = (w_1 \circ w_2)^{\mathsf{G}}$ we have $(w_1 \circ w_2)^{\mathsf{G}} = g_q \circ -$.

Pick an arbitrary $g_q \in q$ and g such that $g' = g_q \circ g$. As such, given the definitions of w_1 and w_2 , from $\operatorname{rely}(p,q,P_1,\{w_1\})$ and $\operatorname{rely}(p,q,P_2,\{w_2\})$ we know $\emptyset \subset P'_1 \subseteq P_1$ with $P'_1 = \{(l_1,g_p \circ g) \mid g_p \in p\}$ and $\emptyset \subset P'_2 \subseteq P_2$ with $P'_2 = \{(l_2,g_p \circ g) \mid g_p \in p\}$. Consequently, we have $P \subseteq P_1 * P_2$ with $P = \{(l_1 \circ l_2, g_p \circ g) \mid g_p \in p\}$. We also know that $\emptyset \subset P$ as otherwise we arrive at a contradiction as follows. Let us assume $P = \emptyset$. As $(l_1 \circ l_2, g_q \circ g)$ is a world by definition we know that $g_q \# l_1 \circ l_2 \circ g$ and thus since $g_q \in q$ we know $q * \{l_1 \circ l_2 \circ g\} \neq \emptyset$. As such, since $\mathcal{R}_1(\alpha) = (p, \epsilon, q)$ and wf $(\mathcal{R}_1, \mathcal{G}_1)$ from the definition of wf(.) we also know $p * \{l_1 \circ l_2 \circ g\} \neq \emptyset$. That is, there exists $g_p \in p$ such that $g_p \# l_1 \circ l_2 \circ g$, and thus $(l_1 \circ l_2, g_p \circ g) \in P$, leading to a contradiction since we assumed $P = \emptyset$.

Consequently, since we have $\emptyset \subset P = \{(l, g_p \circ g) | g_p \in p\} \subseteq P_1 * P_2$ for an arbitrary $g_q \in q$ and $(l_1 \circ l_2, g_q \circ g) = w_1 \bullet w_2$, by definition we have $\operatorname{rely}(p, q, P_1 * P_2, \{w_1 \bullet w_2\})$. Moreover, since $\delta_1 = \delta_2 = [\alpha]$, by definition we have $\delta_1 || \delta_2 = [\alpha]$. As such, since we have $\delta_1 || \delta_2 = [\alpha]$, $(\mathcal{R}_1 \cap \mathcal{R}_2)(\alpha) = (p, \epsilon, q)$ and $\operatorname{rely}(p, q, P_1 * P_2, \{w_1 \bullet w_2\})$, from the definition of reach we have $\operatorname{reach}_1(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \delta_1 || \delta_2, P_1 * P_2, \mathsf{C}_1 || \mathsf{C}_2, \epsilon, w_1 \bullet w_2)$, as required.

In case (ii) we have $(\mathcal{G}_1 \oplus \mathcal{G}_2)(\alpha) = (p, \epsilon, q)$. Let $w_1 = (l_1, g)$, $w_2 = (l_2, g)$ and $w = w_1 \bullet w_2$. We then know $w = (l_1 \circ l_2, g)$. From $\operatorname{guar}(p, q, P_2, \{w_2\}, \mathsf{C}_2, \mathsf{C}', \mathbf{a}, \epsilon)$ we then know there exist $g_q \in q, g_p \in p, w_p^2 \in P_2, g', l'_2$ such that $w_p^2 = (l'_2, g_p \circ g'), g = g_q \circ g'$ and $\mathsf{C}_2, w_p^2 \stackrel{\mathbf{a}}{\to} \mathsf{C}', (l_2, g), \epsilon$. From $\mathsf{C}_2, w_p^2 \stackrel{\mathbf{a}}{\to} \mathsf{C}', (l_2, g)$ we know $\mathsf{C}_2 \stackrel{\mathbf{id}}{\to} \stackrel{\mathbf{a}}{\to} \mathsf{C}'$ and thus from the control flow transitions we also have $\mathsf{C}_1 || \mathsf{C}_2 \stackrel{\mathbf{id}}{\to} \stackrel{\mathbf{a}}{\to} \mathsf{C}_1 || \mathsf{C}'$. Let $w' = (l_1 \circ l'_2, g_p \circ g')$. Pick an arbitrary l' and $m \in \lfloor || w || \circ l' \rfloor = \lfloor l_1 \circ l_2 \circ g \circ l' \rfloor = \lfloor (l_2 \circ g) \circ l_1 \circ l' \rfloor = \lfloor || (l_2, g) || \circ l_1 \circ l' \rfloor$. As such, from the definition of $\mathsf{C}_2, w_p^2 \stackrel{\mathbf{a}}{\to} \mathsf{C}', (l_2, g)$ we know there exists $m' \in \lfloor || w_p^2 || \circ l_1 \circ l' \rfloor$ such that $(m', m) \in [\![\mathbf{a}]\!]\epsilon$. That is, $m' \in \lfloor l'_2 \circ g_p \circ g' \circ l_1 \circ l' \rfloor = \lfloor l_1 \circ l'_2 \circ g_p \circ g' \circ l' \rfloor = \lfloor || w' || \circ l' \rfloor$. As we have $\mathsf{C}_1 || \mathsf{C}_2 \stackrel{\mathbf{id}}{\to} \stackrel{\mathbf{a}}{\to} \mathsf{C}_1 || \mathsf{C}'$ and for an arbitrary l' and $m \in \lfloor || w || \circ l' \rfloor$ we showed there exists $m' \in \lfloor || w' || \circ l' \rfloor$ such that $(m', m) \in [\![\mathbf{a}]\!]\epsilon$, from the definition of $\stackrel{\mathbf{a}}{\to}$ we have $\mathsf{C}_1 || \mathsf{C}_2, w' \stackrel{\mathbf{a}}{\to} \mathsf{C}_1 || \mathsf{C}', w, \epsilon$. Moreover, since $w_1 = (l_1, g_q \circ g'), g_q \in q, g_p \in p$ and $w' = (l_1 \circ l'_2, g_p \circ g')$ is defined, from rely $(p, q, P_1, \{w_1\})$ we have $w' \in P_1 * P_2$. As such, given $w = w_1 \bullet w_2$, since we found $w' \in P_1 * P_2, g_p \in p, g_q \in q, g'$ such that $w'^{\mathsf{G}} = g_p \circ g', w^{\mathsf{G}} = g_q \circ g'$ and $\mathsf{C}_1 || \mathsf{C}_2, w' \stackrel{\mathbf{a}}{\to} \mathsf{C}_1 || \mathsf{C}', w, \epsilon$, by definition we have $\operatorname{guar}(p, q, P_1 * P_2, \{w_1 \bullet w_2\}, \mathsf{C}_1 || \mathsf{C}_2, \mathsf{C}_1 || \mathsf{C}', \mathbf{a}, \epsilon)$.

Finally, since $\delta_1 = \delta_2 = [\alpha]$, by definition we have $\delta_1 \mid \mid \delta_2 = [\alpha]$. As such, since we have $\delta_1 \mid \mid \delta_2 = [\alpha]$, $(\mathcal{G}_1 \uplus \mathcal{G}_2)(\alpha) = (p, \epsilon, q)$ and $guar(p, q, P_1 * P_2, \{w_1 \bullet w_2\}, \mathsf{C}_1 \mid \mid \mathsf{C}_2, \mathsf{C}_1 \mid \mid \mathsf{C}', \mathbf{a}, \epsilon)$, from the definition of reach we have reach₁($\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \delta_1 \mid \mid \delta_2, P_1 * P_2, \mathsf{C}_1 \mid \mid \mathsf{C}_2, \epsilon, w_1 \circ w_2)$, as required.

The proof of case (iii) is analogous to that of case (ii) and is omitted here.

In case (iv) from the definitions of $\lfloor . \rfloor$, δ_2 and since $\lfloor \delta_1 \rfloor = \lfloor \delta_2 \rfloor$ we have $\lfloor \delta_1 \rfloor = \lfloor \delta' \rfloor$. Consequently, from reach_n($\mathcal{R}_1, \mathcal{G}_1, \delta_1, P_1, C_1, \epsilon, w_1$), reach_j($\mathcal{R}_2, \mathcal{G}_2, \delta', R, C', \epsilon, w_2$), $\lfloor \delta_1 \rfloor = \lfloor \delta_2 \rfloor$ and

25:30 A General Approach to Under-approximate Reasoning about Concurrent Programs

the inductive hypothesis we know there exists *i* such that $\operatorname{reach}_i(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \delta_1 || \delta', P_1 * R, C_1 || C', \epsilon, w_1 \bullet w_2)$. From $\operatorname{reach}_j(\mathcal{R}_2, \mathcal{G}_2, \delta', R, C', \epsilon, w_2)$ and Lemma 7 we know $R \neq \emptyset$ and thus from $C_2, P_2 \xrightarrow{\mathbf{a}}_{\mathsf{L}} C', R, ok$ we know that $C_2 \xrightarrow{\operatorname{id}}^{\mathsf{id}} * \xrightarrow{\mathbf{a}} \mathsf{C}'$. As such, from control flow transitions we have $C_1 || C_2 \xrightarrow{\operatorname{id}}^{\mathsf{id}} * \xrightarrow{\mathbf{a}} \mathsf{C}_1 || C'.$

Pick an arbitrary $w \in P_1 * R$, $l, m \in \lfloor \|w\| \circ l \rfloor$. We then know there exists $w_p^1 = (l_p, g') \in P_1$ and $w_r = (l_r, g') \in R$ such that $w = (l_p \circ l_r, g')$ and $m \in \lfloor l_p \circ l_r \circ g' \circ l \rfloor = \lfloor (l_r \circ g') \circ l_p \circ l \rfloor = \lfloor \|w_r\| \circ l_p \circ l \rfloor$. As such, from the definition of $C_2, P_2 \xrightarrow{a} C', R, ok$ we know there exists $w_p^2 \in P_2, m' \in \lfloor \|w_p^2\| \circ l_p \circ l \rfloor$ such that $(m', m) \in [\![a]\!] ok$ and $(w_p^2)^{\mathsf{G}} = w_r^{\mathsf{G}} = g'$. Let $w' = w_p^1 \bullet w_p^2$; since $w_p^1 = (l_p, g')$, we then have $\lfloor \|w_p^2\| \circ l_p \circ l \rfloor = \lfloor \|w_p^1 \bullet w_p^2\| \circ l \rfloor = \lfloor \|w'\| \circ l \rfloor$. As such, we know $m' \in \lfloor \|w'\| \circ l \rfloor$. Moreover, we have $(w')^{\mathsf{G}} = w^{\mathsf{G}} = g'$. On the other hand, as $w_p^1 \in P_1$, $w_p^2 \in P_2$ and $w' = w_p^1 \bullet w_p^2$, we know $w' \in P_1 * P_2$. Consequently, from the definition $\xrightarrow{a}_{\mathsf{L}}$ we have $\mathsf{C}_1 \mid \mathsf{C}_2, P_1 * P_2 \xrightarrow{a}_{\mathsf{L}} \mathsf{C}_1 \mid \mathsf{C}', P_1 * R, ok$.

As $\delta_2 = [\mathsf{L}] + \delta'$, by definition we have $\delta_1 || \delta_2 = [\mathsf{L}] + (\delta_1 || \delta')$. As such, since $\delta_1 || \delta_2 = [\mathsf{L}] + (\delta_1 || \delta')$, $\mathsf{C}_1 || \mathsf{C}_2, P_1 * P_2 \stackrel{\mathbf{a}}{\to}_{\mathsf{L}} \mathsf{C}_1 || \mathsf{C}', P_1 * R$, ok and $\mathsf{reach}_i(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \delta_1 || \delta', P_1 * R$, $\mathsf{C}_1 || \mathsf{C}', \epsilon, w_1 \bullet w_2$), from the definition of reach we have $\mathsf{reach}_{i+1}(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \delta_1 || \delta_2, P_1 * P_2 \star \mathcal{G}_1 || \mathcal{G}_2, \epsilon, w_1 \bullet w_2)$, as required.

Case n=j+1, k=0

This case is analogous to that of n=0 and k=j+1 proved above and is thus omitted here.

Case $n=j+1, \epsilon \in \text{EREXIT}, k=1$

This case is analogous to that of $n=1, \epsilon \in \text{EREXIT}, k \neq 0$ proved above and is thus omitted here.

Case n=i+1, k=j+1

As $\mathcal{G}_1 \cap \mathcal{G}_2 = \emptyset$, $\mathcal{R}_1 \subseteq \mathcal{G}_2 \cup \mathcal{R}_2$, $\mathcal{R}_2 \subseteq \mathcal{G}_1 \cup \mathcal{R}_1$ and $\lfloor \delta_1 \rfloor = \lfloor \delta_2 \rfloor$, we know there exist $\delta'_1, \delta'_2, \delta', \alpha, p, r, R_1, R_2, \mathbf{a}, \mathsf{C}'$ such that one of the following cases hold: i) $\delta_1 = [\alpha] + \delta'_1, \delta_2 = [\alpha] + \delta'_2, \lfloor \delta'_1 \rfloor = \lfloor \delta'_2 \rfloor, \mathcal{R}_1(\alpha) = \mathcal{R}_2(\alpha) = (p, ok, r)$, rely (p, r, P_1, R_1) , rely (p, r, P_2, R_2) , reach_i $(\mathcal{R}_1, \mathcal{G}_1, \delta'_1, R_1, \mathsf{C}_1, \epsilon, w_1)$ and reach_j $(\mathcal{R}_2, \mathcal{G}_2, \delta'_2, R_2, \mathsf{C}_2, \epsilon, w_2)$ ii) $\delta_1 = [\alpha] + \delta'_1, \delta_2 = [\alpha] + \delta'_2, \lfloor \delta'_1 \rfloor = \lfloor \delta'_2 \rfloor, \mathcal{R}_1(\alpha) = \mathcal{G}_2(\alpha) = (p, ok, r)$, rely (p, r, P_1, R_1) , reach_i $(\mathcal{R}_1, \mathcal{G}_1, \delta'_1, R_1, \mathsf{C}_1, \epsilon, w_1)$, guar $(p, r, P_2, R_2, \mathsf{C}_2, \mathsf{C}', \mathbf{a}, ok)$, reach_j $(\mathcal{R}_2, \mathcal{G}_2, \delta'_2, R_2, \mathsf{C}', \epsilon, w_2)$. iii) $\delta_1 = [\alpha] + \delta'_1, \delta_2 = [\alpha] + \delta'_2, \lfloor \delta'_1 \rfloor = \lfloor \delta'_2 \rfloor, \mathcal{G}_1(\alpha) = \mathcal{R}_2(\alpha) = (p, ok, r)$, guar $(p, r, P_1, R_1, \mathsf{C}_1, \mathsf{C}', \mathbf{a}, ok)$, reach_i $(\mathcal{R}_1, \mathcal{G}_1, \delta'_1, R_1, \mathsf{C}', \epsilon, w_1)$, rely (p, r, P_2, R_2) and reach_j $(\mathcal{R}_2, \mathcal{G}_2, \delta'_2, R_2, \mathsf{C}_2, \epsilon, w_2)$. iv) $\delta_2 = [\mathsf{L}] + \delta', \begin{tabular}{l} \delta_1 = \lfloor \delta'_2 \rfloor, \mathsf{C}_1, P_1 \stackrel{\mathbf{a}}{\to} \mathsf{C}', R_1, ok$, reach_i $(\mathcal{R}_1, \mathcal{G}_1, \delta', R_1, \mathsf{C}', \epsilon, w_1)$.

In case (i) we have $(\mathcal{R}_1 \cap \mathcal{R}_2)(\alpha) = (p, ok, r)$. From $\operatorname{reach}_i(\mathcal{R}_1, \mathcal{G}_1, \delta'_1, R_1, \mathsf{C}_1, \epsilon, w_1)$, $\operatorname{reach}_j(\mathcal{R}_2, \mathcal{G}_2, \delta'_2, R_2, \mathsf{C}_2, \epsilon, w_2)$, $\lfloor \delta'_1 \rfloor = \lfloor \delta'_2 \rfloor$, and the inductive hypothesis we then know there exists m such that $\operatorname{reach}_m(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \delta'_1 \parallel \delta'_2, R_1 * R_2, \mathsf{C}_1 \parallel \mathsf{C}_2, \epsilon, w_1 \circ w_2)$. Pick an arbitrary $w \in \mathcal{R}_1 * \mathcal{R}_2$. We then know there exist $w'_1 \in \mathcal{R}_1, w'_2 \in \mathcal{R}_2, l_1, l_2, g'$ such that $w'_1 = (l_1, g')$, $w'_2 = (l_2, g')$ and $w = (l_1 \circ l_2, g')$. From $\operatorname{rely}(p, r, P_1, R_1)$ we then know there exists $g_r \in r$ such that $(w'_1)^{\mathsf{G}} = g_r \circ -$ and thus since $(w'_1)^{\mathsf{G}} = w^{\mathsf{G}}$ we have $w^{\mathsf{G}} = g_r \circ -$.

Pick an arbitrary $g_r \in r$ and $(l, g_r \circ g) \in R_1 * R_2$. We then know there exists l_1, l_2 such that $l = l_1 \circ l_2$, $(l_1, g_r \circ g) \in R_1$ and $(l_2, g_r \circ g) \in R_2$. As such, from $\operatorname{rely}(p, r, P_1, R_1)$ and $\operatorname{rely}(p, r, P_2, R_2)$ we know $\emptyset \subset P'_1 \subseteq P_1$ with $P'_1 = \{(l_1, g_p \circ g) \mid g_p \in p\}$ and $\emptyset \subset P'_2 \subseteq P_2$ with $P'_2 = \{(l_2, g_p \circ g) \mid g_p \in p\}$. Consequently, we have $P \subseteq P_1 * P_2$ with $P = \{(l, g_p \circ g) \mid g_p \in p\}$. We also know that $\emptyset \subset P$ as otherwise we arrive at a contradiction as follows. Let us assume $P = \emptyset$. As $(l, g_r \circ g)$ is a world by definition we know that $g_r \# l \circ g$ and thus since $g_r \in r$ we know $r * \{l \circ g\} \neq \emptyset$. As such, since $\mathcal{R}_1(\alpha) = (p, \epsilon, r)$ and $\operatorname{wf}(\mathcal{R}_1, \mathcal{G}_1)$ from the definition of

wf(.) we also know $p * \{l \circ g\} \neq \emptyset$. That is, there exists $g_p \in p$ such that $g_p \# l \circ g$, and thus $(l, g_p \circ g) \in P$, leading to a contradiction since we assumed $P = \emptyset$.

Consequently, since we have $\emptyset \subset P = \{(l, g_p \circ g) | g_p \in p\} \subseteq P_1 * P_2$ for an arbitrary $g_r \in r$ and $(l, g_r \circ g) \in R_1 * R_2$, by definition we have $\operatorname{rely}(p, q, P_1 * P_2, R_1 * R_2)$. As $\delta_1 = [\alpha] + \delta'_1$ and $\delta_2 = [\alpha] + \delta'_2$, by definition we have $\delta_1 || \delta_2 = [\alpha] + (\delta'_1 || \delta'_2)$. As such, since $\delta_1 || \delta_2 = [\alpha] + (\delta'_1 || \delta'_2)$, $(\mathcal{R}_1 \cap \mathcal{R}_2)(\alpha) = (p, ok, r)$, $\operatorname{rely}(p, q, P_1 * P_2, R_1 * R_2)$ and $\operatorname{reach}_m(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \delta'_1 || \delta'_2, R_1 * R_2, \mathcal{C}_1 || \mathcal{C}_2, \epsilon, w_1 \circ w_2)$, from the definition of reach we have $\operatorname{reach}_m(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \delta'_1 || \delta'_2, P_1 * P_2, \mathcal{C}_1 || \mathcal{C}_2, \epsilon, w_1 \circ w_2)$, as required.

In case (ii) we have $(\mathcal{G}_1 \uplus \mathcal{G}_2)(\alpha) = (p, ok, r)$. From $\operatorname{reach}_i(\mathcal{R}_1, \mathcal{G}_1, \delta'_1, R_1, \mathsf{C}_1, \epsilon, w_1)$, $\operatorname{reach}_j(\mathcal{R}_2, \mathcal{G}_2, \delta'_2, R_2, \mathsf{C}', \epsilon, w_2)$, $\lfloor \delta'_1 \rfloor = \lfloor \delta'_2 \rfloor$, and the inductive hypothesis we then know there exists m such that $\operatorname{reach}_m(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \delta'_1 || \delta'_2, R_1 * R_2, \mathsf{C}_1 || \mathsf{C}', \epsilon, w_1 \circ w_2)$.

Pick an arbitrary $w=(l,g) \in R_1 * R_2$. By definition we know there exists l_1, l_2 such that $l=l_1 \circ l_2$, $(l_1,g) \in R_1$ and $(l_2,g) \in R_2$. From $guar(p,r,P_2,R_2,C_2,C',\mathbf{a},ok)$ we then know there exist $g_r \in r$, $g_p \in p$, $w_p^2 \in P_2$, g', l'_2 such that $w_p^2 = (l'_2, g_p \circ g')$, $g = g_r \circ g'$ and $C_2, w_p^2 \xrightarrow{\mathbf{a}} C', (l_2, g), ok.$ From $C_2, w_p^2 \xrightarrow{\mathbf{a}} C', (l_2, g)$ we know $C_2 \xrightarrow{\mathrm{id}} \xrightarrow{\mathbf{a}} C'$ and thus from the control flow transitions we also have $C_1 || C_2 \xrightarrow{id} \stackrel{*}{\to} C_1 || C'$. Let $w' = (l_1 \circ l'_2, g_p \circ g')$. Pick an arbitrary l' and $m \in \lfloor \lfloor \lfloor w \rfloor \rfloor \circ l' \rfloor = \lfloor l_1 \circ l_2 \circ g \circ l' \rfloor = \lfloor (l_2 \circ g) \circ l_1 \circ l' \rfloor = \lfloor \lfloor \lfloor (l_2, g) \rfloor \rfloor \circ l_1 \circ l' \rfloor$. As such, from the definition of $C_2, w_p^2 \stackrel{\mathbf{a}}{\leadsto} C', (l_2, g), ok$ we know there exists $m' \in \lfloor \lfloor \! \lfloor w_p^2 \rfloor \! \rfloor \circ l_1 \circ l' \rfloor$ such that $(m', m) \in \llbracket \mathbf{a} \rrbracket ok$. That is, $m' \in \lfloor l'_2 \circ g_p \circ g' \circ l_1 \circ l' \rfloor = \lfloor l_1 \circ l'_2 \circ g_p \circ g' \circ l' \rbrack = \lfloor \llbracket w' \rrbracket \circ l' \rfloor$. As we have $C_1 || C_2 \xrightarrow{id} * \xrightarrow{a} C_1 || C'$ and for an arbitrary l' and $m \in || w || \circ l' |$ we showed there exists $m' \in \lfloor \|w'\| \circ l' \rfloor$ such that $(m', m) \in [\![\mathbf{a}]\!] ok$, from the definition of $\overset{\mathbf{a}}{\rightsquigarrow}$ we have $C_1 || C_2, w' \stackrel{a}{\rightsquigarrow} C_1 || C', w, ok$. Moreover, since $(l_1, g) = (l_1, g_r \circ g') \in R_1, g_p \in p$ and $w' = (l_1 \circ l'_2, g_p \circ g')$ is defined, from $\operatorname{rely}(p, r, P_1, R_1)$ we have $(l_1, g_p \circ g') \in P_1$. Consequently, since $w' = (l_1 \circ l'_2, g_p \circ g')$ and $w_p^2 = (l'_2, g_p \circ g') \in P_2$ we have $w' \in P_1 * P_2$. As such, since for an arbitrary $w \in R_1 * R_2$ we found $w' \in P_1 * P_2$, $g_p \in p, g_r \in r, g'$ such that $w'^{\mathsf{G}} = g_p \circ g'$, $w^{\mathsf{G}} = g_q \circ g'$ and $\mathsf{C}_1 || \mathsf{C}_2, w' \stackrel{\mathbf{a}}{\leadsto} \mathsf{C}_1 || \mathsf{C}', w, ok$, by definition we have $\mathsf{guar}(p, q, P_1 * P_2, R_1 * R_2, w')$ $\mathsf{C}_1 \mid\mid \mathsf{C}_2, \mathsf{C}_1 \mid\mid \mathsf{C}', \mathbf{a}, ok).$

As $\delta_1 = [\alpha] + \delta'_1$ and $\delta_2 = [\alpha] + \delta'_2$, by definition we have $\delta_1 || \delta_2 = [\alpha] + (\delta'_1 || \delta'_2)$. As such, since $\delta_1 || \delta_2 = [\alpha] + (\delta'_1 || \delta'_2)$, $(\mathcal{G}_1 \uplus \mathcal{G}_2)(\alpha) = (p, ok, r)$, $guar(p, q, P_1 \ast P_2, R_1 \ast R_2, \mathsf{C}_1 || \mathsf{C}_2, \mathsf{C}_1 || \mathsf{C}_2, \mathsf{A}_1 \otimes \mathsf{A}_2)$, and $\mathsf{reach}_m(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \delta'_1 || \delta'_2, R_1 \ast R_2, \mathsf{C}_1 || \mathsf{C}', \epsilon, w_1 \circ w_2)$, from the definition of reach we have $\mathsf{reach}_m(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \delta_1 || \delta_2, P_1 \ast P_2, \mathsf{C}_1 || \mathsf{C}_2, \epsilon, w_1 \circ w_2)$, as required.

The proof of case (iii) is analogous to that of case (ii) and is omitted here.

In case (iv) from $\operatorname{\mathsf{reach}}_1(\mathcal{R}_1, \mathcal{G}_1, \delta_1, P_1, \mathsf{C}_1, \epsilon, w_1)$, $\operatorname{\mathsf{reach}}_j(\mathcal{R}_2, \mathcal{G}_2, \delta', R_2, \mathsf{C}', \epsilon, w_2)$, $\lfloor \delta_1 \rfloor = \lfloor \delta' \rfloor$ and the inductive hypothesis we know there exists *i* such that $\operatorname{\mathsf{reach}}_i(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \boxplus \mathcal{G}_2, \delta_1 || \delta',$ $P_1 * R_2, \mathsf{C}_1 || \mathsf{C}', \epsilon, w_1 \bullet w_2)$. From $\operatorname{\mathsf{reach}}_j(\mathcal{R}_2, \mathcal{G}_2, \delta', R_2, \mathsf{C}', \epsilon, w_2)$ and Lemma 7 we know $R_2 \neq \emptyset$, thus from $\mathsf{C}_2, P_2 \xrightarrow{\mathbf{a}}_{\mathsf{L}} \mathsf{C}', R_2, ok$ we know $\mathsf{C}_2 \xrightarrow{\mathrm{id}}^* \xrightarrow{\mathbf{a}} \mathsf{C}'$. As such, from control flow transitions we have $\mathsf{C}_1 || \mathsf{C}_2 \xrightarrow{\mathrm{id}}^* \xrightarrow{\mathbf{a}} \mathsf{C}_1 || \mathsf{C}'$.

Pick an arbitrary $w \in P_1 * R_2$, $l, m \in \lfloor \|w\| \circ l \rfloor$. We then know there exists $w_p^1 = (l_p, g') \in P_1$ and $w_r = (l_r, g') \in R_2$ such that $w = (l_p \circ l_r, g')$ and $m \in \lfloor l_p \circ l_r \circ g' \circ l \rfloor = \lfloor (l_r \circ g') \circ l_p \circ l \rfloor = \lfloor \|w_r\| \circ l_p \circ l \rfloor$. As such, from the definition of $\mathsf{C}_2, P_2 \xrightarrow{\mathsf{a}}_{\mathsf{L}} \mathsf{C}', R_2, ok$ we know there exists $w_p^2 \in P_2$, $m' \in \lfloor \|w_p^2\| \circ l_p \circ l \rfloor$ such that $(m', m) \in \llbracket \mathsf{a} \rrbracket ok$ and $(w_p^2)^\mathsf{G} = w_r^\mathsf{G} = g'$. Let $w' = w_p^1 \bullet w_p^2$; since $w_p^1 = (l_p, g')$, we then have $\lfloor \|w_p^2\| \circ l_p \circ l \rfloor = \lfloor \|w_p^1 \bullet w_p^2\| \circ l \rfloor = \lfloor \|w'\| \circ l \rfloor$. As such, we know $m' \in \lfloor \|w'\| \circ l \rfloor$. Moreover, we have $(w')^\mathsf{G} = w^\mathsf{G} = g'$. On the other hand, as $w_p^1 \in P_1$, $w_p^2 \in P_2$ and $w' = w_p^1 \bullet w_p^2$, we know $w' \in P_1 * P_2$. Consequently, from the

25:32 A General Approach to Under-approximate Reasoning about Concurrent Programs

definition $\overset{\mathbf{a}}{\leadsto}_{\mathsf{L}}$ we have $\mathsf{C}_1 || \mathsf{C}_2, P_1 * P_2 \overset{\mathbf{a}}{\leadsto}_{\mathsf{L}} \mathsf{C}_1 || \mathsf{C}', P_1 * R_2, ok.$

As $\delta_2 = [\mathsf{L}] + \delta'$, by definition we have $\delta_1 || \delta_2 = [\mathsf{L}] + (\delta_1 || \delta')$. As such, since $\delta_1 || \delta_2 = [\mathsf{L}] + (\delta_1 || \delta')$, $\mathsf{C}_1 || \mathsf{C}_2, P_1 * P_2 \stackrel{\mathbf{a}}{\to} \mathsf{C}_1 || \mathsf{C}', P_1 * R_2$, ok and $\mathsf{reach}_i(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \delta_1 || \delta', P_1 * R_2, \mathsf{C}_1 || \mathsf{C}', \epsilon, w_1 \bullet w_2)$, from the definition of reach we have $\mathsf{reach}_{i+1}(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \delta_1 || \delta_2, P_1 * P_2, \mathsf{C}_1 || \mathsf{C}_2, \epsilon, w_1 \bullet w_2)$, as required.

The proof of case (v) is analogous to that of case (iv) and is omitted here.

◄

▶ Lemma 21. For all $n, \mathcal{R}, \mathcal{G}, \delta, P, w_q, \mathsf{C}, \epsilon, R, w$, if wf $(\mathcal{R}, \mathcal{G})$, stable $(R, \mathcal{R} \cup \mathcal{G})$, reach_n $(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w_q)$ and $w \in \{w_q\} * R$, then reach_n $(\mathcal{R}, \mathcal{G}, \delta, P * R, \mathsf{C}, \epsilon, w)$.

Proof. By induction on n.

Case n=0

Pick arbitrary $\mathcal{R}, \mathcal{G}, \delta, P, R, w_q, w, \mathsf{C}, \epsilon$ such that $\mathsf{wf}(\mathcal{R}, \mathcal{G})$, $\mathsf{stable}(R, \mathcal{R} \cup \mathcal{G})$, $\mathsf{reach}_0(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w_q)$ and $w \in \{w_q\} * R$. From the definition of $\mathsf{reach}_0(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w_q)$ we know $\delta = [], \epsilon = ok, \mathsf{C} \xrightarrow{\mathsf{id}} \mathsf{skip}$ and $w_q \in P$. As such, since $w \in \{w_q\} * R$ and $w_q \in P$, we have $w \in P * R$. Consequently, as $\delta = [], \epsilon = ok, \mathsf{C} \xrightarrow{\mathsf{id}} \mathsf{skip}$ and $w \in P * R$, from the definition of reach_0 we have $\mathsf{reach}_0(\mathcal{R}, \mathcal{G}, \delta, P * R, \mathsf{C}, \epsilon, w)$, as required.

Case $n=1, \epsilon \in \text{EREXIT}$

Pick arbitrary $\mathcal{R}, \mathcal{G}, \delta, P, R, w_q, w, \mathsf{C}, \epsilon$ such that $\mathsf{wf}(\mathcal{R}, \mathcal{G})$, $\mathsf{stable}(R, \mathcal{R} \cup \mathcal{G})$, $\mathsf{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w_q)$ and $w \in \{w_q\} * R$. As $w \in \{w_q\} * R$, we know there exists l_q, g, l_r such that $w_q = (l_q, g), (l_r, g) \in R$ and $w = (l_q \circ l_r, g)$. From $\mathsf{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w_q)$ we know that there exists $\alpha, p, q, \mathbf{a}, \mathsf{C}'$ such that either:

1) $\delta = [\alpha], \mathcal{R}(\alpha) = (p, \epsilon, q), \operatorname{rely}(p, q, P, \{w_q\}); \text{ or }$

2) $\delta = [\alpha], \mathcal{G}(\alpha) = (p, \epsilon, q), \operatorname{guar}(p, q, P, \{w_q\}, \mathsf{C}, \mathsf{C}', \mathbf{a}, \epsilon).$

In case (1), from the definition of rely we know there exists $g_q \in q$ such that $g=g_q \circ -$. That is, $\exists g_q \in q. w^{\mathsf{G}}=g_q \circ -$.

Pick an arbitrary $g_q \in q, g'$ such that $g=g_q \circ g'$. From $\operatorname{rely}(p, q, P, \{w_q\})$ and since $w_q=(l_q,g)$, we know $\emptyset \subset \{(l_q,g_p \circ g') \mid g_p \in p\} \subseteq P$. As $\mathcal{R}(\alpha) = (p,\epsilon,q), w_r=(l_r,g), g=g_q \circ g'$, from $\operatorname{stable}(R, \mathcal{R} \cup \mathcal{G})$ we know $\{(l_r,g_p \circ g') \mid g_p \in p\} \subseteq R$. Since $\{(l_q,g_p \circ g') \mid g_p \in p\} \subseteq P$ and $\{(l_r,g_p \circ g') \mid g_p \in p\} \subseteq R$, we also have $S=\{(l_q \circ l_r,g_p \circ g') \mid g_p \in p\} \subseteq P * R$. We also know that $\emptyset \subset S$ as otherwise we arrive at a contradiction as follows. Let us assume $S=\emptyset$. As $w=(l_q \circ l_r,g_q \circ g')$ is a world, by definition we know that $g_q \# l_q \circ l_r \circ g'$ and thus since $g_q \in q$ we know $q * \{l_q \circ l_r \circ g'\} \neq \emptyset$. As such, since $\mathcal{R}(\alpha)=(p,\epsilon,q)$ and wf(\mathcal{R},\mathcal{G}) from the definition of wf(.) we also know $p * \{l_q \circ l_r \circ g'\} \neq \emptyset$. That is, there exists $g_p \in p$ such that $g_p \# l_q \circ l_r \circ g'$, and thus $(l_q \circ l_r, g_p \circ g') \in S$, leading to a contradiction since we assumed $S = \emptyset$.

Consequently, since $\exists g_q \in q$. $w^{\mathsf{G}} = g_q \circ -$, and for an arbitrary $g_q \in q, g'$ with $g = g_q \circ g$ we showed $\emptyset \subset S = \{(l_q \circ l_r, g_p \circ g') \mid g_p \in p\} \subseteq P * R$ and since $(l_q \circ l_r, g_q \circ g') = w$, by definition we have $\mathsf{rely}(p, q, P * R, \{w\})$.

As such, since we have $\delta = [\alpha]$, $(\mathcal{R})(\alpha) = (p, \epsilon, q)$ and $\operatorname{rely}(p, q, P * R, \{w\})$, from the definition of reach we have $\operatorname{reach}_1(\mathcal{R}, \mathcal{G}, \delta, P * R, \mathsf{C}, \epsilon, w)$, as required.

In case (2), from $guar(p, q, P, \{w_q\}, \mathsf{C}, \mathsf{C}', \mathbf{a}, \epsilon)$ and since $w_q = (l_q, g)$, we know $\mathsf{C} \xrightarrow{\mathsf{id}} * \xrightarrow{\mathsf{a}} \mathsf{C}'$ and that there exist $g_q \in q$, $g_p \in p$, $w_p \in P$, g', l_p such that $w_p = (l_p, g_p \circ g')$, $g = g_q \circ g'$ and $\mathsf{C}, w_p \xrightarrow{\mathsf{a}} \mathsf{C}', w_q, \epsilon$. Let $w' = (l_p \circ l_r, g_p \circ g')$. As $\mathcal{G}(\alpha) = (p, \epsilon, q)$, $w_r = (l_r, g) \in R$, $g = g_q \circ g', g_p \in p$

and $g_q \in q$, from stable $(R, \mathcal{R} \cup \mathcal{G})$ we know $(l_r, g_p \circ g') \in R$. As such, since $w_p = (l_p, g_p \circ g') \in P$ and $(l_r, g_p \circ g') \in R$, we also have $w' = (l_p \circ l_r, g_p \circ g') \in P * R$.

Pick an arbitrary l' and $m \in \lfloor \|w\| \circ l' \rfloor = \lfloor l_q \circ l_r \circ g \circ l' \rfloor = \lfloor (l_q \circ g) \circ l_r \circ l' \rfloor = \lfloor \|(l_q, g)\| \circ l_r \circ l' \rfloor = \lfloor \|w_q\| \circ l_r \circ l' \rfloor$. As such, from the definition of $\mathsf{C}, w_p \stackrel{\mathbf{a}}{\to} \mathsf{C}', w_q$ we know there exists $m' \in \lfloor \|w_p\| \circ l_r \circ l' \rfloor$ such that $(m', m) \in \llbracket \mathbf{a} \rrbracket \epsilon$. That is, $m' \in \lfloor l_p \circ g_p \circ g' \circ l_r \circ l' \rfloor = \lfloor l_p \circ l_r \circ g_p \circ g' \circ l_r \circ l' \rfloor = \lfloor w' \Vert \circ l' \rfloor$. As such, since $\mathsf{C} \stackrel{\mathrm{id}}{\to} \stackrel{\mathbf{a}}{\to} \mathsf{C}'$ and for an arbitrary l' and $m \in \lfloor \|w\| \circ l' \rfloor$ we showed there exists $m' \in \lfloor \|w'\| \circ l' \rfloor$ such that $(m', m) \in \llbracket \mathbf{a} \rrbracket \epsilon$, from the definition of $\stackrel{\mathbf{a}}{\to}$ we have $\mathsf{C}, w' \stackrel{\mathbf{a}}{\to} \mathsf{C}, w, \epsilon$. As such, since we found $w' \in P * R, g_p \in p, g_q \in q, g'$ such that $w'^{\mathsf{G}} = g_p \circ g', w^{\mathsf{G}} = g_q \circ g'$ and $\mathsf{C}, w' \stackrel{\mathbf{a}}{\to} \mathsf{C}, w, \epsilon$, by definition we have $\mathsf{guar}(p, q, P * R, \{w\}, \mathsf{C}, \mathsf{C}', \mathbf{a}, \epsilon)$.

Finally, since $\delta = [\alpha]$, $(\mathcal{G})(\alpha) = (p, \epsilon, q)$ and $guar(p, q, P * R, \{w\}, \mathsf{C}, \mathsf{C}', \mathbf{a}, \epsilon)$, from the definition of reach we have reach₁($\mathcal{R}, \mathcal{G}, \delta, P * R, \mathsf{C}, \epsilon, w$), as required.

Case n=j+1

 $\forall k, \mathcal{R}, \mathcal{G}, \delta, P, w_q, \mathsf{C}, \epsilon, R, w.$ $\mathsf{wf}(\mathcal{R}, \mathcal{G}) \land \mathsf{stable}(R, \mathcal{R} \cup \mathcal{G}) \land \mathsf{reach}_k(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w_q) \land w \in R * \{w_q\}$ $\Rightarrow \mathsf{reach}_k(\mathcal{R}, \mathcal{G}, \delta, P * R, \mathsf{C}, \epsilon, w)$ (I.H)

Pick arbitrary $\mathcal{R}, \mathcal{G}, \delta, P, w_q, \mathsf{C}, \epsilon, R, w$ such that $wf(\mathcal{R}, \mathcal{G})$, stable $(R, \mathcal{R} \cup \mathcal{G})$, reach_n $(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w_q)$ and $w \in R * \{w_q\}$. As $w \in \{w_q\} * R$, we know there exists l_q, g, l_r such that $w_q = (l_q, g), (l_r, g) \in R$ and $w = (l_q \circ l_r, g)$. From $\mathsf{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w_q)$ we know that there exists $\alpha, \delta', p, r, S, \mathbf{a}, \mathsf{C}'$ such that either:

1) $\delta = [\alpha] + \delta', \mathcal{R}(\alpha) = (p, ok, r), \operatorname{rely}(p, r, P, S) \text{ and } \operatorname{reach}_j(\mathcal{R}, \mathcal{G}, \delta', S, \mathsf{C}, ok, w_q); \text{ or }$ 2) $\delta = [\alpha] + \delta', \mathcal{G}(\alpha) = (p, ok, r), \operatorname{guar}(p, r, P, S, \mathsf{C}, \mathsf{C}', \mathbf{a}, ok) \text{ and } \operatorname{reach}_j(\mathcal{R}, \mathcal{G}, \delta', S, \mathsf{C}', ok, w_q); \text{ or }$

3) $\delta = [\mathsf{L}] + \delta'$, reach_j($\mathcal{R}, \mathcal{G}, \delta', S, \mathsf{C}', ok, w_q$) and $\mathsf{C}, P \overset{\mathbf{a}}{\to}_{\mathsf{L}} \mathsf{C}', S, ok$.

In case (1), from I.H and $\operatorname{reach}_j(\mathcal{R}, \mathcal{G}, \delta', S, \mathsf{C}, ok, w_q)$ we have $\operatorname{reach}_j(\mathcal{R}, \mathcal{G}, \delta', S * R, \mathsf{C}, \epsilon, w)$. Pick an arbitrary $w' \in S * R$. We then know there exists $w_s \in S$ and $w_r \in R, l_s, l_r, g_m$ such that $w_s = (l_s, g_m), w_r = (l_r, g_m)$ and $w' = (l_s \circ l_r, g_m)$. From $\operatorname{rely}(p, r, P, S)$ we then know there exists $g_r \in r$ such that $(w_s)^{\mathsf{G}} = g_r \circ -$ and thus since $(w_s)^{\mathsf{G}} = w'^{\mathsf{G}}$ we have $w'^{\mathsf{G}} = g_r \circ -$. That is, for an arbitrary $w' \in S * R$ we have $\exists g_r \in r. w'^{\mathsf{G}} = g_r \circ -$.

Pick an arbitrary $g_r \in r$ and $(l, g_r \circ g') \in S * R$. We then know there exists l_s, l_r such that $l = l_s \circ l_r, (l_s, g_r \circ g') \in S$ and $(l_r, g_r \circ g') \in R$. As such, from $\operatorname{rely}(p, r, P, S)$ we know $\emptyset \subset \{(l_s, g_p \circ g') | g_p \in p\} \subseteq P$. As $\mathcal{R}(\alpha) = (p, \epsilon, r), (l_r, g) \in R, g = g_r \circ g'$ and $g_r \in r$, from $\operatorname{stable}(R, \mathcal{R} \cup \mathcal{G})$ we know $\{(l_r, g_p \circ g') | g_p \in p\} \subseteq R$. Since $\{(l_s, g_p \circ g') | g_p \in p\} \subseteq P$ and $\{(l_r, g_p \circ g') | g_p \in p\} \subseteq R$, we also have $A = \{(l_s \circ l_r, g_p \circ g') | g_p \in p\} \subseteq P * R$.

We also know that $\emptyset \subset A$ as otherwise we arrive at a contradiction as follows. Let us assume $A = \emptyset$. As $(l, g_r \circ g') = (l_s \circ l_r, g_r \circ g')$ is a world by definition we know that $g_r \# l_s \circ l_r \circ g'$ and thus since $g_r \in r$ we know $r * \{l_s \circ l_r \circ g'\} \neq \emptyset$. As such, since $\mathcal{R}(\alpha) = (p, \epsilon, r)$ and wf $(\mathcal{R}_1, \mathcal{G}_1)$ from the definition of wf(.) we also know $p * \{l_s \circ l_r \circ g'\} \neq \emptyset$. That is, there exists $g_p \in p$ such that $g_p \# l_s \circ l_r \circ g'$, and thus $(l_s \circ l_r, g_p \circ g') \in A$, leading to a contradiction since we assumed $A = \emptyset$.

Consequently, since for an arbitrary $w' \in S * R$ we have $\exists g_r \in r$. $w'^{\mathsf{G}} = g_r \circ -$ and for arbitrary $g_r \in r$ and $(l, g_r \circ g') \in S * R$ we have $\emptyset \subset A = \{(l_s \circ l_r, g_p \circ g) \mid g_p \in p\} \subseteq P * R$, by definition we have $\mathsf{rely}(p, q, P * R, S * R)$. As such, since we have $\delta = [\alpha] + \delta'$, $(\mathcal{R})(\alpha) = (p, ok, r)$, $\mathsf{rely}(p, q, P * R, S * R)$ and $\mathsf{reach}_j(\mathcal{R}, \mathcal{G}, \delta', S * R, \mathsf{C}, \epsilon, w)$, from the definition of reach we have $\mathsf{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P * R, \mathsf{C}, \epsilon, w)$, as required.

25:34 A General Approach to Under-approximate Reasoning about Concurrent Programs

In case (2), from I.H and $\operatorname{reach}_j(\mathcal{R}, \mathcal{G}, \delta', S, \mathsf{C}, ok, w_q)$ we have $\operatorname{reach}_j(\mathcal{R}, \mathcal{G}, \delta', S * R, \mathsf{C}, \epsilon, w)$.

Pick an arbitrary $w'=(l,g_m) \in S * R$. By definition we know there exists l_s, l_r such that $l=l_s \circ l_r, (l_s,g_m) \in S$ and $(l_r,g_m) \in R$. From $\operatorname{guar}(p,r,P,S,\mathsf{C},\mathsf{C}',\mathbf{a},ok)$ we then know there exist $g_r \in r, g_p \in p, w_p \in P, g', l_p$ such that $w_p = (l_p,g_p \circ g'), g_m=g_r \circ g'$ and $\mathsf{C}, w_p \stackrel{a}{\to} \mathsf{C}', (l_s,g_m), ok$. Let $w''=(l_p \circ l_r,g_p \circ g')$. Pick an arbitrary l' and $m \in \lfloor \|w'\| \circ l' \rfloor = \lfloor l_s \circ l_r \circ g_m \circ l' \rfloor = \lfloor (l_s \circ g_m) \circ l_r \circ l' \rfloor = \lfloor \|(l_s,g_m)\| \circ l_1 \circ l' \rfloor$. As such, from the definition of $\mathsf{C}, w_p \stackrel{a}{\to} \mathsf{C}', (l_s,g_m)$ we know there exists $m' \in \lfloor \|w_p\| \circ l_r \circ l' \rfloor$ such that $(m',m) \in \llbracket a \rrbracket ok$. That is, $m' \in \lfloor l_p \circ g_p \circ g' \circ l_r \circ l' \rfloor = \lfloor l_p \circ l_r \circ g_p \circ g' \circ l' \rfloor = \lfloor \|w'\| \circ l' \rfloor$ and $m \in \lfloor \|w'\| \circ l' \rfloor$ such that $(m',m) \in \llbracket a \rrbracket ok$. That $(m',m) \in \llbracket a \rrbracket ok$, from the definition of $\stackrel{a}{\rightsquigarrow} we have \mathsf{C}, w'' \stackrel{a}{\rightsquigarrow} \mathsf{C}', w', ok$. Moreover, since $(l_r,g_m) = (l_r,g_r \circ g') \in R, \mathcal{G}(\alpha) = (p,ok,r), g_r \in r \text{ and } g_p \in p, \text{ from stable}(P,\mathcal{R} \cup \mathcal{G})$ we know $(l_r,g_p \circ g') \in R$. As such, since for an arbitrary $w' \in S * R$ we found $w'' \in P * R$, $g_p \in p, g_r \in r, g'$ such that $w''' \in g_p \circ g', w''^\mathsf{G} = g_q \circ g'$ and $\mathsf{C}, w'' \stackrel{a}{\to} \mathsf{C}', w', ok$, by definition we have $\operatorname{guar}(p,q,P * R,S * R,\mathsf{C},\mathsf{C}',\mathsf{a},ok)$.

Finally, since $\delta = [\alpha] + \delta'$, $(\mathcal{G})(\alpha) = (p, ok, r)$, $guar(p, q, P * R, S * R, \mathsf{C}, \mathsf{C}', \mathbf{a}, ok)$ and $\operatorname{reach}_j(\mathcal{R}, \mathcal{G}, \delta', S * R, \mathsf{C}', \epsilon, w)$, from the definition of reach we have $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P * R, \mathsf{C}, \epsilon, w)$, as required.

In case (3), from $\operatorname{\mathsf{reach}}_j(\mathcal{R},\mathcal{G},\delta',S,\mathsf{C}',\epsilon,w_q)$ and I.H we know $\operatorname{\mathsf{reach}}_n(\mathcal{R},\mathcal{G},\delta',S*R,\mathsf{C}',\epsilon,w_q)$ and Lemma 7 we know $S \neq \emptyset$, thus from $\mathsf{C},P \rightsquigarrow^{\mathbf{a}}_{\mathsf{L}} \mathsf{C}',S,ok$ we know $\mathsf{C}\overset{\mathsf{id}}{\to}^* \overset{\mathbf{a}}{\to} \mathsf{C}'$.

Pick an arbitrary $w' \in S * R$, $l, m \in \lfloor \|w'\| \circ l \rfloor$. We then know there exists $w_s = (l_s, g') \in S$ and $w_r = (l_r, g') \in R$ such that $w' = (l_s \circ l_r, g')$ and $m \in \lfloor l_s \circ l_r \circ g' \circ l \rfloor = \lfloor (l_s \circ g') \circ l_r \circ l \rfloor = \lfloor \|w_s\| \circ l_r \circ l \rfloor$. As such, from the definition of $\mathsf{C}, P \xrightarrow{\mathbf{a}}_{\mathsf{L}} \mathsf{C}', S$, ok we know there exists $w_p \in P$, $m' \in \lfloor \|w_p\| \circ l_r \circ l \rfloor$ such that $(m', m) \in \llbracket \mathbf{a} \rrbracket ok$ and $(w_p)^{\mathsf{G}} = w_s^{\mathsf{G}} = g'$. Let $w_p = (l_p, g')$ and $w'' = w_p \bullet w_r = (l_p \circ l_r, g')$. We then have $\lfloor \|w_p\| \circ l_r \circ l \rfloor = \lfloor l_p \circ g' \circ l_r \circ l \rfloor = \lfloor l_p \circ l_r \circ g' \circ l \rfloor = \lfloor \|w''\| \circ l \rfloor$. Moreover, we have $(w'')^{\mathsf{G}} = w'^{\mathsf{G}} = g'$. On the other hand, as $w_p \in P$, $w_r \in R$ and $w'' = w_p \bullet w_r$, we know $w'' \in P * R$. Consequently, from the definition $\xrightarrow{\mathsf{a}}_{\mathsf{L}}$ we have $\mathsf{C}, P * R \xrightarrow{\mathsf{a}}_{\mathsf{L}} \mathsf{C}', S * R, ok$. As such, since we also have $\mathsf{reach}_j(\mathcal{R}, \mathcal{G}, \delta', S * R, \mathsf{C}', \epsilon, w)$ and $\delta = [\mathsf{L}] + \delta'$, from the definition of reach we have $\mathsf{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P * R, \mathsf{C}, \epsilon, w)$, as required.

▶ Lemma 22. For all $n, \mathcal{R}, \mathcal{R}', \mathcal{G}, \mathcal{G}', \delta, P, P', w_q, \mathsf{C}, \epsilon, \text{ if } \mathcal{R}' \preccurlyeq_{\lfloor \delta \rfloor} \mathcal{R}, \mathcal{G}' \preccurlyeq_{\lfloor \delta \rfloor} \mathcal{G}, P' \subseteq P \text{ and } \mathsf{reach}_n(\mathcal{R}', \mathcal{G}', \delta, P', \mathsf{C}, \epsilon, w_q), \text{ then } \mathsf{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w_q).$

Proof. By induction on n.

$\mathbf{Case}\ n{=}0$

Pick arbitrary $\mathcal{R}, \mathcal{R}', \mathcal{G}, \mathcal{G}', \delta, P, P', w_q, \mathsf{C}, \epsilon$ such that $\mathcal{R}' \preccurlyeq_{\lfloor \delta \rfloor} \mathcal{R}, \mathcal{G}' \preccurlyeq_{\lfloor \delta \rfloor} \mathcal{G}, P' \subseteq P$ and $\mathsf{reach}_0(\mathcal{R}', \mathcal{G}', \delta, P', \mathsf{C}, \epsilon, w_q)$. As we have $\mathsf{reach}_0(\mathcal{R}', \mathcal{G}', \delta, P', \mathsf{C}, \epsilon, w_q)$, we then know that $\delta = [], \mathsf{C} \xrightarrow{\mathsf{id}} \mathsf{skip}, \epsilon = ok$ and $w_q \in P'$, and thus (as $P' \subseteq P$) $w_q \in P$. Consequently, from the definition of reach we have $\mathsf{reach}_0(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{skip}, \epsilon, w_q)$, as required.

Case $n=1, \epsilon \in \text{EREXIT}$

Pick arbitrary $\mathcal{R}, \mathcal{R}', \mathcal{G}, \mathcal{G}', \delta, P, P', w_q, \mathsf{C}, \epsilon$ such that $\mathcal{R}' \preccurlyeq_{\lfloor \delta \rfloor} \mathcal{R}, \mathcal{G}' \preccurlyeq_{\lfloor \delta \rfloor} \mathcal{G}, P' \subseteq P$ and $\mathsf{reach}_1(\mathcal{R}', \mathcal{G}', \delta, P', \mathsf{C}, \epsilon, w_q)$. Let $w_q = (l, g)$. From $\mathsf{reach}_1(\mathcal{R}', \mathcal{G}', \delta, P', \mathsf{C}, \epsilon, w_q)$ we then know that there exist $\alpha, p, q, f, \mathbf{a}, \mathsf{C}'$ such that $\epsilon \in \mathsf{EREXIT}$ and either:

1) $\delta = [\alpha], \mathcal{R}'(\alpha) = (p, \epsilon, q)$ and $\operatorname{rely}(p, q, P', \{w_q\})$; or 2) $\delta = [\alpha], \mathcal{G}'(\alpha) = (p, \epsilon, q)$ and $\operatorname{guar}(p, q, P', \{w_q\}, \mathsf{C}, \mathsf{C}', \mathbf{a}, \epsilon)$.

In case (1) since $\alpha \in dom(\mathcal{R}')$ and $\alpha \in \delta$ (and thus $\alpha \in \lfloor \delta \rfloor$), from $\mathcal{R}' \preccurlyeq_{\lfloor \delta \rfloor} \mathcal{R}$ we also have $\mathcal{R}(\alpha) = (p, \epsilon, q)$. As $w_q = (l, g)$, from $\operatorname{rely}(p, q, P', \{w_q\})$ we know there exists $g_q \in q$ such that $g = g_q \circ -$. Similarly, from $\operatorname{rely}(p, q, P', \{w_q\})$ we know that for all $g_q \in q$, there exists g' such that $g = g_q \circ g'$ and $\emptyset \subset \{(l, g_p \circ g') \mid g_p \in p\} \subseteq P'$. As such, since $P' \subseteq P$, we also have $\emptyset \subset \{(l, g_p \circ g') \mid g_p \in p\} \subseteq P$. Consequently, from the definition of rely we have $\operatorname{rely}(p, q, P, \{w_q\})$. As such, since $\epsilon \in \operatorname{EREXIT}$, $\delta = [\alpha]$, $\mathcal{R}(\alpha) = (p, \epsilon, q)$ and $\operatorname{rely}(p, q, P, \{w_q\})$, from the definition of reach we have $\operatorname{reach}_1(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w_q)$, as required.

In case (2) since $\alpha \in dom(\mathcal{G}')$ and $\alpha \in \delta$ (and thus $\alpha \in \lfloor \delta \rfloor$), from $\mathcal{G}' \preccurlyeq_{\lfloor \delta \rfloor} \mathcal{G}$ we also have $\mathcal{G}(\alpha) = (p, \epsilon, q)$. Moreover, from $guar(p, q, P', \{w_q\}, \mathsf{C}, \mathsf{C}', \mathbf{a}, \epsilon)$ we know there exists $g_q \in q$, $g_p \in p$, $w_p \in P'$ and g such that $w_p^{\mathsf{G}} = g_p \circ g$, $w_q^{\mathsf{G}} = g_q \circ g$ and $\mathsf{C}, w_p \stackrel{\mathsf{a}}{\rightsquigarrow} \mathsf{C}', w_q, \epsilon$. Consequently, since $P' \subseteq P$ and $w_p \in P'$, we also have $w_p \in P$. As such, from the definition of guar we have $guar(p, q, P, \{w_q\}, \mathsf{C}, \mathsf{C}', \mathbf{a}, \epsilon)$. Therefore, since $\epsilon \in \operatorname{EREXIT}, \delta = [\alpha], \mathcal{G}(\alpha) = (p, \epsilon, q)$ and $guar(p, q, P, \{w_q\}, \mathsf{C}, \mathsf{C}', \mathbf{a}, \epsilon)$, from the definition of reach we have $\operatorname{reach}_1(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w_q)$, as required.

Case n=k+1

Pick arbitrary $\mathcal{R}, \mathcal{R}', \mathcal{G}, \mathcal{G}', \delta, P, P', w_q, \mathsf{C}, \epsilon$ such that $\mathcal{R}' \preccurlyeq_{\delta} \mathcal{R}, \mathcal{G}' \preccurlyeq_{\delta} \mathcal{G}, P' \subseteq P$ and $\mathsf{reach}_n(\mathcal{R}', \mathcal{G}', \delta, P', \mathsf{C}, \epsilon, w_q)$. Let $w_q = (l, g)$. From $\mathsf{reach}_n(\mathcal{R}', \mathcal{G}', \delta, P', \mathsf{C}, \epsilon, w_q)$ we then know that there exist $\alpha, \delta', p, r, \mathbf{a}, \mathcal{C}', \mathbf{a}, R$ such that either:

1) $\delta = [\alpha] + \delta', \mathcal{R}'(\alpha) = (p, ok, r), \operatorname{rely}(p, r, P', R) \text{ and } \operatorname{reach}_k(\mathcal{R}', \mathcal{G}', \delta', R, \mathsf{C}, \epsilon, w_q); \text{ or } (\beta + \delta', \beta + \delta'$

2) $\delta = [\alpha] + \delta', \mathcal{G}'(\alpha) = (p, ok, r), \operatorname{guar}(p, r, P', R, \mathsf{C}, \mathsf{C}', \mathbf{a}, ok) \text{ and } \operatorname{reach}_k(\mathcal{R}', \mathcal{G}', \delta', R, \mathsf{C}', \epsilon, w_q).$ 3) $\delta = [\mathsf{L}] + \delta', \operatorname{reach}_k(\mathcal{R}', \mathcal{G}', \delta', R, \mathsf{C}', \epsilon, w_q) \text{ and } \mathsf{C}, P' \stackrel{\mathbf{a}}{\leadsto}_{\mathsf{L}} \mathsf{C}', R, ok.$

In case (1) since $\alpha \in dom(\mathcal{R}')$ and $\alpha \in \delta$ (and thus $\alpha \in \lfloor \delta \rfloor$), from $\mathcal{R}' \preccurlyeq_{\lfloor \delta \rfloor} \mathcal{R}$ we also have $\mathcal{R}(\alpha) = (p, ok, r)$. Pick an arbitrary $w_r \in R$. From $\operatorname{rely}(p, q, P', R)$ we know there exists $g_r \in r$ such that $w_r^{\mathsf{G}} = g_r \circ -$. Similarly, from $\operatorname{rely}(p, q, P', R)$ we know that for all $g_r \in r$ and all $(l, g_r \circ g) \in R$ we have $\emptyset \subset \{(l, g_p \circ g') \mid g_p \in p\} \subseteq P'$. As such, since $P' \subseteq P$, we also have $\emptyset \subset \{(l, g_p \circ g') \mid g_p \in p\} \subseteq P$. Consequently, from the definition of rely we have $\operatorname{rely}(p, q, P, R)$. On the other hand, from $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}, \epsilon, w_q)$. Consequently, as $\delta = [\alpha] + \delta', \mathcal{R}(\alpha) = (p, ok, r)$, $\operatorname{rely}(p, r, P, R)$ and $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}, \epsilon, w_q)$, from the definition of reach we have $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w_q)$, as required.

In case (2) since $\alpha \in dom(\mathcal{G}')$ and $\alpha \in \delta$ (and thus $\alpha \in \lfloor \delta \rfloor$), from $\mathcal{G}' \preccurlyeq_{\lfloor \delta \rfloor} \mathcal{G}$ we also have $\mathcal{G}(\alpha) = (p, ok, r)$. Pick an arbitrary $w_r \in R$. From $guar(p, r, P', R, \mathsf{C}, \mathsf{C}', \mathbf{a}, ok)$ we know there exists $g_r \in r$, $g_p \in p$, $w_p \in P'$ and g such that $w_p^\mathsf{G} = g_p \circ g$, $w_r^\mathsf{G} = g_q \circ g$ and $\mathsf{C}, w_p \stackrel{a}{\leadsto} \mathsf{C}', w_r, ok$. Consequently, since $P' \subseteq P$ and $w_p \in P'$, we also have $w_p \in P$. As such, from the definition of guar we have $guar(p, q, P, R, \mathsf{C}, \mathsf{C}', \mathbf{a}, ok)$. On the other hand, from $\mathsf{reach}_k(\mathcal{R}', \mathcal{G}', \delta', R, \mathsf{C}', \epsilon, w_q)$ and the inductive hypothesis we have $\mathsf{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}, \epsilon, w_q)$. Therefore, as $\delta = [\alpha] + \delta', \ \mathcal{G}(\alpha) = (p, \epsilon, q), \ \mathsf{guar}(p, q, P, R, \mathsf{C}, \mathsf{C}', \mathbf{a}, ok)$ and $\mathsf{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}, \epsilon, w_q)$, from the definition of reach we have $\mathsf{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w_q)$, as required.

In case (3), from $\operatorname{reach}_k(\mathcal{R}', \mathcal{G}', \delta', R, \mathsf{C}', \epsilon, w_q)$ and the inductive hypothesis we have $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}', \epsilon, w_q)$. Pick an arbitrary $w_r \in R$; from $\mathsf{C}, P' \stackrel{\mathbf{a}}{\rightsquigarrow_{\mathsf{L}}} \mathsf{C}', R, ok$ we then know there exists $w_p \in P'$ such that $\mathsf{C}, w_p \stackrel{\mathbf{a}}{\rightsquigarrow_{\mathsf{L}}} \mathsf{C}', w_r, ok$. Since $w_p \in P'$ and $P' \subseteq P$, we also have $w_p \in P$. Therefore, from the definition of $\stackrel{\mathbf{a}}{\rightsquigarrow_{\mathsf{L}}}$ we have $\mathsf{C}, P \stackrel{\mathbf{a}}{\rightsquigarrow_{\mathsf{L}}} \mathsf{C}', R, ok$. As such, since $\mathsf{C}, P \stackrel{\mathbf{a}}{\rightsquigarrow_{\mathsf{L}}} \mathsf{C}', R, ok, \, \delta = [\mathsf{L}] + \delta'$ and $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', R, \mathsf{C}', \epsilon, w_q)$, from the definition of reach we have $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w_q)$, as required.

▶ **Theorem 23** (CASL soundness). For all $\mathcal{R}, \mathcal{G}, \delta, p, C, \epsilon, q$, if $\mathcal{R}, \mathcal{G}, \delta \vdash [p] C [\epsilon : q]$ is derivable using ENDSKIP, SKIPENV and the rules in Fig. 3, then $\mathcal{R}, \mathcal{G}, \delta \models [p] C [\epsilon : q]$ holds.

Proof. We proceed by induction on the structure of CASL triples.

Case ENDSKIP

Pick arbitrary $\mathcal{R}, \mathcal{G}, \Theta, P, \mathsf{C}, Q$ such that $\mathcal{R}, \mathcal{G}, \Theta \vdash [P] \mathsf{C} [\epsilon : Q]$. Pick arbitrary $\theta \in \Theta$. From $\mathcal{R}, \mathcal{G}, \Theta \vdash [P] \mathsf{C} [\epsilon : Q]$ and the inductive hypothesis we know there exists δ such that $\lfloor \delta \rfloor = \theta$ and $\forall w \in Q$. $\exists n$. reach_n($\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w$).

Pick an arbitrary $w \in Q$; as $\lfloor \delta \rfloor = \theta$, it then suffices to show that $\exists n$. reach_n($\mathcal{R}, \mathcal{G}, \delta, P$, C; skip, ϵ, w). From $\forall w \in Q$. $\exists n$. reach_n($\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w$) and $w \in Q$ we know there exists n such that reach_n($\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w$). Consequently, since skip $\stackrel{\text{id}}{\to} *$ skip, from reach_n($\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w$) and Lemma 12 we also have reach_n($\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}; \text{skip}, \epsilon, w$), as required.

$Case \ {\rm SkipEnv}$

Pick arbitrary $\mathcal{R}, \mathcal{G}, p, q, r, \alpha, \epsilon$ such that $\mathcal{R}(\alpha) = (p, \epsilon, q)$ and $wf(\mathcal{R}, \mathcal{G})$. It suffices to show that for all $w \in [q * f]$, we have $\mathsf{reach}_1(\mathcal{R}, \mathcal{G}, [\alpha], [p * f], \mathsf{skip}, \epsilon, w)$.

Pick an arbitrary $w \in \underline{q * f}$. We then know there exists $l_q \in q, l_f \in f, l \in \text{STATE}_0$ such that $w=(l, l_q \circ l_f)$. Pick an arbitrary $g_q \in q, g$ such that $w=(l, g_q \circ g)$. As $w \in \underline{q * f}$ and $g_q \in q$, we then know $g \in f$. As such, since $g_q \in q, g \in f$, we also have $A=\{(l, g_p \circ g) \mid g_p \in p\} \subseteq \underline{p * f}$. We also know $\emptyset \subset A$, as otherwise we would arrive at a contradiction as follows. As $w=(l, g_q \circ g)$ is a world, we know that $g_q \# l \circ g$; i.e. as $g_q \in q$, we have $q * \{l \circ g\} \neq \emptyset$. As such, from wf(\mathcal{R}, \mathcal{G}) and since $\mathcal{R}(\alpha)=(p, \epsilon, q)$ we know $p * \{l \circ g\} \neq \emptyset$. That is, there exists $l_p \in p$ such that $l_p \# l \circ g$, and thus $(l, l_p \circ g) \in A$, arriving at a contradiction since we assumed $A=\emptyset$.

As such, since $w = (l, l_q \circ l_f)$ with $l_q \in q$, and for arbitrary $g_q \in q, g$ such that $w = (l, g_q \circ g)$ we have $\emptyset \subset \{(l, g_p \circ g) \mid g_p \in p\} \subseteq p \in f$, from the definition of rely we have $\operatorname{rely}(p, q, p * f), \{w\}$.

There are now two cases to consider: i) $\epsilon \in \text{EREXIT}$; or ii) $\epsilon = ok$. In case (i), since $\mathcal{R}(\alpha) = (p, \epsilon, q)$ and $\operatorname{rely}(p, q, p * f], \{w\})$, from the definition of reach we have $\operatorname{reach}_1(\mathcal{R}, \mathcal{G}, [\alpha], p * f]$, skip, ϵ, w), as required. In case (ii), from Corollary 6 we have $\operatorname{reach}_0(\mathcal{R}, \mathcal{G}, [], \{w\}, \operatorname{skip}, ok, w)$. As such, since $\mathcal{R}(\alpha) = (p, \epsilon, q)$, $\operatorname{rely}(p, q, p * f], \{w\})$ and $\operatorname{reach}_0(\mathcal{R}, \mathcal{G}, [], \{w\}, \operatorname{skip}, ok, w)$, from the definition of reach we have $\operatorname{reach}_1(\mathcal{R}, \mathcal{G}, [\alpha] + [], p * f], \operatorname{skip}, ok, w)$, i.e. $\operatorname{reach}_1(\mathcal{R}, \mathcal{G}, [\alpha], p * f], \operatorname{skip}, ok, w)$, as required.

Case Skip

Pick arbitrary $\mathcal{R}, \mathcal{G}, P$ such that $\mathcal{R}, \mathcal{G}, \Theta_0 \vdash [P]$ skip [ok: P]. It then suffices to show that $\mathsf{reach}_0(\mathcal{R}, \mathcal{G}, [], P, \mathsf{skip}, ok, w)$ for an arbitrary $w \in P$, which follows immediately from Corollary 6.

$\mathbf{Case}~\mathbf{SeqEr}$

Pick arbitrary $\mathcal{R}, \mathcal{G}, \Theta, P, Q, \mathsf{C}_1, \mathsf{C}_2, \epsilon$ such that (1) $\epsilon \in \text{EREXIT}$ and (2) $\mathcal{R}, \mathcal{G}, \Theta \vdash [P] \mathsf{C}_1$ [*er*: *Q*]. Pick an arbitrary $\theta \in \Theta$. From (2) and the inductive hypothesis we then know there exists δ such that (3) $\lfloor \delta \rfloor = \theta$ and (4) $\forall w \in Q$. $\exists n$. $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1, \epsilon, w)$. Pick an arbitrary $w \in Q$; from (3) it then suffices to show there exists $n \in \mathbb{N}$ such that $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1, \epsilon, w)$. Pick $\mathcal{G}, \delta, P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w$). As $w \in Q$, from (4) we know there exists n such that (5) $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w)$, as required. Case EnvEr

Pick arbitrary $\mathcal{R}, \mathcal{G}, \alpha, p, q, f, \mathsf{C}, \epsilon$ such that (1) $\epsilon \in \text{EREXIT}$ and (2) $\mathcal{R}(\alpha) = (p, \epsilon, q)$. Pick an arbitrary (3) $w \in [q * f]$. It then suffices to show there exists n such that $\text{reach}_1(\mathcal{R}, \mathcal{G}, [\alpha], [p * f], \mathsf{C}, \epsilon, w)$.

From (3) we know there is $l_q \in q, l_f \in f, l_0 \in \text{STATE}_0$ such that $w = (l_0, l_q \circ l_f)$. That is, (4) $\exists l_q \in q. \ w^{\mathsf{G}} = l_q \circ -$. Pick an arbitrary $l_q \in q, g$ such that $w = (l_0, l_q \circ g)$. From (3) we know $g \in f$. Consequently, since $l_0 \in \text{STATE}_0$ and $g \in f$, by definition we have (5) $A = \{(l_0, l_p \circ g) \mid l_p \in p\} \subseteq [p * f]$. We also know that (6) $\emptyset \subset A$, as otherwise we arrive at a contradiction as follows. As $w = (l_0, l_q \circ g)$ is a world, we know that $l_q \# l_0 \circ g$; i.e. as $l_q \in q$, we have $q * \{l_0 \circ g\} \neq \emptyset$. As such, as all rely/guarantee relations in proof rule contexts are well-formed, i.e. wf(\mathcal{R}, \mathcal{G}) holds, and since $q * \{l_0 \circ g\} \neq \emptyset$, from wf(\mathcal{R}, \mathcal{G}) we know $p * \{l_0 \circ g\} \neq \emptyset$. That is, there exists $l_p \in p$ such that $l_p \# l_0 \circ g$, and thus $(l_0, l_p \circ g) \in A$, arriving at a contradiction since we assumed $A=\emptyset$. Consequently, from (4), (5), (6) and the definition of rely we have (7) rely $(p, q, [p * f], \{w\})$. As such, from (1), (2), (7) and the definition of reach we have reach $_1(\mathcal{R}, \mathcal{G}, [\alpha], [p * f], \mathsf{C}, \epsilon, w)$, as required.

Case PARER

Pick arbitrary $\mathcal{R}, \mathcal{G}, \Theta, P, Q, \mathsf{C}_1, \mathsf{C}_2, \epsilon$ such that (1) $\epsilon \in \text{EREXIT}$, (2) $\mathcal{R}, \mathcal{G}, \Theta \vdash [P] \mathsf{C}_i$ [er: Q] for some $i \in \{1, 2\}$. and (3) $\Theta \sqsubseteq dom(\mathcal{G})$. Pick an arbitrary $\theta \in \Theta$. From (2) and the inductive hypothesis we then know there exists $i \in \{1, 2\}$ and δ such that (4) $\lfloor \delta \rfloor = \theta$ and (5) $\forall w \in Q$. $\exists n. \operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_i, \epsilon, w)$. Pick an arbitrary $w \in Q$; from (4) it then suffices to show there exists $n \in \mathbb{N}$ such that $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1 \mid | \mathsf{C}_2, \epsilon, w)$. As $w \in Q$, from (5) we know there exists n such that (6) $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_i, \epsilon, w)$. Consequently, from (1), (3), (6), Lemma 9 and Lemma 10 we have $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1 \mid | \mathsf{C}_2, \epsilon, w)$, as required.

Case Seq

Pick arbitrary $\mathcal{R}, \mathcal{G}, \Theta_1, \Theta_2, P, Q, R, \mathsf{C}_1, \mathsf{C}_2, \epsilon$ such that (1) $\mathcal{R}, \mathcal{G}, \Theta_1 \vdash [P] \mathsf{C}_1[ok: R]$ and (2) $\mathcal{R}, \mathcal{G}, \Theta_2 \vdash [R] \mathsf{C}_2[\epsilon: Q]$. Pick an arbitrary $\theta \in \Theta_1 + + \Theta_2$. We then know there exists θ_1, θ_2 such that (3) $\theta_1 \in \Theta_1, \theta_2 \in \Theta_2$ and $\theta = \theta_1 + + \theta_2$. From (2), (3) and the inductive hypothesis we then know there exists δ_2 such that (4) $\lfloor \delta_2 \rfloor = \theta_2$ and (5) $\forall w \in Q$. $\exists n. \operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta_2, R, \mathsf{C}_2, \epsilon, w)$. Similarly, from (1), (3) and the inductive hypothesis we know there exists δ_1 such that (6) $\lfloor \delta_1 \rfloor = \theta_1$ and (7) $\forall w_r \in R. \exists i. \operatorname{reach}_i(\mathcal{R}, \mathcal{G}, \delta_1, P, \mathsf{C}_1, ok, w_r)$. Let (8) $\delta = \delta_1 + + \delta_2$. From (3), (4), (6) and (8) we then have $\lfloor \delta \rfloor = \lfloor \delta_1 + + \delta_2 \rfloor = \lfloor \delta_1 \rfloor + + \lfloor \delta_2 \rfloor = \theta_1 + + \theta_2 = \theta$ and thus (9) $\lfloor \delta \rfloor = \theta$. Pick an arbitrary $w \in Q$; from (9) it then suffices to show there exists $n \in \mathbb{N}$ such that $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta_2, P, \mathsf{C}_2, \epsilon, w)$. As $w \in Q$, from (5) we know there exists k such that (10) \operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta_2, P, \mathsf{C}_2, \epsilon, w). Consequently, from (7), (10) and Lemma 17 we know $\exists n. \operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta_1 + + \delta_2, P, \mathsf{C}_1; \mathsf{C}_2, \epsilon, w_q)$, as required.

Case Atom

Pick arbitrary $\mathcal{R}, \mathcal{G}, \alpha, p, q, p', q', f, \mathbf{a}, \epsilon, w$ such that (1) $(p'*p, \mathbf{a}, \epsilon, q'*q) \in \text{AXIOM},$ (2) $\mathcal{G}(\alpha) = (p, \epsilon, q)$ and (3) $w \in q'*[q*f]$. It then suffices to show $\text{reach}_1(\mathcal{R}, \mathcal{G}, [\alpha], p'*[p*f], \mathbf{a}, \epsilon, w)$.

From the control flow transitions we know $\mathbf{a} \stackrel{\mathbf{a}}{\to} \mathsf{skip}$ and thus (4) $\mathbf{a} \stackrel{\mathsf{id}}{\to} \overset{\mathbf{a}}{\to} \mathsf{skip}$. From (3) we know (5) there exists $l'_q \in q', l_q \in q, l_f \in f$ such that $w = (l'_q, l_q \circ l_f)$. Pick an arbitrary state l and $m \in \lfloor \|w\| \circ l \rfloor$. We then have $m \in \lfloor \|w\| \circ l \rfloor = \lfloor l'_q \circ l_q \circ l_f \circ l \rfloor$. As $l'_q \circ l_q \in q' * q$, we then have $m \in \lfloor q * q' * \{l_f \circ l\} \rfloor$. Consequently, from (1) and atomic soundness we know there exists $m' \in \lfloor p' * p * \{l_f \circ l\} \rfloor$ such that $(m', m) \in \llbracket \mathbf{a} \rrbracket \epsilon$. In other words, there exists

25:38 A General Approach to Under-approximate Reasoning about Concurrent Programs

 $l'_p \in p', l_p \in p$ such that $m' \in \lfloor l'_p \circ l_p \circ l_f \circ l \rfloor = \lfloor \lfloor w' \rfloor \circ l \rfloor$ with (6) $w' = (l'_p, l_p \circ l_f)$. That is, (7) $\forall l. \forall m \in \lfloor \parallel w \rfloor \circ l \rfloor$. $\exists m' \in \lfloor \parallel w' \rfloor \circ l \rfloor$. $(m', m) \in \llbracket \mathbf{a} \rrbracket \epsilon$. As such, from (4), (7) and the definition of $\overset{\mathbf{a}}{\longrightarrow}$ we have (8) $\mathsf{C}, w' \overset{\mathbf{a}}{\longrightarrow}$ skip, w, ϵ . Moreover, since $l'_p \in p', l_p \in p, l_f \in f$ by definition we have (9) $w' \in p' * [p * f]$. Consequently, from (5), (6), (8), (9) and the definition of guar we have (10) guar $(p * p', q * q', p' * [p * f], \{w\}, \mathbf{a}, \text{skip}, \mathbf{a}, \epsilon)$.

There are now two cases: i) $\epsilon \in \text{EREXIT}$; or ii) $\epsilon = ok$. In case (i), from (2), (10) and the definition of reach we have reach₁($\mathcal{R}, \mathcal{G}, [\alpha], p' * p * f$], \mathbf{a}, ϵ, w), as required. In case (ii), from Corollary 6 we have (11) reach₀($\mathcal{R}, \mathcal{G}, [], \{w\}, \text{skip}, \epsilon, w$). As such, since $\epsilon = ok$ (case assumption), from (2), (10), (11) and the definition of reach we have reach₁($\mathcal{R}, \mathcal{G}, [\alpha], p' * p * f$], \mathbf{a}, ϵ, w), as required.

Case AtomLocal

Pick arbitrary $\mathcal{R}, \mathcal{G}, p, q, \mathbf{a}, w = (l_q, g)$ such that (1) $(p, \mathbf{a}, ok, q) \in \text{AXIOM}$, (2) $l_q \in q$. Let $\delta = [L]$, we then have $\lfloor \delta \rfloor = []$, and thus it suffices to show $\text{reach}_1(\mathcal{R}, \mathcal{G}, \delta, p, \mathbf{a}, ok, w)$.

From the control flow transitions we know $\mathbf{a} \xrightarrow{\mathbf{a}} \mathsf{skip}$ and thus (3) $\mathbf{a} \xrightarrow{\mathsf{id}} * \xrightarrow{\mathbf{a}} \mathsf{skip}$. Pick an arbitrary state l and $m \in \lfloor \|w\| \circ l \rfloor$. We then have $m \in \lfloor \|w\| \circ l \rfloor = \lfloor l_q \circ g \circ l \rfloor$. As $l_q \in q$, we then have $m \in \lfloor q * \{g \circ l\} \rfloor$. Consequently, from (1) and atomic soundness we know there exists $m' \in \lfloor p * \{g \circ l\} \rfloor$ such that $(m', m) \in \llbracket \mathbf{a} \rrbracket ok$. That is, there exists $l_p \in p$ such that $m' \in \lfloor l_p \circ g \circ l \rfloor = \lfloor \|w'\| \circ l \rfloor$ with (4) $w' = (l_p, g)$. In other words, (5) $\forall l. \forall m \in \lfloor \|w\| \circ l \rfloor$. $\exists m' \in \lfloor \|w'\| \circ l \rfloor$. $(m', m) \in \llbracket \mathbf{a} \rrbracket ok$. As such, from (3), (5) and the definition of $\xrightarrow{\mathbf{a}}$ we have (6) $\mathsf{C}, w' \xrightarrow{\mathbf{a}} \mathsf{skip}, w, ok$. Furthermore, from the definitions of w, w' we have (7) $w^{\mathsf{G}} = w'^{\mathsf{G}} = g$. Consequently, from (6), (7) and the definition of $\xrightarrow{\mathbf{a}}_{\perp}$ we have (8) $\mathsf{C}, w' \xrightarrow{\mathbf{a}}_{\perp} \mathsf{skip}, w, ok$. Moreover, since $l_p \in p$ by definition we have (9) $w' \in p$. As such, from (8) and the definition of $\xrightarrow{\mathbf{a}}_{\perp}$ we also have (10) $\mathsf{C}, p \xrightarrow{\mathbf{a}}_{\vdash} \mathsf{skip}, \{w\}, ok$. From Corollary 6 we have (11) $\mathsf{reach}_0(\mathcal{R}, \mathcal{G}, [], \{w\}, \mathsf{skip}, ok, w)$. As such, since $\delta = [\mathsf{L}]$, from (10), (11) and the definition of reach we have $\mathsf{reach}_1(\mathcal{R}, \mathcal{G}, \delta, p, \mathbf{a}, ok, w)$, as required.

$\mathbf{Case} \ \mathbf{EnvL}$

Pick arbitrary $\mathcal{R}, \mathcal{G}, \alpha, \Theta, p, p', f, r, Q, \mathsf{C}, \epsilon$ such that (1) $\mathcal{R}(\alpha) = (p, ok, r)$ and (2) $\mathcal{R}, \mathcal{G}, \Theta \vdash p' * r * f$ $\mathsf{C} [\epsilon: Q]$. Pick arbitrary (3) $\theta \in \alpha :: \Theta$. We then know there exists θ' such that (4) $\theta' \in \Theta$ and $\theta = \alpha :: \theta'$. From (2), (4) and the inductive hypothesis we then know there exists δ' such that (5) $\lfloor \delta' \rfloor = \theta'$ and (6) $\forall w \in Q$. $\exists n. \operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta', p' * r * f], \mathsf{C}, \epsilon, w)$. Let $\delta = \alpha :: \delta'$. We then have $\lfloor \delta \rfloor = \alpha :: \lfloor \delta' \rfloor = \alpha :: \theta' = \theta$ and thus (7) $\lfloor \delta \rfloor = \theta$. Pick an arbitrary $w \in Q$, it then suffices to show there exists n such that $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, p' * p * f], \mathsf{C}, \epsilon, w)$.

As $w \in Q$, from (6) and the inductive hypothesis we know there exists k such that (8) $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \delta', p' * [r * f], \mathsf{C}, \epsilon, w)$. Pick an arbitrary $w_r \in p' * [r * f]$. We then know (9) there exists $l'_p \in p', l_r \in r, l_f \in f$ such that $w = (l'_p, l_r \circ l_f)$.

Pick arbitrary $l_r \in r, (l, l_r \circ g) \in p' * [\underline{r * f}]$. We then know $l \in p'$ and since $l_r \in r$, we also have $g \in f$. Consequently, since $l \in p'$ and $g \in f$, by definition we have $(10) A = \{(l, l_p \circ g) | l_p \in p\} \subseteq p' * [\underline{p * f}]$. We also know $(11) \emptyset \subset A$, as otherwise we arrive at a contradiction as follows. As $(l, l_r \circ g) \in p' * [\underline{r * f}]$ is a world, we know $l_r \# l \circ g$; i.e. as $l_r \in r$, we have $r * \{l \circ g\} \neq \emptyset$. As such, as all rely/guarantee relations in proof rule contexts are well-formed, i.e. wf $(\mathcal{R}, \mathcal{G})$ holds, and since $r * \{l \circ g\} \neq \emptyset$, from wf $(\mathcal{R}, \mathcal{G})$ and (1) we know $p * \{l \circ g\} \neq \emptyset$. That is, there exists $l_p \in p$ such that $l_p \# l \circ g$, and thus $(l, l_p \circ g) \in A$, arriving at a contradiction since we assumed $A = \emptyset$. Consequently, from (9), (10), (11) and the definition of rely we have $(12) \operatorname{rely}(p, q, p' * [\underline{p * f}], p' * [\underline{r * f}])$. As such, since $\delta = \alpha :: \delta'$, from (1), (8), (12) and the definition of reach we have reach_{k+1}(\mathcal{R}, \mathcal{G}, \delta, $p' * [\underline{p * f}], C, \epsilon, w)$, as required.

Case ENVR

The ENVR rule can be derived as follows and is thus sound.

$$\frac{\mathcal{R}(\alpha) = (r, \epsilon, q) \quad \text{wf}(\mathcal{R}, \mathcal{G})}{\mathcal{R}, \mathcal{G}, [\alpha] \vdash [\underline{r * f}] \text{ skip } [\epsilon : \underline{q * f}]} \text{ SkipEnv} \text{ stable}(r', \mathcal{R} \cup \mathcal{G})}{\mathcal{R}, \mathcal{G}, [\alpha] \vdash [\underline{r * f}] \text{ skip } [\epsilon : \underline{r * f}]} \text{ skip } [\epsilon : r' * \underline{q * f}]}{\mathcal{R}, \mathcal{G}, \Theta + [\alpha] \vdash [P] \text{ C}; \text{skip } [\epsilon : r' * \underline{q * f}]} \text{ ENDSKIP}} \text{ SEQ}} \text{ FRAME}$$

Case LOOP1

Pick arbitrary $\mathcal{R}, \mathcal{G}, P, \mathsf{C}$ and $w_p \in P$. It then suffices to show $\mathsf{reach}_0(\mathcal{R}, \mathcal{G}, [], P, \mathsf{C}^*, \epsilon, w_p)$. This follows immediately from the definition of reach_0 and since $\mathsf{C}^* \xrightarrow{\mathsf{id}} \mathsf{skip}$ and $w_p \in P$.

Case LOOP2

Pick arbitrary $\mathcal{R}, \mathcal{G}, \Theta, P, Q, \mathsf{C}, \epsilon$ such that (1) $\mathcal{R}, \mathcal{G}, \Theta \vdash [P] \mathsf{C}^*; \mathsf{C} [\epsilon : Q]$. Pick an arbitrary $\theta \in \Theta$. From (1) and the inductive hypothesis we know there exists δ such that (2) $\lfloor \delta \rfloor = \theta$ and (3) $\forall w \in Q$. $\exists n. \operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}^*; \mathsf{C}, \epsilon, w)$. Pick an arbitrary $w_q \in Q$; from (2) it then suffices to show there exists n such that $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}^*; \mathsf{C}, \epsilon, w_q)$.

As $w_q \in Q$, from (3) we know there exists n such that (4) $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}^*; \mathsf{C}, \epsilon, w_q)$. On the other hand, from the control flow transitions (Fig. 6) we have $\mathsf{C}^* \xrightarrow{\operatorname{id}} \mathsf{C}^*; \mathsf{C}$ and thus (5) $\mathsf{C}^* \xrightarrow{\operatorname{id}} \mathsf{C}^*; \mathsf{C}$. As such, from (4), (5) and Lemma 11 we also have $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}^*, \epsilon, w_q)$, as required.

${\bf Case} \,\, {\rm BackwardsVariant} \,\,$

Pick arbitrary $\mathcal{R}, \mathcal{G}, \Theta, S, \mathsf{C}$ such that (1) for all $k: \mathcal{R}, \mathcal{G}, \Theta \vdash [S(k)] \mathsf{C} [ok: S(k+1)]$. Pick an arbitrary n. We then proceed by induction on n.

Base case (n=0)

From the proof of LOOP1 we then simply have $\mathcal{R}, \mathcal{G}, \{[]\} \vdash [S(0)] \subset [ok: S(0)]$, as required.

Inductive case (n=i+1)

From (1) we then have $\mathcal{R}, \mathcal{G}, \Theta \vdash [S(i)] \mathsf{C}[ok: S(n)]$. Moreover, from the inductive hypothesis we have $\mathcal{R}, \mathcal{G}, \Theta^i \vdash [S(0)] \mathsf{C}^*[ok: S(i)]$. Consequently, from the proof of SEQ above we have $\mathcal{R}, \mathcal{G}, \Theta^n \vdash [S(0)] \mathsf{C}^*; \mathsf{C}[ok: S(n)]$, and thus from the proof of LOOP2 above we have $\mathcal{R}, \mathcal{G}, \Theta^n \vdash [S(0)] \mathsf{C}^*[ok: S(n)]$, as required.

Case Choice

Pick arbitrary $\mathcal{R}, \mathcal{G}, \Theta, P, Q, \mathsf{C}_1, \mathsf{C}_2, \epsilon$ such that (1) $\mathcal{R}, \mathcal{G}, \Theta \vdash [P] \mathsf{C}_i [\epsilon : Q]$ for some $i \in \{1, 2\}$. Pick an arbitrary $\theta \in \Theta$. From (1) and the inductive hypothesis we know there exists δ such that (2) $\lfloor \delta \rfloor = \theta$ and (3) $\forall w \in Q$. $\exists n. \operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_i, \epsilon, w)$. Pick an arbitrary $w_q \in Q$; from (2) it then suffices to show there exists n such that $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_1 + \mathsf{C}_2, \epsilon, w_q)$. As $w_q \in Q$, from (3) we know there exists n such that $(4) \operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_i, \epsilon, w_q)$. On the other hand, from the control flow transitions (Fig. 6) we have $\mathsf{C}_1 + \mathsf{C}_2 \stackrel{\text{id}}{\to} \mathsf{C}_i$ and thus (5) $\mathsf{C}_1 + \mathsf{C}_2 \stackrel{\text{id}}{\to} \mathsf{C}_i$. As such, from (4), (5) and Lemma 11 we also have $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}_i, \epsilon, w_q)$, $\mathsf{C}_1 + \mathsf{C}_2, \epsilon, w_q$), as required.

25:40 A General Approach to Under-approximate Reasoning about Concurrent Programs

$\mathbf{Case}\ \mathbf{Cons}$

Pick arbitrary $\mathcal{R}, \mathcal{R}', \mathcal{G}, \mathcal{G}', \Theta, \Theta', P, P', Q, Q', \mathsf{C}, \epsilon$ such that (1) $P' \subseteq P$; (2) $\mathcal{R}', \mathcal{G}', \Theta' \vdash [P']$ $\mathsf{C} [\epsilon:Q']$; (3) $Q \subseteq Q'$; (4) $\mathcal{R}' \preccurlyeq_{\Theta} \mathcal{R}$; (5) $\mathcal{G}' \preccurlyeq_{\Theta} \mathcal{G}$; and (6) $\Theta \subseteq \Theta'$. Pick an arbitrary $\theta \in \Theta$. As $\theta \in \Theta$, from (6) we also have $\theta \in \Theta'$. As such, from (2) and the inductive hypothesis we know there exists δ such that (7) $\lfloor \delta \rfloor = \theta$ and (8) $\forall w \in Q'$. $\exists n. \operatorname{reach}_n(\mathcal{R}', \mathcal{G}', \delta, P', \mathsf{C}, \epsilon, w)$. Pick an arbitrary $w_q \in Q$; from (7) it then suffices to show there exists n such that $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w_q)$.

As $w_q \in Q$, from (3) we also have $w_q \in Q'$. Consequently, from (8) we know there exists n such that (9) $\operatorname{reach}_n(\mathcal{R}', \mathcal{G}', \delta, P', \mathsf{C}, \epsilon, w_q)$. On the other hand, since $\theta \in \Theta$, from (4), (5) and (7) we also have (10) $\mathcal{R}' \preccurlyeq_{\lfloor \delta \rfloor} \mathcal{R}$ and $\mathcal{G}' \preccurlyeq_{\lfloor \delta \rfloor} \mathcal{G}$. Consequently, from (1), (9), (10) and Lemma 22 we have $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w_q)$, as required.

Case Comb

Pick arbitrary $\mathcal{R}, \mathcal{G}, \Theta_1, \Theta_2, P, Q, \mathsf{C}, \epsilon$ such that (1) $\mathcal{R}, \mathcal{G}, \Theta_1 \vdash [P] \mathsf{C} [\epsilon : Q]$; and (2) $\mathcal{R}, \mathcal{G}, \Theta_2 \vdash [P] \mathsf{C} [\epsilon : Q]$. Pick an arbitrary $\theta \in \Theta_1 \cup \Theta_2$. There are now two cases to consider: i) $\theta \in \Theta_1$; or ii) $\theta \in \Theta_2$. In case (i) from (1) and the inductive hypothesis we know there exists δ such that (3) $\lfloor \delta \rfloor = \theta$ and (4) $\forall w \in Q$. $\exists n. \operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w)$. Pick an arbitrary $w_q \in Q$; from (3) it then suffices to show there exists n such that $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w_q)$. As $w_q \in Q$, from (4) we know there exists n such that $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w_q)$, as required. Similarly, in case (ii) from (2) and the inductive hypothesis we know there exists δ such that

(5) $\lfloor \delta \rfloor = \theta$ and (6) $\forall w \in Q$. $\exists n$. reach_n($\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w$). Pick an arbitrary $w_q \in Q$; from (5) it then suffices to show there exists n such that reach_n($\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w_q$). As $w_q \in Q$, from (6) we know there exists n such that reach_n($\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w_q$). As $w_q \in Q$,

$Case \ {\sf Par}$

Pick arbitrary $\mathcal{R}_1, \mathcal{R}_2, \mathcal{G}_1, \mathcal{G}_2, \Theta_1, \Theta_2, P_1, P_2, Q_1, Q_2, \mathsf{C}_1, \mathsf{C}_2, \epsilon$ such that (1) $\mathcal{R}_1, \mathcal{G}_1, \Theta_1 \vdash [P_1]$ $\mathsf{C}_1 \ [\epsilon:Q_1];$ (2) $\mathcal{R}_2, \mathcal{G}_2, \Theta_2 \vdash [P_2] \ \mathsf{C}_2 \ [\epsilon:Q]_2;$ (3) $\mathcal{R}_1 \subseteq \mathcal{G}_2 \cup \mathcal{R}_2;$ (4) $\mathcal{R}_2 \subseteq \mathcal{G}_1 \cup \mathcal{R}_1;$ and (5) dsj($\mathcal{G}_1, \mathcal{G}_2$). Pick an arbitrary $\theta \in \Theta_1 \cap \Theta_2$. As $\theta \in \Theta_1 \cap \Theta_2$, we also have $\theta \in \Theta_1$. Consequently, from (1) and the inductive hypothesis we know there exists δ_1 such that (6) $\lfloor \delta_1 \rfloor = \theta$ and (7) $\forall w \in Q_1$. $\exists i. \operatorname{reach}_i(\mathcal{R}, \mathcal{G}, \delta_1, P_1, \mathsf{C}, \epsilon, w)$. Similarly, as $\theta \in \Theta_1 \cap \Theta_2$, we also have $\theta \in \Theta_2$. Consequently, from (2) and the inductive hypothesis we know there exists δ_2 such that (8) $\lfloor \delta_2 \rfloor = \theta$ and (9) $\forall w \in Q_2$. $\exists j. \operatorname{reach}_j(\mathcal{R}, \mathcal{G}, \delta_2, P_2, \mathsf{C}, \epsilon, w)$. From (6), (8) and Prop. 19 we then know $\lfloor \delta_1 \parallel \delta_2 \rfloor = \lfloor \delta_1 \rfloor = \lfloor \delta_2 \rfloor = \theta$ and thus (10) $\lfloor \delta_1 \parallel \delta_2 \rfloor = \theta$. Pick an arbitrary $w_q \in Q_1 * Q_2$. From (10) it then suffices to show there exists n such that $\operatorname{reach}_n(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}, \delta_1 \parallel \delta_2, P_1 * P_2, \mathsf{C}_1 \parallel \mathsf{C}_2, \epsilon, w_1 \bullet w_2)$.

As $w_q \in Q_1 * Q_2$, we know there exists $w_1 \in Q_1, w_2 \in Q_2$ such that $w_q = w_1 \bullet w_2$. It then suffices to show there exists $n \in \mathbb{N}$ such that $\operatorname{reach}_n(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \delta, P_1 * P_2, \mathsf{C}_1 || \mathsf{C}_2, \epsilon, w_1 \bullet w_2)$. As $w_1 \in Q_1$, from (7) we know there exists *i* such that (11) $\operatorname{reach}_i(\mathcal{R}_1, \mathcal{G}_1, \delta_1, P_1, \mathsf{C}_1, \epsilon, w_1)$. Similarly, as $w_2 \in Q_2$, from (9) we know there exists *j* such that (12) $\operatorname{reach}_j(\mathcal{R}_2, \mathcal{G}_2, \delta_2, P_2, \mathsf{C}_2, \epsilon, w_2)$. Consequently, from (3)–(5), (6), (8), (11), (12), the well-formedness of all rely/guarantee contexts and Lemma 20 we know there exists *n* such that $\operatorname{reach}_n(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \delta_1 \parallel \delta_2, P_1 * P_2, \mathsf{C}_1 \parallel \mathsf{C}_2, \epsilon, w_1 \bullet w_2)$, as required.

Case FRAME

Pick arbitrary $\mathcal{R}, \mathcal{G}, \Theta, P, Q, R, \mathsf{C}, \epsilon$ such that (1) $\mathcal{R}, \mathcal{G}, \Theta \vdash [P] \mathsf{C}[\epsilon : Q]$ and (2) stable $(\mathcal{R}, \mathcal{R} \cup \mathcal{G})$. Pick an arbitrary $\theta \in \Theta$. From (1) and the inductive hypothesis we know there exists δ such that (3) $\lfloor \delta \rfloor = \theta$ and (4) $\forall w \in Q$. $\exists n$. reach_n $(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w)$. Pick an arbitrary $w \in Q * R$; from (3) it then suffices to show there exists n such that reach_n $(\mathcal{R}, \mathcal{G}, \delta, P * R, \mathsf{C}, \epsilon, w)$.

As $w \in Q * R$, we know there exists $w_q \in Q$, $w_r \in R$ such that $w = w_q \bullet w_r$. Consequently, as $w_q \in Q$ from (4) we know there exists n such that (5) $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P, \mathsf{C}, \epsilon, w_q)$. Moreover, as $w = w_q \bullet w_r$ and $w_r \in R$, we also have (6) $w \in \{w_q\} * R$. Consequently, from the well-formedness of the rely/guarantee contexts, (2), (5), (6) and Lemma 21 we know $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, P * R, \mathsf{C}, \epsilon, w)$, as required.

25:42 A General Approach to Under-approximate Reasoning about Concurrent Programs

Figure 7 The CASL_{HID} axioms (excerpt), where V and its variants (e.g. V_y) range over triples of values, thread identifiers and secret attribute (0 for non-secret and 1 for secret)

C CASL_{HID}: Detecting Information Disclosure Attacks on the Heap

We present $CASL_{HID}$, an instance of CASL for detecting *heap-based information disclosure* exploits. As in $CASL_{ID}$, we assume disjoint thread memory spaces, whereby the adversary and the vulnerable programs communicate by transmitting data over a shared channel. The $CASL_{HID}$ atomics, $ATOM_{HID}$, are defined below; as before, when variable x stores heap location l, then [x] denotes dereferencing l. $ATOM_{HID}$ include primitives for memory allocation, $t \ x := alloc()$, allocating n memory units in the heap when n is the size of the record type t; heap lookup, y := [x.f], reading from the heap location given by x.f; heap array lookup, y := [x.f[z]]; heap update, [x.f] := y, writing to the heap location given by x.f; heap array update, [x.f[z]] := y; secret generation, [x.f] := *, generating a random (*) value and writing it to the heap location given by x.f; sending over channel c (send(c, v) and send(c, x)); and receiving over channel c (recv(c, x)).

$$\begin{split} \operatorname{ATOM}_{\mathsf{HID}} \ni \mathbf{a} &::= \operatorname{L}: t \; x :=_{\tau} \operatorname{alloc}() \mid \operatorname{L}: y :=_{\tau} [x.f] \mid \operatorname{L}: y :=_{\tau} [x.f[z]] \mid \operatorname{L}: [x.f] :=_{\tau} y \\ \mid \operatorname{L}: [x.f[z]] :=_{\tau} y \mid \operatorname{L}: [x.f] :=_{\tau} * \\ \mid \operatorname{L}: \operatorname{send}(c, v)_{\tau} \mid \operatorname{L}: \operatorname{send}(c, x)_{\tau} \mid \operatorname{L}: \operatorname{recv}(c, x)_{\tau} \end{split}$$

 $CASL_{HID}$ States and Axioms. The $CASL_{HID}$ states are those of $CASL_{SO}$ (in §4). We

present the CASL_{HID} axioms in Fig. 7. The HID-ALLOC, HID-READ, HID-WRITE, HID-WRITEARRAY, HID-SENDVAL and HID-SEND rules are analogous to their counterparts in CASL_{HO}. The HID-WRITESECRET generates a secret value v (with secret attribute 1) and stores it at the heap location given by x.f (i.e. l+i when x stores value l and x.f=x+i). The HID-RECV and HID-RECVER rules are analogous to ID-RECV and ID-RECVER. Specifically, HID-RECV describes when receiving data does not constitute information disclosure, i.e. when the value received is not secret (l=0) or the recipient is trusted ($\tau \in \mathsf{Trust}$). By contrast, HID-RECVER describes when receiving data leads to information disclosure, i.e. when the value received is secret and the recipient is untrusted ($\tau \notin \mathsf{Trust}$), in which case the underlying state is unchanged.

▶ Example 24. Consider the example in Fig. 8a, where the type session (defined at the top of ??) contains an array buf of size 2 and an integer sec to store a secret value. The τ_v (the right thread) allocates 3 (the size of session) contiguous heap locations starting at some address l (where x.buf=x and x.sec=x+2) and returns l in x. It then generates a secret value and stores it at [x.sec], namely at l+2 and proceeds to receive a value from τ_a , stores it in i and uses it to index x.buf. As such, since x.buf=x, x.sec=x+2 and x stores l, when τ_a sends i=2, then τ_v retrieves [x.buf[i]], i.e. the secret value stored at heap location l+2! That is, τ_a exploits τ_v to leak a secret value. We present proof sketches of τ_a and τ_v in Fig. 8b and Fig. 8c, respectively. As before, the // annotations at each proof step describe the CASL proof rules applied.

 $\mathcal{R}(\alpha_2') \triangleq (c \mapsto [(v, \tau_{\mathsf{v}}, 1)], ok, c \mapsto []) \qquad \mathcal{R}_a \triangleq \mathcal{G}_v \qquad \mathcal{G}_a \triangleq \mathcal{R}$ $\mathcal{R}(\alpha_1') \triangleq (c \mapsto [], ok, c \mapsto [(2, \tau_a, 0)])$ $\mathcal{G}(\alpha_1) \triangleq (c \mapsto [(2, \tau_{\mathsf{a}}, 0)], ok, c \mapsto [])$ $\mathcal{G}(\alpha_2) \triangleq (c \mapsto [], ok, c \mapsto (v, \tau_{\mathsf{v}}, 1)) \qquad \Theta \triangleq \{ [\alpha'_1, \alpha_1, \alpha_2, \alpha'_2] \}$ $struct\ session = \{buf: int[2], sec: int\}$ $\mathcal{R}_a, \mathcal{G}_a, \Theta_0 \vdash P_a \triangleq c \mapsto [] * \tau_a \notin \mathsf{Trust}$ $\emptyset, \mathcal{G}_a \cup \mathcal{G}_v, \Theta_0 \vdash [P_a * P_v] / PAR$ $\operatorname{send}(c, 2)_{\tau_a} / / \operatorname{ATOM} + \operatorname{HID-SEND} VAL$ $\mathcal{R}_v, \mathcal{G}_v, \Theta_0 \vdash [er: P_v]$ struct session $x :=_{\tau_{\mathsf{v}}} \operatorname{alloc}(\mathfrak{R}_{a}, \mathcal{G}_{a}, \{[\alpha'_{1}]\} \vdash [ok: c \mapsto [(2, \tau_{\mathsf{a}}, 0)]] * \tau_{\mathsf{a}} \notin \operatorname{Trust}$ $\mathcal{R}_a, \mathcal{G}_a, \Theta_0 \vdash [\underline{P_a}]$ $[x.sec] :=_{\tau_v} *;$ $// ENVL \times 2$ $\operatorname{send}(c,2)_{\tau_{\mathsf{a}}};$ $\mathsf{recv}(c,i)_{\tau_{\mathsf{v}}};$
$$\begin{split} \mathcal{R}_{a}, &\mathcal{G}_{a}, \{[\alpha'_{1}, \alpha_{1}, \alpha_{2}]\} \vdash \left[ok: \overbrace{c \mapsto [(0, \tau_{\mathsf{v}}, 1)]} * \tau_{\mathsf{a}} \not\in \mathsf{Trust}\right] \\ &\mathsf{recv}(c, y)_{\tau_{\mathsf{a}}} \ // \operatorname{ATOM} + \operatorname{HID-RECVER} \\ &\mathcal{R}_{a}, \mathcal{G}_{a}, \Theta \vdash \left[er: Q_{a} \triangleq \overbrace{c \mapsto [(0, \tau_{\mathsf{v}}, 1)]} * \tau_{\mathsf{a}} \notin \mathsf{Trust}\right] \end{split}$$
 $\begin{array}{c} \operatorname{recv}(c,y)_{\tau_{a}};\\ \mathcal{R}_{a},\mathcal{G}_{a},\Theta \vdash [er:Q_{a}] \\ \\ \emptyset,\mathcal{G}_{a} \cup \mathcal{G}_{v},\Theta \vdash [er:Q_{v}] \\ \end{array} \right| \begin{array}{c} \operatorname{recv}(c,t)_{\tau_{v}};\\ z:=_{\tau_{v}}[x.buf[i]];\\ \operatorname{send}(c,z)_{\tau_{v}};\\ \mathcal{R}_{v},\mathcal{G}_{v},\Theta \vdash [er:Q_{v}] \\ \\ \emptyset,\mathcal{G}_{a} \cup \mathcal{G}_{v},\Theta \vdash [er:Q_{a} * Q_{v}] \end{array}$ $\operatorname{recv}(c, y)_{\tau_a};$ (a) (b) $\overline{\mathcal{R}_v, \mathcal{G}_v},$ $\Theta_0 \vdash \left[P_v \triangleq x \mapsto -*i \mapsto -*z \mapsto -*\overline{c \mapsto []} \right]$ struct session $x :=_{\tau_v} \operatorname{alloc}() //\operatorname{HID-ALLOC} + \operatorname{ATOMLOCAL}$ $\Theta_0 \vdash \left[ok: i \mapsto -*z \mapsto -*\left[c \mapsto [] \right] * \exists l. x \mapsto l * \bigstar_{j=0}^2 l+j \mapsto (0, \tau_{\mathsf{v}}, 0) * x. buf = x * x. sec = x+2 \right]$ $[x.sec] :=_{\tau_v} *; // ATOMLOCAL+HID-READ$ $\Theta_{0} \vdash \left[ok: i \mapsto -*z \mapsto -* \boxed{c \mapsto []} * \exists l. \ x \mapsto l * \bigstar_{j=0}^{1} l+j \mapsto (0, \tau_{\mathsf{v}}, 0) * l+2 \mapsto (v, \tau_{\mathsf{v}}, 1) * x.buf = x * x.sec = x+2 \right]$ //ENVL $\{ [\alpha'_{1}] \} \vdash \begin{bmatrix} ok: i \models -*z \models -* \underbrace{c \mapsto [(2, \tau_{a}, 0)]}_{*l+2 \mapsto (v, \tau_{v}, 1) * x.buf = x * x.sec = x+2} \\ * l+2 \mapsto (v, \tau_{v}, 1) * x.buf = x * x.sec = x+2 \end{bmatrix}$ $\mathsf{recv}(c, i)_{\tau_{v}}; \quad // \operatorname{ATOM} + \operatorname{HID-Recv}_{} \{ [\alpha'_{1}, \alpha_{1}] \} \vdash \begin{bmatrix} ok: i \models (2, \tau_{a}, 0) * z \models -* \underbrace{c \mapsto []}_{*l+2 \mapsto (v, \tau_{v}, 1) * x.buf = x * x.sec = x+2} \\ * l+2 \mapsto (v, \tau_{v}, 1) * x.buf = x * x.sec = x+2 \end{bmatrix}$ z := [x.buf[i]]; // ATOMLOCAL + HID-READARRAY $\{[\alpha'_1, \alpha_1]\} \vdash \begin{bmatrix} ok: i \Rightarrow (2, \tau_a, 0) * z \Rightarrow (v, \tau_v, 1) * \boxed{c \mapsto []} * \exists l. x \Rightarrow l * \bigstar_{j=0}^1 l+j \mapsto (0, \tau_v, 0) \\ * l+2 \mapsto (v, \tau_v, 1) * x.buf = x * x.sec = x+2 \end{bmatrix}$ send $(c, z)_{\tau_{v}}$; // (ATOM + HID-SEND) $\{ [\alpha'_1, \alpha_1, \alpha_2] \} \vdash \left[ok: \stackrel{i \mapsto (2, \tau_{\mathsf{a}}, 0) * z \mapsto (v, \tau_{\mathsf{v}}, 1) * \underbrace{c \mapsto [(v, \tau_{\mathsf{v}}, 1)]}_{* l+2 \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2} \right] \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec = x+2 \\ = \langle l \mid z \mapsto (v, \tau_{\mathsf{v}}, 1) * x. buf = x * x. sec$ // EnvEr $\Theta \vdash \begin{bmatrix} er: Q_v \triangleq i \mapsto (2, \tau_{\mathsf{a}}, 0) * z \mapsto (v, \tau_{\mathsf{v}}, 1) * \boxed{c \mapsto [(v, \tau_{\mathsf{v}}, 1)]} * \exists l. \ x \mapsto l * \bigstar_{j=0}^1 l+j \mapsto (0, \tau_{\mathsf{v}}, 0) \\ * l+2 \mapsto (v, \tau_{\mathsf{v}}, 1) * x.buf = x * x.sec = x+2 \end{bmatrix}$ (c)

Figure 8 CASL_{HID} proof outlines of Example 24 (a), its adversary program (b) and vulnerable program (c)

$$\mathsf{send}(c, maxInt); \left| \begin{array}{c} \mathsf{recv}(c, s); \\ \mathsf{if} \ (s \le maxInt) \\ y := s+1; \\ x := \mathsf{alloc}(y); \\ \mathsf{L}: [x+s] := 0; \end{array} \right|$$

Figure 9 A memory safety vulnerability on the heap at L (zero allocation)

D CASL for Exploit Detection: Memory Safety Attacks

Memory Safety Attacks. Consider the example in Fig. 9 illustrating an instance of the zero allocation vulnerability [21]. Specifically, τ_v receives a size value in s and allocates s+1 units on the heap. As such, when τ_a sends maxInt and τ_v receives s=maxInt, then s+1 triggers an integer overflow and wraps to 0, i.e. results in storing 0 in y and calling alloc(0), namely a zero allocation. As per the common behaviour of alloc, calling alloc(0) leads to allocating a pre-defined minimum number, $0 < \min \ll maxInt$, of units (i.e. the minimum chunk size, typically 8 or 16 bytes) on the heap. Thus, the subsequent heap access [x+s] := 0 (dereferencing the heap location at x+s and writing 0 to it) is out of bounds and accesses adjacent memory, thus causing a memory safety error (e.g. a segmentation fault, or a more subtle corruption). Such undefined behaviours are what exploits leverage to induce the target program generate incorrect results without always crashing.

We present CASL_{MS} for detecting memory safety bugs and exploits. The $CASL_{MS}$ atomics, ATOM_{MS}, are defined below and include assignment, heap lookup, heap update, heap allocation and disposal, as well as constructs for transmitting messages over a shared channel. Additionally, ATOM_{MS} include constructs for heap lookup and update on a location offset o (x := [y+o] and [x+o] := y).

ATOM_{MS} \ni **a** ::= x := y | x := v | x := [y] | [x] := y | x := alloc(n) | free(x)| x := [y+o] | [x+o] := y | send(c, v) | send(c, x) | recv(c, x)

CASL_{MS} States and Axioms. The *CASL_{MS} states* are pairs comprising variable stacks and heaps: STATE_{MS} \triangleq STACK × HEAP with STACK \triangleq VAR \rightharpoonup (VAL \cup (Loc × N)) and HEAP \triangleq Loc \rightarrow VAL \uplus { \perp }. Specifically, a variable x may either hold a value v, or a pair (l, b) where $l \in$ Loc denotes a location and b denotes its *bound*, namely the size of the block of addresses allocated at l. For instance, given a stack s with s(x)=(l, n), the address given by x+i is valid (within bounds) when $0 \leq i < n$, and is out of bounds otherwise. Moreover, given a location l and a heap h, h(l) = v denotes that location l is allocated and stores value v; and $h(l) = \bot$ denotes that location l is *deallocated*. Note that as we are only concerned with memory safety errors here, we no longer record the provenance of values (unlike in CASL_{SO} and CASL_{HO}) or their secret attribute (unlike in CASL_{ID}). Composition over STATE_{MS} is defined component-wise as (\uplus, \uplus). The STATE_{MS} unit set is { (\emptyset, \emptyset) }. We write $x \mapsto v$ for the set { $([x \mapsto v], \emptyset)$ }, i.e. states where the stack contains a single variable x with value v and the heap is empty. Similarly, we write $x \mapsto (l, b)$ for { $([x \mapsto (l, b)], \emptyset)$ } and write $x \mapsto l$ for $x \mapsto (l, -)$, i.e. $\exists b. x \mapsto (l, b)$. Analogously, we write $l \mapsto v$ for { $(\emptyset, [l \mapsto v])$ }, and write $l \not\mapsto$ for $l \mapsto \bot$.

The CASL_{MS} axioms are given in Fig. 10. The MS-Assign, MS-AssignVal, MS-Read, MS-WRITE, MS-SENDVAL and MS-Recv are analogous to those of $CASL_{SO}$ and $CASL_{HO}$.

25:46 A General Approach to Under-approximate Reasoning about Concurrent Programs

MS-Assign MS-AssignVal MS-FreeUAF $\begin{bmatrix} x \mapsto -*y \mapsto v \end{bmatrix} x := y \begin{bmatrix} ok: x \mapsto v * y \mapsto v \end{bmatrix} \quad \begin{bmatrix} x \mapsto - \end{bmatrix} x := v \begin{bmatrix} ok: x \mapsto v \end{bmatrix} \quad \begin{bmatrix} x \mapsto l * l \not \rightarrow \end{bmatrix} \operatorname{free}(x) \begin{bmatrix} er: x \mapsto l * l \not \rightarrow \end{bmatrix}$ MS-AllocZero MS-Free $\begin{bmatrix} x \mapsto -*y \mapsto 0 \end{bmatrix} x := \mathsf{alloc}(y) \begin{bmatrix} ok : \exists l. \ x \mapsto (l,1) * y \mapsto 0 * l \mapsto v \end{bmatrix} \quad \begin{bmatrix} x \mapsto l * l \mapsto - \end{bmatrix} \mathsf{free}(x) \begin{bmatrix} ok : x \mapsto l * l \not\mapsto d \end{bmatrix}$ MS-Alloc $\begin{bmatrix} x \mapsto -* y \mapsto n * n > 0 \end{bmatrix} x := \mathsf{alloc}(y) \begin{bmatrix} ok : \exists l. \ x \mapsto (l, n) * y \mapsto n * n > 0 * \bigstar_{i=0}^{n-1} l + i \mapsto v \end{bmatrix}$ $\begin{array}{ll} \text{MS-READ} & \text{MS-READUAF} \\ \begin{bmatrix} x \mapsto -* \, y \mapsto l * l \mapsto v \end{bmatrix} \, x := [y] \begin{bmatrix} ok : \, x \mapsto v * \, y \mapsto l * l \mapsto v \end{bmatrix} & \begin{bmatrix} y \mapsto l * l \not & \downarrow \end{pmatrix} \\ & \begin{bmatrix} y \mapsto l * l \not & \downarrow \end{bmatrix} \, x := [y] \begin{bmatrix} er : \, y \mapsto l * l \not & \downarrow \end{bmatrix} \\ \end{array}$ MS-WRITEUAF MS-WRITE $\begin{bmatrix} x \mapsto l * y \mapsto v * l \mapsto - \end{bmatrix} \begin{bmatrix} x \end{bmatrix} := y \begin{bmatrix} ok : x \mapsto l * y \mapsto v * l \mapsto v \end{bmatrix} \qquad \begin{bmatrix} x \mapsto l * l \not\mapsto l \\ [x \mapsto l * l \not\mapsto] \end{bmatrix} \begin{bmatrix} x \end{bmatrix} := y \begin{bmatrix} er : x \mapsto l * l \not\mapsto l \\ [x \mapsto l + l \not\mapsto] \end{bmatrix}$ MS-SendVal MS-Recv $\begin{bmatrix} c \mapsto L \end{bmatrix} \text{send}(c, v) \begin{bmatrix} ok: c \mapsto L + [v] \end{bmatrix} \qquad \begin{bmatrix} c \mapsto [v] + L * x \Rightarrow - \end{bmatrix} \text{recv}(c, x) \begin{bmatrix} ok: c \mapsto L * x \Rightarrow v \end{bmatrix}$ MS-ReadOffset $[x \mapsto -*y \mapsto (l,b) * o \mapsto n * n < b * l + n \mapsto v] x := [y+o] [ok: x \mapsto v * y \mapsto (l,b) * o \mapsto n * n < b * l + n \mapsto v]$ MS-WRITEOFFSET $\begin{bmatrix} x \mapsto (l,b) * y \mapsto v * o \mapsto n * n < b * l + n \mapsto - \end{bmatrix} \begin{bmatrix} x + o \end{bmatrix} := y \begin{bmatrix} ok : x \mapsto (l,b) * y \mapsto v * o \mapsto n * n < b * l + n \mapsto v \end{bmatrix}$ MS-ReadOffsetOOB $\begin{bmatrix} y \mapsto (l,b) \\ * o \mapsto n * n \ge b \end{bmatrix} x := [y+o] \begin{bmatrix} er : y \mapsto (l,b) \\ * o \mapsto n * n \ge b \end{bmatrix}$ $\begin{bmatrix} x \mapsto (l,b) \\ * o \mapsto n * n \ge b \end{bmatrix} [x+o] := y \begin{bmatrix} er : \frac{x \mapsto (l,b)}{* o \mapsto n * n \ge b} \end{bmatrix}$

Figure 10 The CASL_{MS} axioms (excerpt)

The MS-FREE rule describes deallocating a heap location: when x records location $l (x \mapsto l)$ and l is allocated $(l \mapsto -)$, then free(x) deallocates l, replacing $l \mapsto -$ with $l \not\mapsto$. On the other hand, when l is already deallocated, then free(x) leads to a *use-after-free* error, as captured by MS-FREEUAF. The MS-READUAF and MS-WRITEUAF rules are analogous. The MS-ALLOC rule allocates n (non-zero) adjacent heap units and returns the address of the first unit in x. Dually, MS-ALLOCZERO describes *zero allocation* (with $y \mapsto 0$). As discussed in §2.2, in such cases a pre-defined minimum number of units, min, are allocated; here we assume min=1 and allocate one unit in the case of zero allocation. When y stores (l, b) and o stores n, MS-READOFFSET describes reading from the location at offset n from l (i.e. l+n) provided that the offset is valid (n < b). On the other hand, MS-READOFFSETOOB describes the out-of-bounds read access when $n \ge b$. The MS-WRITEOFFSET and MS-WRITEOFFSETOOB rules are analogous.

▶ **Example 25.** In Fig. 11 we present a CASL_{MS} proof sketch of (out-of-bounds) memory safety exploit in Fig. 9. Note that we use Cons to rewrite $y \Rightarrow maxInt+1 * maxInt+1=0$ as $y \Rightarrow 0 * maxInt+1=0$ and additionally infer $maxInt \ge 1$ (holds trivially). This allows us to apply MS-ALLOCZERO to allocate one heap unit, which subsequently leads to an out of bounds access detected by MS-WRITEOFFSETOOB.

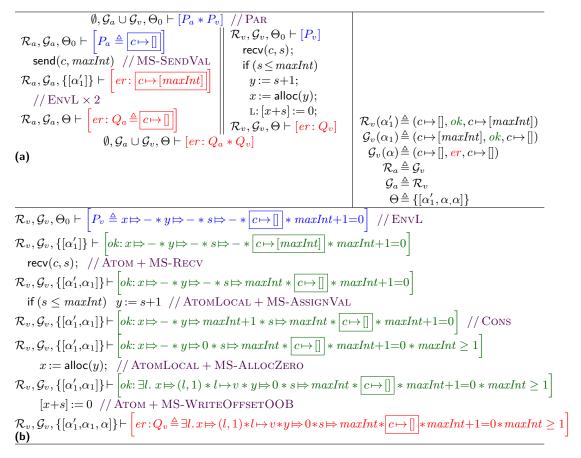


Figure 11 CASL_{ID} proof outlines of Fig. 9, its adversary program (a), and its vulnerable program (b)

E IRG: Incorrectness Rely-Guarantee Reasoning

IRG Parameters. As with IRG, IRG is a parametric and can be instantiated for a multitude of concurrency scenarios. The IRG structure is analogous to that of IRG. More concretely, 1) the IRG programming language is that of CASL, parametrised with a set of atomics (ATOM) and error exit conditions (EREXIT); the IRG exit conditions are EXIT $\triangleq \{ok\} \uplus \text{EREXIT.} 2$) We assume a set of abstract states (STATE), over which atomics are axiomatised: AXIOM $\subseteq \mathcal{P}(\text{STATE}) \times \text{ATOM} \times \text{EXIT} \times \mathcal{P}(\text{STATE})$. 3) We assume a set of (low-level) machine states (MSTATE), over which the semantics of atomics is defined: $\llbracket.\rrbracket_A : \text{ATOM} \to \text{EXIT} \to \mathcal{P}(\text{MSTATE} \times \text{MSTATE})$. 4) Finally, to ensure soundness, we assume an erasure function, $\lfloor.] : \text{STATE} \to \mathcal{P}(\text{MSTATE})$; we further assume that AXIOM are sound, i.e. for all $(p, \mathbf{a}, \epsilon, q) \in \text{AXIOM}$, we have: $\forall m_q \in \lfloor q \rfloor$. $\exists m_p \in \lfloor p \rfloor$. $(m_p, m_q) \in \llbracket \mathbf{a} \rrbracket_A \epsilon$. Note that unlike in CASL where a high-level program state is a world that comprises *a pair of local and shared states*, in IRG a high-level program state is simply a *single state* that is shared amongst all threads. That is, program states are completely shared and there is no thread-local component.

IRG Triples. As with CASL, an IRG triple is of the form, $\mathcal{R}, \mathcal{G}, \Theta \vdash [p] \in [\epsilon : q]$, stating that every state in q can be reached under ϵ for every witness trace $\theta \in \Theta$ by executing C on some state in p. Note that triples are expressed through sets of states $(p, q \in \mathcal{P}(\text{STATE}))$ unlike in CASL where they are expressed through sets of worlds $(P, Q \in \mathcal{P}(\text{WORLD}))$.

IRG Proof Rules. We present the IRG proof rules in Fig. 12, where we assume that the rely and guarantee relations in triple contexts are disjoint. Note that the IRG rules are very similar to those of CASL, except that IRG does not include the ATOMLOCAL and FRAME rules. This means that atomic instructions can modify the (shared) state only through the ATOM rule and thus *all* atomic instructions must be accounted for through actions in \mathcal{R}/\mathcal{G} and recorded in the traces generated.

IRG Semantics and Soundness. The IRG operational semantics is that of CISL (Fig. 6) and is analogously parametrised by the semantics of atomic commands defined as (machine) state transformers.

Semantic IRG Triples. We next present the formal interpretation of IRG triples. Recall that an IRG triple $\mathcal{R}, \mathcal{G}, \theta \models [p] \subset [\epsilon : q]$ states that every state in q can be reached in n steps (for some n) under ϵ for every trace $\theta \in \Theta$ by executing C on some state in p, with the actions of the current thread (executing C) and its environment adhering to \mathcal{G} and \mathcal{R} , respectively. Put formally, $\mathcal{R}, \mathcal{G}, \Theta \models [p] \subset [\epsilon : q] \iff \Theta \neq \emptyset \land \forall m_q \in \lfloor q \rfloor, \theta \in \Theta$. $\exists n. \operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \theta, \lfloor p \rfloor, C, \epsilon, m)$, with:

$$\begin{split} & \mathsf{reach}_n(\mathcal{R},\mathcal{G},\theta,M_p,\mathsf{C},\epsilon,m_q) \stackrel{\mathrm{def}}{\Longrightarrow} M_p \neq \emptyset \wedge \\ & n = 0 \wedge \theta = [] \wedge \epsilon = ok \wedge \mathsf{C} \stackrel{\mathrm{id}}{\Rightarrow} \mathsf{skip} \wedge m_q \in M_p \\ & \vee n = 1 \wedge \epsilon \in \mathrm{EREXIT} \wedge \exists \alpha, p, q. \ \theta = [\alpha] \wedge \mathcal{R}(\alpha) = (p, \epsilon, q) \wedge \lfloor p \rfloor \subseteq M_p \wedge m_q \in \lfloor q \rfloor \\ & \vee n = 1 \wedge \epsilon \in \mathrm{EREXIT} \wedge \exists \alpha, p, q, \mathbf{a}, \mathsf{C}'. \ \theta = [\alpha] \wedge \mathcal{G}(\alpha) = (p, \epsilon, q) \wedge \lfloor p \rfloor \subseteq M_p \wedge m_q \in \lfloor q \rfloor \\ & \wedge \mathsf{C} \stackrel{\mathrm{id}}{\Rightarrow} \mathsf{C}' \wedge \mathsf{C}', p \stackrel{\mathbf{a}}{\Rightarrow} -, q, \epsilon \\ & \vee \exists k, \theta', \alpha, p, r. \ n = k + 1 \wedge \theta = [\alpha] + \theta' \wedge \mathcal{R}(\alpha) = (p, ok, r) \wedge \lfloor p \rfloor \subseteq M_p \wedge \mathsf{reach}_k(\mathcal{R}, \mathcal{G}, \theta', \lfloor r \rfloor, \mathsf{C}, \epsilon, m_q) \\ & \vee \exists k, \theta', \alpha, p, r, \mathbf{a}, \mathsf{C}', \mathsf{C}''. \ n = k + 1 \wedge \theta = [\alpha] + \theta' \wedge \mathcal{G}(\alpha) = (p, ok, r) \wedge \lfloor p \rfloor \subseteq M_p \\ & \wedge \mathsf{C} \stackrel{\mathrm{id}}{\Rightarrow} \mathsf{C}'' \wedge \mathsf{C}'', p \stackrel{\mathbf{a}}{\Rightarrow} \mathsf{C}', r, ok \wedge \mathsf{reach}_k(\mathcal{R}, \mathcal{G}, \theta', \lfloor r \rfloor, \mathsf{C}', \epsilon, m_q) \end{split}$$

and

$$\mathsf{C}, p \stackrel{\mathbf{a}}{\leadsto} \mathsf{C}', q, \epsilon \stackrel{\text{def}}{\Longleftrightarrow} \mathsf{C} \stackrel{\mathbf{a}}{\to} \mathsf{C}' \land \forall m_q \in \lfloor q \rfloor. \exists m_p \in \lfloor p \rfloor. (m_p, m_q) \in \llbracket \mathbf{a} \rrbracket \epsilon$$

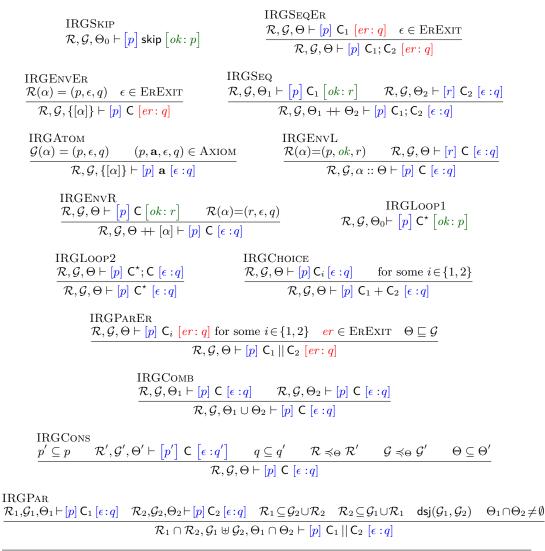


Figure 12 The IRG proof rules, where the rely and guarantee relations in the triple contexts are disjoint.

The first disjunct in reach simply states that any state $m_q \in M_p$ can be simply reached under ok in zero steps with an empty trace [], provided that C simply reduces to skip *silently*, i.e. without executing any atomic steps ($C \stackrel{id}{\rightarrow} * skip$). The next two disjuncts capture the short-circuit semantics of errors ($\epsilon \in EREXIT$). Specifically, the second disjunct states that m_q can be reached in one step under error ϵ when the *environment* executes a corresponding action α , i.e. when $\mathcal{R}(\alpha) = (p, \epsilon, q), m_q \in \lfloor q \rfloor$ and $\lfloor p \rfloor \subseteq M_p$; the trace of such execution is then given by $[\alpha]$. Similarly, the third disjunct states that m_q can be reached in one step under ϵ corresponding action α ($\mathcal{G}(\alpha) = (p, \epsilon, q)$). Moreover, the current thread executes a corresponding action α ($\mathcal{G}(\alpha) = (p, \epsilon, q)$). Moreover, the current thread must *fulfil* the specification (p, ϵ, q) of α by executing an atomic instruction **a**: C may take several silent steps reducing C to C' ($C \stackrel{id}{\rightarrow} * C'$) and subsequently execute **a**, reducing p to q under ϵ ($C', p \stackrel{a}{\rightarrow} -, q, \epsilon$). The latter ensures that C' can be reduced by executing **a** ($C' \stackrel{a}{\rightarrow} -$) and all states in q are reachable under ϵ from some state in p by

25:50 A General Approach to Under-approximate Reasoning about Concurrent Programs

executing **a**: $\forall m_q \in \lfloor q \rfloor$. $\exists m_p \in \lfloor p \rfloor$. $(m_p, m_q) \in \llbracket \mathbf{a} \rrbracket \epsilon$. Analogously, the last two disjuncts capture the inductive cases (n=k+1) where either the environment (penultimate disjunct) or the current thread (last disjunct) take an ok step, and m_q is subsequently reached in k steps under ϵ .

▶ **Theorem 26** (Soundness, §F). For all $\mathcal{R}, \mathcal{G}, \Theta, p, \mathsf{C}, \epsilon, q$, if $\mathcal{R}, \mathcal{G}, \Theta \vdash [p] \mathsf{C} [\epsilon : q]$ is derivable using the rules in Fig. 12, then $\mathcal{R}, \mathcal{G}, \Theta \models [p] \mathsf{C} [\epsilon : q]$ holds.

Proof. The full proof is given in §F.

F IRG Soundness

In the following, whenever we write $\mathsf{reach}_{(.)}(\mathcal{R}, \mathcal{G}, ..., .., ..)$, we assume $\mathsf{dsj}(\mathcal{R}, \mathcal{G})$ holds.

▶ Lemma 27. For all $\mathcal{R}, \mathcal{G}, m, M$, if $m \in M$, then reach₀($\mathcal{R}, \mathcal{G}, [], M$, skip, ok, m) holds.

Proof. Follows immediately from the definition of reach_0 and since $\mathsf{skip} \xrightarrow{\mathsf{id}} \mathsf{*skip}$.

▶ Lemma 28. For all $n, \mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}_1, \mathsf{C}_2, \epsilon, m_q$, if $\epsilon \in \operatorname{EREXIT}$ and $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}_1, \epsilon, m_q)$, then $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}_1; \mathsf{C}_2, \epsilon, m_q)$.

Proof. We proceed by induction on n.

Case n = 1

We then know that there exists $\alpha, p, q, \mathbf{a}, \mathsf{C}'_1, \mathsf{C}''_1$ such that $\lfloor p \rfloor \subseteq M_p, m_q \in \lfloor q \rfloor, \theta = [\alpha]$ and either 1) $\mathcal{R}(\alpha) = (p, \epsilon, q)$; or 2) $\mathcal{G}(\alpha) = (p, \epsilon, q), \mathsf{C}_1 \xrightarrow{\mathsf{id}} \mathsf{C}''_1$ and $\mathsf{C}''_1, p \xrightarrow{\mathbf{a}} \mathsf{C}'_1, q, \epsilon$.

In case (1), from the definition of reach we also have $\operatorname{reach}_1(\mathcal{R}, \mathcal{G}, [\alpha], M_p, \mathsf{C}_1; \mathsf{C}_2, \epsilon, m_q)$, as required. In case (2), from the control flow transitions (Fig. 6) we know that whenever $\mathsf{C}''_1 \xrightarrow{\mathbf{a}} \mathsf{C}'_1$ then $\mathsf{C}''_1; \mathsf{C}_2 \xrightarrow{\mathbf{a}} \mathsf{C}'_1; \mathsf{C}_2$. As such, from $\mathsf{C}''_1, p \xrightarrow{\mathbf{a}} \mathsf{C}'_1, q, \epsilon$ we also have $\mathsf{C}''_1; \mathsf{C}_2, p \xrightarrow{\mathbf{a}} \mathsf{C}'_1; \mathsf{C}_2, q, \epsilon$. Similarly, as $\mathsf{C}_1 \xrightarrow{\operatorname{id}} \mathsf{C}''_1$, from the control flow transitions we also have $\mathsf{C}_1; \mathsf{C}_2 \xrightarrow{\operatorname{id}} \mathsf{C}''_1; \mathsf{C}_2$ Consequently, from the definition of reach we also have reach₁($\mathcal{R}, \mathcal{G}, [\alpha], M_p, \mathsf{C}_1; \mathsf{C}_2, \epsilon, m_q$), as required.

Case n = k+1

$$\begin{array}{l} \forall \mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}_1, \mathsf{C}_2, \epsilon, m_q. \\ \epsilon \in \mathrm{EREXIT} \land \mathsf{reach}_k(\mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}_1, \epsilon, m_q) \Rightarrow \mathsf{reach}_k(\mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}_1; \mathsf{C}_2, \epsilon, m_q) \end{array}$$
(I.H)

We then know that either 1) there exist α, θ', p, r such that $\theta = [\alpha] + \theta', \mathcal{R}(\alpha) = (p, ok, r)$, reach_k($\mathcal{R}, \mathcal{G}, \theta', \lfloor r \rfloor, \mathsf{C}_1, \epsilon, m_q$) and $\lfloor p \rfloor \subseteq M_p$; or 2) there exist $\alpha, \theta', p, r, \mathsf{C}'_1, \mathsf{C}''_1$, a such that $\theta = [\alpha] + \theta', \mathcal{G}(\alpha) = (p, ok, r), \lfloor p \rfloor \subseteq M_p$, reach_k($\mathcal{R}, \mathcal{G}, \theta', \lfloor r \rfloor, \mathsf{C}'_1, \epsilon, m_q$), $\mathsf{C}_1 \xrightarrow{\mathsf{id}} \mathsf{C}''_1$ and $\mathsf{C}''_1, p \xrightarrow{\mathsf{a}} \mathsf{C}'_1, r, ok$.

In case (1), from $\operatorname{\mathsf{reach}}_k(\mathcal{R}, \mathcal{G}, \theta', \lfloor r \rfloor, \mathsf{C}_1, \epsilon, m_q)$ and (I.H) we have $\operatorname{\mathsf{reach}}_k(\mathcal{R}, \mathcal{G}, \theta', \lfloor r \rfloor, \mathsf{C}_1; \mathsf{C}_2, \epsilon, m_q)$. Consequently, as $\mathcal{R}(\alpha) = (p, ok, r)$ and $\lfloor p \rfloor \subseteq M_p$, by definition of reach we also have $\operatorname{\mathsf{reach}}_n(\mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}_1; \mathsf{C}_2, \epsilon, m_q)$, as required.

In case (2), from $\operatorname{\mathsf{reach}}_k(\mathcal{R}, \mathcal{G}, \theta', \lfloor r \rfloor, \mathsf{C}'_1, \epsilon, m_q)$ and (I.H) we have $\operatorname{\mathsf{reach}}_k(\mathcal{R}, \mathcal{G}, \theta', \lfloor r \rfloor, \mathsf{C}'_1; \mathsf{C}_2, \epsilon, m_q)$. Moreover, as $\mathsf{C}''_1, p \stackrel{\mathbf{a}}{\to} \mathsf{C}'_1, r, ok$, we know $\mathsf{C}''_1 \stackrel{\mathbf{a}}{\to} \mathsf{C}'_1$ and thus from the control flow transitions (Fig. 6) we know $\mathsf{C}''_1; \mathsf{C}_2 \stackrel{\mathbf{a}}{\to} \mathsf{C}'_1; \mathsf{C}_2$. As such, from $\mathsf{C}''_1, p \stackrel{\mathbf{a}}{\to} \mathsf{C}'_1, r, ok$ we also have $\mathsf{C}''_1; \mathsf{C}_2, p \stackrel{\mathbf{a}}{\to} \mathsf{C}'_1; \mathsf{C}_2, r, ok$. Similarly, as $\mathsf{C}_1 \stackrel{\mathrm{id}}{\to} \mathsf{C}''_1$, from the control flow transitions we also have $\mathsf{C}_1; \mathsf{C}_2 \stackrel{\mathrm{id}}{\to} \mathsf{C}''_1; \mathsf{C}_2$. Consequently, as $\mathcal{G}(\alpha) = (p, ok, r)$ and $\lfloor p \rfloor \subseteq M_p$, from the definition of reach we also have reach_n($\mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}_1; \mathsf{C}_2, \epsilon, m_q$), as required.

▶ Lemma 29. For all $n, \mathcal{R}, \mathcal{G}, \theta, M_p, m_q, \mathsf{C}_1, \mathsf{C}_2, \epsilon$, if $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}_2, \epsilon, m_q)$ and $\mathsf{C}_1 \xrightarrow{\operatorname{id}} {}^*\mathsf{C}_2$, then $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}_1, \epsilon, m_q)$.

Proof. By induction on n.

Case n=0

Pick arbitrary $\mathcal{R}, \mathcal{G}, \theta, M_p, m_q, \mathsf{C}_1, \mathsf{C}_2, \epsilon$ such that $\mathsf{reach}_0(\mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}_2, \epsilon, m_q)$ and $\mathsf{C}_1 \xrightarrow{\mathsf{id}} \mathsf{*}\mathsf{C}_2$. From the definition of reach_0 we then know $\theta = [], \epsilon = ok, \mathsf{C}_2 \xrightarrow{\mathsf{id}} \mathsf{*}\mathsf{skip}$ and $m_q \in M_p$. We thus

25:52 A General Approach to Under-approximate Reasoning about Concurrent Programs

have $C_1 \xrightarrow{id} *C_2 \xrightarrow{id} *skip$, i.e. $C_1 \xrightarrow{id} *skip$. Consequently, as $\theta = [], \epsilon = ok$ and $m_q \in M_p$, we also have reach₀($\mathcal{R}, \mathcal{G}, \theta, M_p, C_1, \epsilon, m_q$), as required.

Case n=1

Pick arbitrary $\mathcal{R}, \mathcal{G}, \theta, M_p, m_q, \mathsf{C}_1, \mathsf{C}_2, \epsilon$ such that $\operatorname{\mathsf{reach}}_n(\mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}_2, \epsilon, m_q)$ and $\mathsf{C}_1 \xrightarrow{\operatorname{\mathsf{id}}} {}^*\mathsf{C}_2$. We then know that there exists $\alpha, p, q, \mathbf{a}, \mathsf{C}'_2, \mathsf{C}''_2$ such that $\lfloor p \rfloor \subseteq M_p, m_q \in \lfloor q \rfloor, \theta = \lfloor \alpha \rfloor$ and either 1) $\mathcal{R}(\alpha) = (p, \epsilon, q)$; or 2) $\mathcal{G}(\alpha) = (p, \epsilon, q), \mathsf{C}_2 \xrightarrow{\operatorname{\mathsf{id}}} {}^*\mathsf{C}''_2$ and $\mathsf{C}''_2, p \xrightarrow{\operatorname{\mathsf{a}}} {}^*\mathsf{C}'_2, q, \epsilon$.

In case (1), from the definition of reach we also have $\operatorname{reach}_1(\mathcal{R}, \mathcal{G}, [\alpha], M_p, \mathsf{C}_1; \mathsf{C}_2, \epsilon, m_q)$, as required. In case (2), we have $\mathsf{C}_1 \xrightarrow{\operatorname{id}} \mathsf{C}_2 \xrightarrow{\operatorname{id}} \mathsf{C}_2''$, i.e. $\mathsf{C}_1 \xrightarrow{\operatorname{id}} \mathsf{C}_2''$. Consequently, from the definition of reach we also have $\operatorname{reach}_1(\mathcal{R}, \mathcal{G}, [\alpha], M_p, \mathsf{C}_1, \epsilon, m_q)$, as required.

Case n=k+1

 $\forall \mathcal{R}, \mathcal{G}, \theta, M_p, m_q, \mathsf{C}_1, \mathsf{C}_2, \epsilon. \operatorname{\mathsf{reach}}_k(\mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}_2, \epsilon, m_q) \land \mathsf{C}_1 \xrightarrow{\mathsf{id}} \mathsf{C}_2 \Rightarrow \operatorname{\mathsf{reach}}_k(\mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}_1, \epsilon, m_q)$ (I.H)

Pick arbitrary $\mathcal{R}, \mathcal{G}, \theta, M_p, m_q, \mathsf{C}_1, \mathsf{C}_2, \epsilon$ such that $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}_2, \epsilon, m_q)$ and $\mathsf{C}_1 \xrightarrow{\operatorname{id}} {}^*\mathsf{C}_2$. We then know that there exists $\alpha, \theta', p, r, \mathbf{a}, \mathsf{C}'_2, \mathsf{C}''_2$ such that $\lfloor p \rfloor \subseteq M_p, \theta = \lfloor \alpha \rfloor + \theta'$ and either 1) $\mathcal{R}(\alpha) = (p, \epsilon, r)$ and $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \theta', \lfloor r \rfloor, \mathsf{C}_2, \epsilon, m_q)$; or 2) $\mathcal{G}(\alpha) = (p, \epsilon, r), \mathsf{C}_2 \xrightarrow{\operatorname{id}} {}^*\mathsf{C}''_2, \mathsf{C}''_2, p \xrightarrow{\operatorname{a}} \mathsf{C}'_2, r, \epsilon$ and $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \theta', \lfloor r \rfloor, \mathsf{C}'_2, \epsilon, m_q)$.

In case (1), from $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \theta', \lfloor r \rfloor, \mathsf{C}_2, \epsilon, m_q)$ and I.H we have $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \theta', \lfloor r \rfloor, \mathsf{C}_1, \epsilon, m_q)$. Consequently, from the givens and the definition of reach we also have $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}_1, \epsilon, m_q)$, as required. In case (2), we have $\mathsf{C}_1 \xrightarrow{\operatorname{id}} \mathsf{C}_2 \xrightarrow{\operatorname{id}} \mathsf{C}_2''$, i.e. $\mathsf{C}_1 \xrightarrow{\operatorname{id}} \mathsf{C}_2''$. Consequently, from the givens and the definition of reach we also have $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}_1, \epsilon, m_q)$, as required.

▶ Lemma 30. For all $n, k, \mathcal{R}, \mathcal{G}, \theta_1, \theta_2, M_p, M_r, m_q, m_r, \mathsf{C}_1, \mathsf{C}_2, \epsilon$, if $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \theta_2, M_r, \mathsf{C}_2, \epsilon, m_q)$ and $\forall m_r \in M_r$. $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \theta_1, M_p, \mathsf{C}_1, ok, m_r)$, then $\operatorname{reach}_{n+k}(\mathcal{R}, \mathcal{G}, \theta_1 + \theta_2, M_p, \mathsf{C}_1; \mathsf{C}_2, \epsilon, m_q)$.

Proof. By induction on n.

Case n=0

Pick arbitrary $k, \mathcal{R}, \mathcal{G}, \theta_1, \theta_2, M_p, M_r, m_q, m_r, \mathsf{C}_1, \mathsf{C}_2, \epsilon$ such that $\mathsf{reach}_k(\mathcal{R}, \mathcal{G}, \theta_2, M_r, \mathsf{C}_2, \epsilon, m_q)$ and $\forall m_r \in M_r$. $\mathsf{reach}_0(\mathcal{R}, \mathcal{G}, \theta_1, M_p, \mathsf{C}_1, ok, m_r)$.

From $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \theta_2, M_r, \mathsf{C}_2, \epsilon, m_q)$ we know $M_r \neq \emptyset$. Pick an arbitrary $m_r \in M_r$; we then have $\operatorname{reach}_0(\mathcal{R}, \mathcal{G}, \theta_1, M_p, \mathsf{C}_1, ok, m_r)$. Consequently, from the definition of reach_0 we know that $\theta_1 = [], \mathsf{C}_1 \xrightarrow{\operatorname{id}} *\operatorname{skip}$ and $m_r \in M_p$. Moreover, since for an arbitrary $m_r \in M_r$ we also have $m_r \in M_p$ we can conclude that $M_r \subseteq M_p$. On the other hand, as $\mathsf{C}_1 \xrightarrow{\operatorname{id}} *\operatorname{skip}$, from the control from transitions we have $\mathsf{C}_1; \mathsf{C}_2 \xrightarrow{\operatorname{id}} *\operatorname{skip}; \mathsf{C}_2$. As such, from Lemma 29 and $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \theta_2, M_r, \mathsf{C}_2, \epsilon, m_q)$ we have $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \theta_2, M_r, \mathsf{C}_1; \mathsf{C}_2, \epsilon, m_q)$. That is, as $\theta_1 + \theta_2 = [] + \theta_2 = \theta_2$, we also have $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \theta_1 + \theta_2, M_r, \mathsf{C}_1; \mathsf{C}_2, \epsilon, m_q)$. Consequently, as $M_r \subseteq M_p$, from Lemma 34 we have $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \theta_1 + \theta_2, M_p, \mathsf{C}_1; \mathsf{C}_2, \epsilon, m_q)$, as required.

Case n=j+1

$$\begin{aligned} \forall k, \mathcal{R}, \mathcal{G}, \theta_1, \theta_2, M_p, M_r, m_q, m_r, \mathsf{C}_1, \mathsf{C}_2, \epsilon. \\ \mathsf{reach}_k(\mathcal{R}, \mathcal{G}, \theta_2, M_r, \mathsf{C}_2, \epsilon, m_q) \land \forall m_r \in M_r. \mathsf{reach}_j(\mathcal{R}, \mathcal{G}, \theta_1, M_p, \mathsf{C}_1, ok, m_r) \\ \Rightarrow \mathsf{reach}_{j+k}(\mathcal{R}, \mathcal{G}, \theta_1 + \theta_2, M_p, \mathsf{C}_1; \mathsf{C}_2, \epsilon, m_q) \end{aligned}$$
(I.H)

Pick arbitrary $k, \mathcal{R}, \mathcal{G}, \theta_1, \theta_2, M_p, M_r, m_q, m_r, \mathsf{C}_1, \mathsf{C}_2, \epsilon$ such that $\mathsf{reach}_k(\mathcal{R}, \mathcal{G}, \theta_2, M_r, \mathsf{C}_2, \epsilon, m_q)$ and $\forall m_r \in M_r$. $\mathsf{reach}_n(\mathcal{R}, \mathcal{G}, \theta_1, M_p, \mathsf{C}_1, ok, m_r)$.

As $\forall m_r \in M_r$. reach_n($\mathcal{R}, \mathcal{G}, \theta_1, M_p, \mathsf{C}_1, ok, m_r$) and dsj(\mathcal{R}, \mathcal{G}) holds (i.e. $dom(\mathcal{R}) \cap dom(\mathcal{G}) = \emptyset$), from the definition of reach_n we then know that for all $m_r \in M_r$, there exist $\alpha, \theta'_1, p, r, \mathsf{C}'_1, \mathsf{C}''_1$, a such that either:

i) $\theta_1 = [\alpha] + \theta'_1$, $\lfloor p \rfloor \subseteq M_p$, $\mathcal{R}(\alpha) = (p, ok, r)$ and $\operatorname{reach}_j(\mathcal{R}, \mathcal{G}, \theta'_1, \lfloor r \rfloor, \mathsf{C}_1, ok, m_r)$; or

ii) $\theta_1 = [\alpha] + \theta'_1, \ \lfloor p \rfloor \subseteq M_p, \ \mathcal{G}(\alpha) = (p, ok, r), \ \mathsf{reach}_j(\mathcal{R}, \mathcal{G}, \theta'_1, \lfloor r \rfloor, \mathsf{C}'_1, ok, m_r), \ \mathsf{C}_1 \xrightarrow{\mathsf{id}} \mathsf{C}''_1 \ \mathrm{and} \ \mathsf{C}''_1, p \xrightarrow{\mathbf{a}} \mathsf{C}'_1, r, ok.$

In case (ii), from I.H, reach_j($\mathcal{R}, \mathcal{G}, \theta'_1, \lfloor r \rfloor, \mathcal{C}'_1, ok, m_r$) and reach_k($\mathcal{R}, \mathcal{G}, \theta_2, M_r, \mathcal{C}_2, \epsilon, m_q$) we have reach_{j+k}($\mathcal{R}, \mathcal{G}, \theta'_1 + \theta_2, \lfloor r \rfloor, \mathcal{C}'_1; \mathcal{C}_2, \epsilon, m_q$). On the other hand, as $\mathcal{C}''_1, p \stackrel{\mathbf{a}}{\to} \mathcal{C}'_1, r, ok$, we know $\mathcal{C}''_1 \stackrel{\mathbf{a}}{\to} \mathcal{C}'_1$ and thus from the control flow transitions (Fig. 6) we know $\mathcal{C}''_1; \mathcal{C}_2 \stackrel{\mathbf{a}}{\to} \mathcal{C}'_1; \mathcal{C}_2$. As such, from $\mathcal{C}''_1, p \stackrel{\mathbf{a}}{\to} \mathcal{C}'_1, r, ok$ we also have $\mathcal{C}''_1; \mathcal{C}_2, p \stackrel{\mathbf{a}}{\to} \mathcal{C}'_1; \mathcal{C}_2, r, ok$. Similarly, as $\mathcal{C}_1 \stackrel{\text{id}}{\to} \mathcal{C}''_1$, from the control flow transitions we also have $\mathcal{C}_1; \mathcal{C}_2 \stackrel{\text{id}}{\to} \mathcal{C}''_1; \mathcal{C}_2$. Consequently, as $\theta_1 + \theta_2 = [\alpha] + \theta'_1 + \theta_2, \lfloor p \rfloor \subseteq M_p, \ \mathcal{G}(\alpha) = (p, \epsilon, r), \ \mathcal{C}_1; \mathcal{C}_2 \stackrel{\text{id}}{\to} \mathcal{C}''_1; \mathcal{C}_2, p \stackrel{\mathbf{a}}{\to} \mathcal{C}'_1; \mathcal{C}_2, r, ok$ and reach_{j+k}($\mathcal{R}, \mathcal{G}, \theta'_1 + \theta_2, \lfloor r \rfloor, \mathcal{C}'_1; \mathcal{C}_2, \epsilon, m_q$), from the definition of reach we have reach_{n+k}($\mathcal{R}, \mathcal{G}, \theta_1 + \theta_2, M_p, \mathcal{C}_1; \mathcal{C}_2, \epsilon, m_q$), as required.

▶ Lemma 31. For all $n, \mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}_1, \mathsf{C}_2, \epsilon, m_q$, if $\epsilon \in \text{EREXIT}$ and $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, M_p, \mathsf{C}_1, \epsilon, m_q)$, then $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, M_p, \mathsf{C}_1 || \mathsf{C}_2, \epsilon, m_q)$.

Proof. We proceed by induction on n.

Case n = 1

We then know that there exists $\alpha, p, q, \mathbf{a}, \mathsf{C}'_1, \mathsf{C}''_1$ such that $\lfloor p \rfloor \subseteq M_p, m_q \in \lfloor q \rfloor, \theta = [\alpha]$ and either 1) $\mathcal{R}(\alpha) = (p, \epsilon, q)$; or 2) $\mathcal{G}(\alpha) = (p, \epsilon, q), \mathsf{C}_1 \xrightarrow{\mathsf{id}} \mathsf{C}''_1$ and $\mathsf{C}''_1, p \xrightarrow{\mathsf{a}} \mathsf{C}'_1, q, \epsilon$.

In case (1), from the definition of reach we also have $\operatorname{reach}_1(\mathcal{R}, \mathcal{G}, [\alpha], M_p, \mathsf{C}_1 || \mathsf{C}_2, \epsilon, m_q)$, as required. In case (2), from the control flow transitions (Fig. 6) we know that whenever $\mathsf{C}''_1 \xrightarrow{\mathbf{a}} \mathsf{C}'_1$ then $\mathsf{C}''_1 || \mathsf{C}_2 \xrightarrow{\mathbf{a}} \mathsf{C}'_1 || \mathsf{C}_2$. As such, from $\mathsf{C}''_1, p \xrightarrow{\mathbf{a}} \mathsf{C}'_1, q, \epsilon$ we also have $\mathsf{C}''_1 || \mathsf{C}_2, p \xrightarrow{\mathbf{a}} \mathsf{C}'_1 || \mathsf{C}_2, q, \epsilon$. Similarly, as $\mathsf{C}_1 \xrightarrow{\mathrm{id}} \mathsf{C}''_1$, from the control flow transitions we also have $\mathsf{C}_1 || \mathsf{C}_2 \xrightarrow{\mathrm{id}} \mathsf{C}''_1 || \mathsf{C}_2$ Consequently, from the definition of reach we also have reach₁($\mathcal{R}, \mathcal{G}, [\alpha], M_p, \mathsf{C}_1 || \mathsf{C}_2, \epsilon, m_q$), as required.

Case n = k+1

$$\begin{array}{l} \forall \mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}_1, \mathsf{C}_2, \epsilon, m_q. \\ \epsilon \in \mathrm{EREXIT} \land \mathsf{reach}_k(\mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}_1, \epsilon, m_q) \Rightarrow \mathsf{reach}_k(\mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}_1 \mid\mid \mathsf{C}_2, \epsilon, m_q) \end{array}$$
(I.H)

We then know that either 1) there exist α, θ', p, r such that $\theta = [\alpha] + \theta', \mathcal{R}(\alpha) = (p, ok, r),$ reach_k($\mathcal{R}, \mathcal{G}, \theta', \lfloor r \rfloor, \mathsf{C}_1, \epsilon, m_q$) and $\lfloor p \rfloor \subseteq M_p$; or 2) there exist $\alpha, \theta', p, r, \mathsf{C}'_1, \mathsf{C}''_1, \mathbf{a}$ such that $\theta = [\alpha] + \theta', \mathcal{G}(\alpha) = (p, ok, r), \lfloor p \rfloor \subseteq M_p$, reach_k($\mathcal{R}, \mathcal{G}, \theta', \lfloor r \rfloor, \mathsf{C}'_1, \epsilon, m_q$), $\mathsf{C}_1 \xrightarrow{\mathsf{id}} \mathsf{C}''_1$ and $\mathsf{C}''_1, p \xrightarrow{\mathsf{a}} \mathsf{C}'_1, r, ok.$

In case (1), from $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \theta', \lfloor r \rfloor, \mathsf{C}_1, \epsilon, m_q)$ and (I.H) we have $\operatorname{reach}_k(\mathcal{R}, \mathcal{G}, \theta', \lfloor r \rfloor, \mathsf{C}_1 \mid | \mathsf{C}_2, \epsilon, m_q)$. Consequently, as $\mathcal{R}(\alpha) = (p, ok, r)$ and $\lfloor p \rfloor \subseteq M_p$, by definition of reach we also have $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}_1 \mid | \mathsf{C}_2, \epsilon, m_q)$, as required.

25:54 A General Approach to Under-approximate Reasoning about Concurrent Programs

In case (2), from $\operatorname{\mathsf{reach}}_k(\mathcal{R}, \mathcal{G}, \theta', \lfloor r \rfloor, \mathsf{C}'_1, \epsilon, m_q)$ and (I.H) we have $\operatorname{\mathsf{reach}}_k(\mathcal{R}, \mathcal{G}, \theta', \lfloor r \rfloor, \mathsf{C}'_1 \parallel \mathsf{C}_2, \epsilon, m_q)$. Moreover, as $\mathsf{C}''_1, p \stackrel{\mathbf{a}}{\to} \mathsf{C}'_1, r, ok$, we know $\mathsf{C}''_1 \stackrel{\mathbf{a}}{\to} \mathsf{C}'_1$ and thus from the control flow transitions (Fig. 6) we know $\mathsf{C}''_1 \parallel \mathsf{C}_2 \stackrel{\mathbf{a}}{\to} \mathsf{C}'_1 \parallel \mathsf{C}_2$. As such, from $\mathsf{C}''_1, p \stackrel{\mathbf{a}}{\to} \mathsf{C}'_1, r, ok$ we also have $\mathsf{C}''_1 \parallel \mathsf{C}_2, p \stackrel{\mathbf{a}}{\to} \mathsf{C}'_1 \parallel \mathsf{C}_2, r, ok$. Similarly, as $\mathsf{C}_1 \stackrel{\mathrm{id}}{\to} \overset{\mathbf{c}}{\mathsf{C}'}_1$, from the control flow transitions we also have $\mathsf{C}_1 \parallel \mathsf{C}_2 \stackrel{\mathrm{id}}{\to} \mathsf{C}''_1 \parallel \mathsf{C}_2$. Consequently, as $\mathcal{G}(\alpha) = (p, ok, r)$ and $\lfloor p \rfloor \subseteq M_p$, from the definition of reach we also have $\operatorname{\mathsf{reach}}_n(\mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}_1 \parallel \mathsf{C}_2, \epsilon, m_q)$, as required.

▶ Lemma 32. For all $n, \mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}_1, \mathsf{C}_2, \epsilon, m_q$, if $\epsilon \in \operatorname{EREXIT}$ and $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, M_p, \mathsf{C}_2, \epsilon, m_q)$, then $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \delta, M_p, \mathsf{C}_1 || \mathsf{C}_2, \epsilon, m_q)$.

Proof. The proof is analogous to the proof of Lemma 31 and is omitted.

◀

▶ Lemma 33. For all $n, k, \mathcal{R}_1, \mathcal{R}_2, \mathcal{G}_1, \mathcal{G}_2, \theta, M_p, m_q, \mathsf{C}_1, \mathsf{C}_2, \epsilon, \text{ if } \mathcal{R}_1 \subseteq \mathcal{G}_2 \cup \mathcal{R}_2, \mathcal{R}_2 \subseteq \mathcal{G}_1 \cup \mathcal{R}_1, \mathcal{G}_1 \cap \mathcal{G}_2 = \emptyset, \text{ reach}_n(\mathcal{R}_1, \mathcal{G}_1, \theta, M_p, \mathsf{C}_1, \epsilon, m_q), \text{ and } \text{ reach}_k(\mathcal{R}_2, \mathcal{G}_2, \theta, M_p, \mathsf{C}_2, \epsilon, m_q), \text{ then there exists i such that } \text{reach}_i(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \theta, M_p, \mathsf{C}_1 || \mathsf{C}_2, \epsilon, m_q).$

Proof. By double induction on n and k.

Case n=0, k=0

As we have $\operatorname{\mathsf{reach}}_0(\mathcal{R}_1, \mathcal{G}_1, \theta, M_p, \mathsf{C}_1, \epsilon, m_q)$ and $\operatorname{\mathsf{reach}}_k(\mathcal{R}_2, \mathcal{G}_2, \theta, M_p, \mathsf{C}_2, \epsilon, m_q)$, we then know that $\theta = [], \mathsf{C}_1 \xrightarrow{\operatorname{\mathsf{id}}} \operatorname{\mathsf{*skip}}, \mathsf{C}_2 \xrightarrow{\operatorname{\mathsf{id}}} \operatorname{\mathsf{*skip}}, \epsilon = ok$ and $m_q \in M_p$. On the other hand, as $\mathsf{C}_1 \xrightarrow{\operatorname{\mathsf{id}}} \operatorname{\mathsf{*skip}}$ and $\mathsf{C}_2 \xrightarrow{\operatorname{\mathsf{id}}} \operatorname{\mathsf{*skip}}$, from the control flow transitions we have $\mathsf{C}_1 || \mathsf{C}_2 \xrightarrow{\operatorname{\mathsf{id}}} \operatorname{\mathsf{*skip}}$. As such, since $\theta = [], \epsilon = ok$ and $m_q \in M_p$, from the definition of reach we have $\operatorname{\mathsf{reach}}_0(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \theta, M_p, \mathsf{C}_1 || \mathsf{C}_2, \epsilon, m_q)$, as required.

 $\mathbf{Case} \ n{=}0, k{\neq}0$

This case does not arise as it simultaneously implies that $\theta = []$ and $\theta = [\alpha] + \theta'$ for some α, θ' which is not possible.

Case n=1, k=0

This case does not arise as it simultaneously implies that $\theta = []$ and $\theta = [\alpha]$ for some α which is not possible.

Case n=1, k=1

As n=k=1, $\mathcal{G}_1 \cap \mathcal{G}_2 = \emptyset$, $\mathcal{R}_1 \subseteq \mathcal{G}_2 \cup \mathcal{R}_2$ and $\mathcal{R}_2 \subseteq \mathcal{G}_1 \cup \mathcal{R}_1$, we then know that there exist $\alpha, p, q, \mathbf{a}, \mathsf{C}', \mathsf{C}''$ such that $\epsilon \in \operatorname{EREXIT}$, $\theta = [\alpha], [p] \subseteq M_p, m_q \in [q]$, and either: i) $\mathcal{R}_1(\alpha) = \mathcal{R}_2(\alpha) = (p, \epsilon, q)$; or ii) $\mathcal{R}_1(\alpha) = \mathcal{G}_2(\alpha) = (p, \epsilon, q)$, $\mathsf{C}_2 \xrightarrow{\operatorname{id}} \mathsf{C}''$ and $\mathsf{C}'', p \xrightarrow{\mathsf{a}} \mathsf{C}', q, \epsilon$; or iii) $\mathcal{R}_2(\alpha) = \mathcal{G}_1(\alpha) = (p, \epsilon, q), \mathsf{C}_1 \xrightarrow{\operatorname{id}} \mathsf{C}''$ and $\mathsf{C}'', p \xrightarrow{\mathsf{a}} \mathsf{C}', q, \epsilon$.

In case (i) we have $(\mathcal{R}_1 \cap \mathcal{R}_2)(\alpha) = (p, \epsilon, q)$; thus as $\epsilon \in \text{EREXIT}$, $\theta = [\alpha]$, $\lfloor p \rfloor \subseteq M_p$ and $m_q \in \lfloor q \rfloor$, from the definition of reach we have $\text{reach}_1(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \theta, M_p, \mathsf{C}_1 \parallel \mathsf{C}_2, \epsilon, m_q)$, as required.

In case (ii) we have $(\mathcal{G}_1 \uplus \mathcal{G}_2)(\alpha) = (p, \epsilon, q)$. On the other hand, from $\mathsf{C}'', p \stackrel{\mathbf{a}}{\leadsto} \mathsf{C}', q, \epsilon$ we know that $\mathsf{C}'' \stackrel{\mathbf{a}}{\to} \mathsf{C}'$ and thus from the control flow transitions we have $\mathsf{C}_1 || \mathsf{C}'' \stackrel{\mathbf{a}}{\to} \mathsf{C}_1 || \mathsf{C}'$. Consequently, from $\mathsf{C}_2, p \stackrel{\mathbf{a}}{\twoheadrightarrow} \mathsf{C}', q, \epsilon$ we also have $\mathsf{C}_1 || \mathsf{C}_2, p \stackrel{\mathbf{a}}{\twoheadrightarrow} \mathsf{C}_1 || \mathsf{C}', q, \epsilon$. Similarly, as $\mathsf{C}_2 \stackrel{\mathrm{id}}{\to} \mathsf{C}''$, from the control flow transitions we also have $\mathsf{C}_1 || \mathsf{C}_2 \stackrel{\mathrm{id}}{\to} \mathsf{C}_1 || \mathsf{C}''$. As such, since $\epsilon \in \mathsf{EREXIT}, \ \theta = [\alpha], \ M_p \in [p], \ m_q \in [q], \ (\mathcal{G}_1 \uplus \mathcal{G}_2)(\alpha) = (p, \epsilon, q), \ \mathsf{C}_1 || \mathsf{C}_2 \stackrel{\mathrm{id}}{\to} \mathsf{C}_1 || \mathsf{C}''$ and $\mathsf{C}_1 || \mathsf{C}', q, \epsilon$, from the definition of reach we have $\mathsf{reach}_1(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \cup \mathcal{G}_2, \theta, M_p, \mathsf{C}_1 || \mathsf{C}_2, \epsilon, m_q)$, as required.

The proof of case (iii) is analogous to that of case (ii) and is omitted here.

Case n=1, k=j+1

As we demonstrate below, this case leads to a contradiction. As n=1, we then know that there exist α such that $\epsilon \in \text{EREXIT}$, $\theta = [\alpha]$, and either $\mathcal{R}_1(\alpha) = (p, \epsilon, q)$ or $\mathcal{G}_1(\alpha) = (p, \epsilon, q)$. Moreover, as k=j+1, we know that there exist p', r such that either $\mathcal{R}_2(\alpha) = (p', ok, r)$ or $\mathcal{G}_2(\alpha) = (p', ok, r)$. This however leads to a contradiction as $\mathcal{G}_1 \cap \mathcal{G}_2 = \emptyset$, $\mathcal{R}_1 \subseteq \mathcal{G}_2 \cup \mathcal{R}_2$, $\mathcal{R}_2 \subseteq \mathcal{G}_1 \cup \mathcal{R}_1$, $\epsilon \in \text{EREXIT}$ and thus $ok \neq \epsilon$.

Case $n \neq 0, k=0$

This case does not arise as it simultaneously implies that $\theta = []$ and $\theta = [\alpha] + \theta'$ for some α, θ' which is not possible.

Case n=i+1, k=j+1

As $\mathcal{G}_1 \cap \mathcal{G}_2 = \emptyset$, $\mathcal{R}_1 \subseteq \mathcal{G}_2 \cup \mathcal{R}_2$ and $\mathcal{R}_2 \subseteq \mathcal{G}_1 \cup \mathcal{R}_1$, there are now three cases to consider: i) there exist α, θ', p, r such that $\theta = [\alpha] + \theta', \mathcal{R}_1(\alpha) = \mathcal{R}_2(\alpha) = (p, ok, r), \ \lfloor p \rfloor \subseteq M_p, \operatorname{reach}_i(\mathcal{R}_1, \mathcal{G}_1, \theta', \lfloor r \rfloor, \mathsf{C}_1, \epsilon, m_q)$ and $\operatorname{reach}_j(\mathcal{R}_2, \mathcal{G}_2, \theta', \lfloor r \rfloor, \mathsf{C}_2, \epsilon, m_q);$

ii) there exist $\alpha, \theta', p, r, \mathbf{a}, \mathsf{C}'_1, \mathsf{C}''_1$ such that $\theta = [\alpha] + \theta', \ \mathcal{G}_1(\alpha) = \mathcal{R}_2(\alpha) = (p, ok, r), \ |p| \subseteq M_p$, reach_i($\mathcal{R}_1, \mathcal{G}_1, \theta', \lfloor r \rfloor, \mathsf{C}'_1, \epsilon, m_q$), reach_j($\mathcal{R}_2, \mathcal{G}_2, \theta', \lfloor r \rfloor, \mathsf{C}_2, \epsilon, m_q$), $\mathsf{C}_1 \xrightarrow{\mathsf{id}} \mathsf{C}''_1$ and $\mathsf{C}''_1, p \xrightarrow{\mathsf{a}} \mathsf{C}'_1, r, ok$;

iii) there exist $\alpha, \theta', p, r, \mathbf{a}, \mathsf{C}'_2, \mathsf{C}''_2$ such that $\theta = [\alpha] + \theta', \ \mathcal{G}_2(\alpha) = \mathcal{R}_1(\alpha) = (p, ok, r), \ |p| \subseteq M_p$, reach_i($\mathcal{R}_1, \mathcal{G}_1, \theta', \lfloor r \rfloor, \mathsf{C}_1, \epsilon, m_q$), reach_j($\mathcal{R}_2, \mathcal{G}_2, \theta', \lfloor r \rfloor, \mathsf{C}'_2, \epsilon, m_q$), $\mathsf{C}_2 \xrightarrow{\mathsf{id}} \mathsf{C}''_2$ and $\mathsf{C}''_2, p \xrightarrow{\mathsf{a}} \mathsf{C}'_2, r, ok$.

In case (i), we have $(\mathcal{R}_1 \cap \mathcal{R}_2)(\alpha) = (p, \epsilon, r)$. Moreover, as $\operatorname{reach}_i(\mathcal{R}_1, \mathcal{G}_1, \theta', \lfloor r \rfloor, \mathsf{C}_1, \epsilon, m_q)$ and $\operatorname{reach}_j(\mathcal{R}_2, \mathcal{G}_2, \theta', \lfloor r \rfloor, \mathsf{C}_2, \epsilon, m_q)$, from the inductive hypothesis we know there exists t such that $\operatorname{reach}_t(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \theta', \lfloor r \rfloor, \mathsf{C}_1 \parallel \mathsf{C}_2, \epsilon, m_q)$. Consequently, as $(\mathcal{R}_1 \cap \mathcal{R}_2)(\alpha) = (p, \epsilon, r)$ and $\lfloor p \rfloor \subseteq M_p$, from the definition of reach we have $\operatorname{reach}_{t+1}(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \theta, M_p, \mathsf{C}_1 \parallel \mathsf{C}_2, \epsilon, m_q)$, as required.

In case (ii) we have $(\mathcal{G}_1 \uplus \mathcal{G}_2)(\alpha) = (p, \epsilon, r)$. On the other hand, from $C''_1, p \xrightarrow{\mathbf{a}} C'_1, r, \epsilon$ we know that $C''_1 \xrightarrow{\mathbf{a}} C'_1$ and thus from the control flow transitions we have $C''_1 || C_2 \xrightarrow{\mathbf{a}} C'_1 || C_2$. Consequently, from $C''_1, p \xrightarrow{\mathbf{a}} C'_1, r, \epsilon$ we also have $C''_1 || C_2, p \xrightarrow{\mathbf{a}} C'_1 || C_2, r, \epsilon$. Similarly, as $C_1 \xrightarrow{\mathbf{id}} C''_1$, from the control flow transitions we also have $C_1'' || C_2, p \xrightarrow{\mathbf{a}} C'_1 || C_2, r, \epsilon$. Similarly, as $C_1 \xrightarrow{\mathbf{id}} C''_1$, from the control flow transitions we also have $C_1 || C_2 \xrightarrow{\mathbf{id}} C''_1 || C_2$. Moreover, as reach_i($\mathcal{R}_1, \mathcal{G}_1, \theta', \lfloor r \rfloor, C'_1, \epsilon, m_q$) and reach_j($\mathcal{R}_2, \mathcal{G}_2, \theta', \lfloor r \rfloor, C_2, \epsilon, m_q$), from the inductive hypothesis we know there exists t such that reach_t($\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \theta', \lfloor r \rfloor, C'_1 || C_2, \epsilon, m_q)$. As such, since $(\mathcal{G}_1 \uplus \mathcal{G}_2)(\alpha) = (p, \epsilon, r), \ |p| \subseteq M_p, \ C_1 || C_2 \xrightarrow{\mathbf{id}} C''_1 || C_2$ and $C''_1 || C_2, p \xrightarrow{\mathbf{a}} C'_1 || C_2, r, \epsilon$, from the definition of reach we have reach_{t+1}($\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \theta, M_p, \ C_1 || C_2, \epsilon, m_q)$, as required.

The proof of case (iii) is analogous to that of case (ii) and is omitted here.

◀

▶ Lemma 34. For all $n, \mathcal{R}, \mathcal{R}', \mathcal{G}, \mathcal{G}', \theta, M_p, M'_p, m_q, \mathsf{C}, \epsilon, \text{ if } \mathcal{R}' \preccurlyeq_{\theta} \mathcal{R}, \mathcal{G}' \preccurlyeq_{\theta} \mathcal{G} M'_p \subseteq M_p \text{ and } \mathsf{reach}_n(\mathcal{R}', \mathcal{G}', \theta, M'_p, \mathsf{C}, \epsilon, m_q), \text{ then } \mathsf{reach}_n(\mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}, \epsilon, m_q).$

Proof. By induction on n.

Case n=0

Pick arbitrary $\mathcal{R}, \mathcal{R}', \mathcal{G}, \mathcal{G}', \theta, M_p, M'_p, m_q, \mathsf{C}, \epsilon$ such that $\mathcal{R}' \preccurlyeq_{\theta} \mathcal{R}, \mathcal{G}' \preccurlyeq_{\theta} \mathcal{G}, M'_p \subseteq M_p$ and $\mathsf{reach}_0(\mathcal{R}', \mathcal{G}', \theta, M'_p, \mathsf{C}, \epsilon, m_q)$. As we have $\mathsf{reach}_0(\mathcal{R}', \mathcal{G}', \theta, M'_p, \mathsf{C}, \epsilon, m_q)$, we then know that $\theta = [], \mathsf{C} \xrightarrow{\mathsf{id}} \mathsf{*skip}, \epsilon = ok$ and $m_q \in M'_p$, and thus (as $M'_p \subseteq M_p$) $m_q \in M_p$. Consequently, from

25:56 A General Approach to Under-approximate Reasoning about Concurrent Programs

the definition of reach we have reach₀($\mathcal{R}, \mathcal{G}, \theta, M_p, skip, \epsilon, m_q$), as required.

Case n=1

Pick arbitrary $\mathcal{R}, \mathcal{R}', \mathcal{G}, \mathcal{G}', \theta, M_p, M'_p, m_q, \mathsf{C}, \epsilon$ such that $\mathcal{R}' \preccurlyeq_{\theta} \mathcal{R}, \mathcal{G}' \preccurlyeq_{\theta} \mathcal{G}, M'_p \subseteq M_p$ and $\mathsf{reach}_1(\mathcal{R}', \mathcal{G}', \theta, M'_p, \mathsf{C}, \epsilon, m_q)$. From $\mathsf{reach}_1(\mathcal{R}', \mathcal{G}', \theta, M'_p, \mathsf{C}, \epsilon, m_q)$ we then know that there exist $\alpha, p, q, \mathbf{a}, \mathsf{C}', \mathsf{C}''$ such that $\epsilon \in \mathsf{EREXIT}, \ \theta = [\alpha], \ [p] \subseteq M'_p, \ m_q \in [q], \ and \ either: i)$ $\mathcal{R}'(\alpha) = (p, \epsilon, q); \text{ or ii) } \mathcal{G}'(\alpha) = (p, \epsilon, q), \ \mathsf{C} \stackrel{\mathsf{id}}{\to} \mathsf{C}'' \text{ and } \mathsf{C}'', p \stackrel{\mathsf{a}}{\to} \mathsf{C}', q, \epsilon.$

In case (i) since $\alpha \in dom(\mathcal{R}')$ and $\alpha \in \theta$, from $\mathcal{R}' \preccurlyeq_{\theta} \mathcal{R}$ we also have $\mathcal{R}(\alpha) = (p, \epsilon, q)$. Moreover, since $\lfloor p \rfloor \subseteq M'_p$ and $M'_p \subseteq M_p$ we also have $\lfloor p \rfloor \subseteq M_p$. As such, since $\epsilon \in \text{EREXIT}$, $\theta = \lfloor \alpha \rfloor$ and $m_q \in \lfloor q \rfloor$ from the definition of reach we have $\text{reach}_1(\mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}, \epsilon, m_q)$, as required.

Similarly, in case (ii) since $\alpha \in dom(\mathcal{G}')$ and $\alpha \in \theta$, from $\mathcal{G}' \preccurlyeq_{\theta} \mathcal{G}$ we also have $\mathcal{G}(\alpha) = (p, \epsilon, q)$. Moreover, since $\lfloor p \rfloor \subseteq M'_p$ and $M'_p \subseteq M_p$ we also have $\lfloor p \rfloor \subseteq M_p$. As such, since $\epsilon \in \text{EREXIT}, \ \theta = [\alpha], \ m_q \in \lfloor q \rfloor, \ \mathsf{C} \xrightarrow{\mathsf{id}} \mathsf{C}''$ and $\mathsf{C}'', p \xrightarrow{\mathbf{a}} \mathsf{C}', q, \epsilon$, from the definition of reach we have $\mathsf{reach}_1(\mathcal{R}, \mathcal{G}, \theta, M_p, \mathsf{C}, \epsilon, m_q)$, as required.

$\mathbf{Case}\ n{=}i{+}1$

Pick arbitrary $\mathcal{R}, \mathcal{R}', \mathcal{G}, \mathcal{G}', \theta, M_p, M'_p, m_q, \mathsf{C}, \epsilon$ such that $\mathcal{R}' \preccurlyeq_{\theta} \mathcal{R}, \mathcal{G}' \preccurlyeq_{\theta} \mathcal{G}, M'_p \subseteq M_p$ and $\mathsf{reach}_n(\mathcal{R}', \mathcal{G}', \theta, M'_p, \mathsf{C}, \epsilon, m_q)$. From $\mathsf{reach}_n(\mathcal{R}', \mathcal{G}', \theta, M'_p, \mathsf{C}, \epsilon, m_q)$ we then know that there exist $\alpha, \theta', p, r, \mathbf{a}, \mathsf{C}', \mathsf{C}''$ such that $\theta = [\alpha] + \theta', [p] \subseteq M'_p$ and either:

i) $\mathcal{R}'(\alpha) = (p, ok, r)$, and $\operatorname{reach}_i(\mathcal{R}', \mathcal{G}', \theta', \lfloor r \rfloor, \mathsf{C}, \epsilon, m_q)$; or

ii) $\mathcal{G}'(\alpha) = (p, ok, r)$, reach_i $(\mathcal{R}', \mathcal{G}', \theta', \lfloor r \rfloor, \mathsf{C}', \epsilon, m_q)$, $\mathsf{C} \xrightarrow{\mathsf{id}} \mathsf{C}''$ and $\mathsf{C}'', p \xrightarrow{\mathbf{a}} \mathsf{C}', r, ok$.

In case (i) since $\alpha \in dom(\mathcal{R}')$ and $\alpha \in \theta$, from $\mathcal{R}' \preccurlyeq_{\theta} \mathcal{R}$ we also have $\mathcal{R}(\alpha) = (p, ok, r)$. Moreover, since $\lfloor p \rfloor \subseteq M'_p$ and $M'_p \subseteq M_p$ we also have $\lfloor p \rfloor \subseteq M_p$. On the other hand, from $\mathsf{reach}_i(\mathcal{R}', \mathcal{G}', \theta', \lfloor r \rfloor, \mathsf{C}, \epsilon, m_q)$ and the inductive hypothesis we have $\mathsf{reach}_i(\mathcal{R}, \mathcal{G}, \theta', \lfloor r \rfloor, \mathsf{C}, \epsilon, m_q)$. Consequently, from the definition of reach we have $\mathsf{reach}_n(\mathcal{R}, \mathcal{G}, \theta, M'_p, \mathsf{C}, \epsilon, m_q)$, as required.

Similarly, in case (ii) since $\alpha \in dom(\mathcal{G}')$ and $\alpha \in \theta$, from $\mathcal{G}' \preccurlyeq_{\theta} \mathcal{G}$ we also have $\mathcal{G}(\alpha) = (p, ok, r)$. Moreover, since $\lfloor p \rfloor \subseteq M'_p$ and $M'_p \subseteq M_p$ we also have $\lfloor p \rfloor \subseteq M_p$. On the other hand, from $\operatorname{reach}_i(\mathcal{R}', \mathcal{G}', \theta', \lfloor r \rfloor, \mathsf{C}', \epsilon, m_q)$ and the inductive hypothesis we have $\operatorname{reach}_i(\mathcal{R}, \mathcal{G}, \theta', \lfloor r \rfloor, \mathsf{C}', \epsilon, m_q)$. As such, from the definition of reach we have $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \theta, \lfloor r \rfloor, \mathsf{C}', \epsilon, m_q)$. As such, from the definition of reach we have $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \theta, \lfloor r \rfloor, \mathsf{C}', \epsilon, m_q)$.

▶ **Theorem 35** (IRG soundness). For all $\mathcal{R}, \mathcal{G}, \theta, p, C, \epsilon, q$, if $\mathcal{R}, \mathcal{G}, \theta \vdash [p] C [\epsilon : q]$ is derivable using the rules in Fig. 12, then $\mathcal{R}, \mathcal{G}, \theta \models [p] C [\epsilon : q]$ holds.

Proof. We proceed by induction on the structure of IRG triples.

Case IRGSKIP

Pick arbitrary $\mathcal{R}, \mathcal{G}, p$ such that $\mathcal{R}, \mathcal{G}, \Theta_0 \vdash [p]$ skip [ok: p]. It then suffices to show that $\operatorname{reach}_0(\mathcal{R}, \mathcal{G}, [], \lfloor p \rfloor, \operatorname{skip}, ok, m_p)$ for an arbitrary $m_p \in \lfloor p \rfloor$, which follows immediately from Lemma 27.

Case IRGATOM

Pick arbitrary $\mathcal{R}, \mathcal{G}, \alpha, p, q, \mathbf{a}, \epsilon, m_q$ such that (1) $(p, \mathbf{a}, \epsilon, q) \in \text{AXIOM}$, (2) $\mathcal{G}(\alpha) = (p, \epsilon, q)$ and (3) $m_q \in \lfloor q \rfloor$. From (1) and atomic soundness we know (4) $\forall m \in \lfloor q \rfloor$. $\exists m_p \in \lfloor p \rfloor$. $(m_p, m_q) \in \llbracket \mathbf{a} \rrbracket \epsilon$. Moreover, from the control flow transitions (Fig. 6) we have (5) $\mathbf{a} \stackrel{\text{id}}{\to} \mathbf{a}$ and $\mathbf{a} \stackrel{\mathbf{a}}{\to} \text{skip}$.

That is, from (4) and (5) we have (6) $\mathbf{a} \xrightarrow{\mathsf{id}} * \mathbf{a}$ and $\mathbf{a}, p \xrightarrow{\mathbf{a}} \mathsf{skip}, q, \epsilon$. There are now two

cases to consider: i) $\epsilon \in \text{EREXIT}$; or ii) $\epsilon = ok$. In case (i), since $\lfloor p \rfloor \subseteq \lfloor p \rfloor$, from (2), (3), (6), the assumption of case (i) and the definition of reach we have $\text{reach}_1(\mathcal{R}, \mathcal{G}, [\alpha], \lfloor p \rfloor, \mathbf{a}, \epsilon, m_q)$, as required. In case (ii), from (3) and Lemma 27 we have (7) $\text{reach}_0(\mathcal{R}, \mathcal{G}, [], \lfloor q \rfloor, \text{skip}, ok, m_q)$. As such, since $\lfloor p \rfloor \subseteq \lfloor p \rfloor$, from (2), (3), (6), (7), the assumption of case (ii) and the definition of reach we have $\text{reach}_1(\mathcal{R}, \mathcal{G}, [\alpha], \lfloor p \rfloor, \mathbf{a}, \epsilon, m_q)$, as required.

Case IRGSEQER

Pick arbitrary $\mathcal{R}, \mathcal{G}, \Theta, p, q, \mathsf{C}_1, \mathsf{C}_2, \epsilon$ such that (1) $\epsilon \in \text{EREXIT}$ and (2) $\mathcal{R}, \mathcal{G}, \Theta \vdash [p] \mathsf{C}_1$ [*er*: *q*]. Pick an arbitrary $\theta \in \Theta$ and $m_q \in \lfloor q \rfloor$; it then suffices to show there exists $n \in \mathbb{N}$ such that $\text{reach}_n(\mathcal{R}, \mathcal{G}, \theta, \lfloor p \rfloor, \mathsf{C}_1; \mathsf{C}_2, \epsilon, m_q)$. From (2) and the inductive hypothesis we know there exists $n \in \mathbb{N}$ such that (3) $\text{reach}_n(\mathcal{R}, \mathcal{G}, \theta, \lfloor p \rfloor, \mathsf{C}_1; \mathsf{C}_2, \epsilon, m_q)$. Consequently, from (1), (3) and Lemma 28 we have $\text{reach}_n(\mathcal{R}, \mathcal{G}, \theta, |p|, \mathsf{C}_1; \mathsf{C}_2, \epsilon, m_q)$, as required.

$Case \ \mathrm{IRGSeq}$

Pick arbitrary $\mathcal{R}, \mathcal{G}, \Theta_1, \Theta_2, p, q, r, \mathsf{C}_1, \mathsf{C}_2, \epsilon$ such that (1) $\mathcal{R}, \mathcal{G}, \Theta_1 \vdash [p] \mathsf{C}_1[ok:r]$ and (2) $\mathcal{R}, \mathcal{G}, \Theta_2 \vdash [r] \mathsf{C}_2[\epsilon:q]$. Pick an arbitrary $m_q \in \lfloor q \rfloor, \theta_1 \in \Theta_1$ and $\theta_2 \in \Theta_2$; it then suffices to show there exists $n \in \mathbb{N}$ such that $\mathsf{reach}_n(\mathcal{R}, \mathcal{G}, \theta_1 + \theta_2, \lfloor p \rfloor, \mathsf{C}_1; \mathsf{C}_2, \epsilon, m_q)$. From (2) and the inductive hypothesis we know there exists $j \in \mathbb{N}$ such that (3) $\mathsf{reach}_j(\mathcal{R}, \mathcal{G}, \theta_2, \lfloor r \rfloor, \mathsf{C}_2, \epsilon, m_q)$. Similarly, from (1) and the inductive hypothesis we know there exists $i \in \mathbb{N}$ such that (4) $\forall m_r \in \lfloor r \rfloor$. $\mathsf{reach}_i(\mathcal{R}, \mathcal{G}, \theta_1, \lfloor p \rfloor, \mathsf{C}_1, ok, m_r)$. Consequently, from (3), (4) and Lemma 30 we have $\mathsf{reach}_{i+j}(\mathcal{R}, \mathcal{G}, \theta_1 + \theta_2, \lfloor p \rfloor, \mathsf{C}_1; \mathsf{C}_2, \epsilon, m_q)$, as required.

Case IRGLOOP1

Pick arbitrary $\mathcal{R}, \mathcal{G}, p, \mathsf{C}$ and $m_p \in \lfloor p \rfloor$. It then suffices to show $\mathsf{reach}_0(\mathcal{R}, \mathcal{G}, [], \lfloor p \rfloor, \mathsf{C}^*, \epsilon, m_p)$. This follows immediately from the definition of reach_0 and since $\mathsf{C}^* \xrightarrow{\mathsf{id}} *\mathsf{skip}$ and $m_p \in \lfloor p \rfloor$.

Case IRGLOOP2

Pick arbitrary $\mathcal{R}, \mathcal{G}, \Theta, p, q, \mathsf{C}, \epsilon$ such that (1) $\mathcal{R}, \mathcal{G}, \Theta \vdash [p] \mathsf{C}^*; \mathsf{C} [\epsilon : q]$. Pick an arbitrary $m_q \in q$ and $\theta \in \Theta$. It then suffices to show there exists $n \in \mathbb{N}$ such that $\mathsf{reach}_n(\mathcal{R}, \mathcal{G}, \theta, \lfloor p \rfloor, \mathsf{C}^*, \epsilon, m_q)$. From (1) and the inductive hypothesis we know there exists $n \in \mathbb{N}$ such that $\mathsf{reach}_n(\mathcal{R}, \mathcal{G}, \theta, \lfloor p \rfloor, \mathsf{C}^*; \mathsf{C}, \epsilon, m_q)$. On the other hand, from the control flow transitions (Fig. 6) we have $\mathsf{C}^* \stackrel{\mathsf{id}}{\to} \mathsf{C}^*; \mathsf{C}$ and thus $\mathsf{C}^* \stackrel{\mathsf{id}}{\to} *\mathsf{C}^*; \mathsf{C}$. As such, since $\mathsf{reach}_n(\mathcal{R}, \mathcal{G}, \theta, \lfloor p \rfloor, \mathsf{C}^*; \mathsf{C}, \epsilon, m_q)$, from Lemma 29 we also have $\mathsf{reach}_n(\mathcal{R}, \mathcal{G}, \theta, \lfloor p \rfloor, \mathsf{C}^*; \mathsf{C}, \epsilon, m_q)$, as required.

$Case \ {\rm IRGCHOICE}$

Pick arbitrary $\mathcal{R}, \mathcal{G}, \Theta, p, q, \mathsf{C}_1, \mathsf{C}_2, \epsilon$ such that (1) $\mathcal{R}, \mathcal{G}, \Theta \vdash [p] \mathsf{C}_i \ [\epsilon : q]$ for some $i \in \{1, 2\}$. Pick an arbitrary $m_q \in q$ and $\theta \in \Theta$. It then suffices to show there exists $n \in \mathbb{N}$ such that $\operatorname{\mathsf{reach}}_n(\mathcal{R}, \mathcal{G}, \theta, \lfloor p \rfloor, \mathsf{C}_1 + \mathsf{C}_2, \epsilon, m_q)$. From (1) and the inductive hypothesis we know there exists $n \in \mathbb{N}$ such that $\operatorname{\mathsf{reach}}_n(\mathcal{R}, \mathcal{G}, \theta, \lfloor p \rfloor, \mathsf{C}_1 + \mathsf{C}_2, \epsilon, m_q)$. From (1) and the inductive hypothesis we know there exists $n \in \mathbb{N}$ such that $\operatorname{\mathsf{reach}}_n(\mathcal{R}, \mathcal{G}, \theta, \lfloor p \rfloor, \mathsf{C}_i, \epsilon, m_q)$. On the other hand, from the control flow transitions (Fig. 6) we have $\mathsf{C}_1 + \mathsf{C}_2 \xrightarrow{\mathsf{id}} \mathsf{C}_i$ and thus $\mathsf{C}_1 + \mathsf{C}_2 \xrightarrow{\mathsf{id}} \mathsf{C}_i$. As such, since $\operatorname{\mathsf{reach}}_n(\mathcal{R}, \mathcal{G}, \theta, \lfloor p \rfloor, \mathsf{C}_i, \epsilon, m_q)$, from Lemma 29 we also have $\operatorname{\mathsf{reach}}_n(\mathcal{R}, \mathcal{G}, \theta, \lfloor p \rfloor, \mathsf{C}_1 + \mathsf{C}_2, \epsilon, m_q)$, as required.

${\bf Case} \ {\rm IRGCONS}$

Pick arbitrary $\mathcal{R}, \mathcal{R}', \mathcal{G}, \mathcal{G}', \Theta, \Theta', p, p', q, q', \mathsf{C}, \epsilon$ such that (1) $p' \subseteq p$; (2) $\mathcal{R}', \mathcal{G}', \Theta' \vdash [p'] \mathsf{C}$ [$\epsilon : q'$]; (3) $q \subseteq q'$; (4) $\mathcal{R}' \preccurlyeq_{\Theta} \mathcal{R}$; (5) $\mathcal{G}' \preccurlyeq_{\Theta} \mathcal{G}$; and (6) $\Theta \subseteq \Theta'$. Pick an arbitrary $m_q \in \lfloor q \rfloor$ and $\theta \in \Theta$. It then suffices to show there exists $n \in \mathbb{N}$ such that $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \theta, \lfloor p \rfloor, \mathsf{C}, \epsilon, m_q)$. As $m_q \in \lfloor q \rfloor$, from (3) we also have $m_q \in \lfloor q' \rfloor$. Moreover, as $\theta \in \Theta$, from (6)

25:58 A General Approach to Under-approximate Reasoning about Concurrent Programs

we also have $\theta \in \Theta'$. As such, from (2) and the inductive hypothesis we know there exists $n \in \mathbb{N}$ such that $\operatorname{reach}_n(\mathcal{R}', \mathcal{G}', \theta, \lfloor p' \rfloor, \mathsf{C}, \epsilon, m_q)$. Moreover, from (1) and the definition of $\lfloor . \rfloor$ we have (7) $\lfloor p' \rfloor \subseteq \lfloor p \rfloor$. On the other hand, since $\theta \in \Theta$, from (4) and (5) we also have (8) $\mathcal{R}' \preccurlyeq_{\theta} \mathcal{R}$ and $\mathcal{G}' \preccurlyeq_{\theta} \mathcal{G}$. Consequently, from (7), (8) and Lemma 34 we have $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \theta, \lfloor p \rfloor, \mathsf{C}, \epsilon, m_q)$, as required.

$Case \ \mathrm{IRGCOMB}$

Pick arbitrary $\mathcal{R}, \mathcal{G}, \Theta_1, \Theta_2, p, q, \mathsf{C}, \epsilon$ such that (1) $\mathcal{R}, \mathcal{G}, \Theta_1 \vdash [p] \mathsf{C}[\epsilon : q]$; and (2) $\mathcal{R}, \mathcal{G}, \Theta_2 \vdash [p] \mathsf{C}[\epsilon : q]$. Pick an arbitrary $m_q \in \lfloor q \rfloor$ and $\theta \in \Theta_1 \cup \Theta_2$. It then suffices to show there exists $n \in \mathbb{N}$ such that $\mathsf{reach}_n(\mathcal{R}, \mathcal{G}, \theta, \lfloor p \rfloor, \mathsf{C}, \epsilon, m_q)$. There are now two cases to consider: 1) $\theta \in \Theta_1$; or 2) $\theta \in \Theta_2$.

In case (1), from (1) and the inductive hypothesis we know there exists $n \in \mathbb{N}$ such that $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \theta, \lfloor p \rfloor, \mathsf{C}, \epsilon, m_q)$, as required. Similarly, in case (2), from (2) and the inductive hypothesis we know there exists $n \in \mathbb{N}$ such that $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \theta, \lfloor p \rfloor, \mathsf{C}, \epsilon, m_q)$, as required.

Case IRGPARER

Pick arbitrary $\mathcal{R}, \mathcal{G}, \Theta, p, q, \mathsf{C}_1, \mathsf{C}_2, \epsilon$ such that (1) $\epsilon \in \text{EREXIT}$, (2) $\mathcal{R}, \mathcal{G}, \Theta \vdash [p] \mathsf{C}_i [er:q]$ for some $i \in \{1, 2\}$. and (3) $\Theta \sqsubseteq dom(\mathcal{G})$. Pick an arbitrary $\theta \in \Theta$. From (2) and the inductive hypothesis we then know there exists $i \in \{1, 2\}$ such that (4) $\forall m_q \in \lfloor q \rfloor$. $\exists n. \operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \theta, \lfloor p \rfloor, \mathsf{C}_i, \epsilon, m_q)$. Pick an arbitrary $m_q \in \lfloor q \rfloor$; it then suffices to show there exists $n \in \mathbb{N}$ such that $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \theta, \lfloor p \rfloor, \mathsf{C}_1 \mid | \mathsf{C}_2, \epsilon, m_q)$. As $m_q \in q$, from (4) we know there exists n such that (5) $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \theta, \lfloor p \rfloor, \mathsf{C}_i, \epsilon, m_q)$. Consequently, from (1), (3), (5), Lemma 31 and Lemma 32 we have $\operatorname{reach}_n(\mathcal{R}, \mathcal{G}, \theta, \lfloor p \rfloor, \mathsf{C}_1 \mid | \mathsf{C}_2, \epsilon, m_q)$, as required.

$\mathbf{Case} \ \mathbf{IRGPar}$

Pick arbitrary $\mathcal{R}_1, \mathcal{R}_2, \mathcal{G}_1, \mathcal{G}_2, \Theta_1, \Theta_2, p, q, \mathsf{C}_1, \mathsf{C}_2, \epsilon$ such that (1) $\mathcal{R}_1, \mathcal{G}_1, \Theta_1 \vdash [p] \mathsf{C}_1 [\epsilon : q];$ (2) $\mathcal{R}_2, \mathcal{G}_2, \Theta_2 \vdash [p] \mathsf{C}_2 [\epsilon : q];$ (3) $\mathcal{R}_1 \subseteq \mathcal{G}_2 \cup \mathcal{R}_2;$ (4) $\mathcal{R}_2 \subseteq \mathcal{G}_1 \cup \mathcal{R}_1;$ and (5) $\mathsf{dsj}(\mathcal{G}_1, \mathcal{G}_2) = \emptyset$. Pick an arbitrary $m_q \in \lfloor q \rfloor$ and $\theta \in \Theta_1 \cap \Theta_2$. It then suffices to show there exists $n \in \mathbb{N}$ such that $\mathsf{reach}_n(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \theta, \lfloor p \rfloor, \mathsf{C}_1 \mid | \mathsf{C}_2, \epsilon, m_q)$. As $\theta \in \Theta_1 \cap \Theta_2$, we also have $\theta \in \Theta_1$ and $\theta \in \Theta_2$. Consequently, from (1) and the inductive hypothesis we know there exists $i \in \mathbb{N}$ such that (6) $\mathsf{reach}_i(\mathcal{R}_1, \mathcal{G}_1, \theta, \lfloor p \rfloor, \mathsf{C}_1, \epsilon, m_q)$. Similarly, from (2) and the inductive hypothesis we know there exists $j \in \mathbb{N}$ such that (7) $\mathsf{reach}_j(\mathcal{R}_2, \mathcal{G}_2, \theta, \lfloor p \rfloor, \mathsf{C}_2, \epsilon, m_q)$. Consequently, from (3)–(7) and Lemma 33 we know there exists $n \in \mathbb{N}$ such that $\mathsf{reach}_n(\mathcal{R}_1 \cap \mathcal{R}_2, \mathcal{G}_1 \uplus \mathcal{G}_2, \theta, \lfloor p \rfloor, \mathsf{C}_1 \mid \mathsf{C}_2, \epsilon, m_q)$, as required.